

Approved by OMB  
3060-0410  
Edition Date: 12/2003

SUMMARY

Estimated Average Burden Hours Per Response: 40 hours

This document contains the Report Definition for FCC Report 495A, the Forecast of Investment Usage Report. The following three tables and associated instructions implement the requirement that certain telephone plant investment used for both regulated and nonregulated purposes be assigned to cost categories and allocated on the basis of forecasted regulated and nonregulated use. This report provides the forecast and resulting investment allocations incorporated in a carrier's cost support for its access tariffs. It contains the following:

	<u>Pages</u>
Table I - Forecast Usage Schedule.....	3
Table II - Forecasted Investment Allocation Schedule.....	4
Table III - Forecasted Investment Summary Schedule.....	5
Table I - General Instructions and Column Descriptions .....	6 - 7
Table II - General Instructions and Column Descriptions .....	8
Table III - General Instructions and Column Descriptions.....	9 - 10

The public reporting for this collection of information is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the required data, and completing and reviewing the collection of information. If you have any comments on this burden estimate, or how we can improve the collection and reduce the burden it causes you, please write to the Federal Communications Commission, AMD-PER, Paperwork Reduction Project (3060-0410), Washington, DC 20554.

We will also accept your comments regarding the Paperwork Reduction Act aspects of this collection via the Internet if you send them to [jboley@fcc.gov](mailto:jboley@fcc.gov). PLEASE DO NOT SEND YOUR RESPONSE TO THIS ADDRESS.

Remember - You are not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection, unless it displays a currently valid OMB control number or if we fail to provide you with this notice. This collection has been assigned an OMB control number of 3060-0410.

### GENERAL INSTRUCTIONS

Except where noted, all dollar amounts in this filing are access tariff year amounts and must be consistent with the dollar amounts forecasted in the cost support data filed with the Tariff Review Plan. Dollar amounts shall be reported in thousands.

A carrier without network investment that is used jointly for regulated and nonregulated functions has the option not to file data electronically. Instead, a carrier may file a letter signed by the company's responsible officer, certifying that there is no data to report. The letter should list all applicable operating companies and study areas. (Refer to the form letter on page 12 of the Reporting Procedures.)

Each reported line item will be taken from the carrier's cost categories designated in its Part 32 Cost Allocation Manual, as amended and approved under our Part 64 Rules.

### REFERENCES

#### Cost Categories:

For the names and descriptions of the cost categories applicable to each filing carrier, see the individual carriers' permanent Part 32 Cost Allocation Manuals for the separation of regulated and nonregulated costs.

#### Central Office Equipment and Cable and Wire Facilities:

Central Office Equipment includes all equipment in accounts listed under "TPIS--Central assets" in Part 32.2000(j). Central Office Equipment - Switching includes all equipment recorded in accounts listed under "TPIS--Central Office assets: Central Office--Switching" in Part 32.2000(j). Central Office Equipment - Transmission includes all equipment recorded in accounts listed under "TPIS--Central Office assets: Central Office--Transmission" in Part 32.2000 (j). Cable and wire facilities include all cable and wire facilities assets" in Part 32.2000 (j).

#### Cost Categories Requiring Forward-Looking Allocator:

Each carrier's Part 32 Cost Allocation Manual identifies all the cost categories that, under Part 64 of the Commission's Rules, must be allocated on the basis of a three year forecast of use (forward looking allocator).







TABLE I - FORECAST USAGE SCHEDULE  
FOR CENTRAL OFFICE EQUIPMENT AND CABLE AND WIRE FACILITIES  
BY COST CATEGORY

GENERAL INSTRUCTIONS

Report only those cost categories identified in the carrier's approved Part 32 Cost Allocation Manual that require allocation on the basis of a forward looking allocator. For each cost category enter the forecast for each of the three calendar years following the filing date on which this report is filed.

Assign a unique row number to each row of the table, beginning with 1 and incrementing by one, with no numbers skipped.

COLUMN DESCRIPTIONS

COLUMN

- (a) In the row for the first forecast year for each cost category, enter the account number, e.g., 2211. In the rows for the second and third forecast years for each cost category, leave this column blank.
- (b) Enter the cost categories as follows

Assign a unique alpha/numeric code (up to six characters, with no embedded blanks) to each cost category within an account. Use this same code throughout all Tables of this report and in future Reports 495A and 495B, when filed.

In the row for the first forecast year for each cost category, enter this assigned code, followed by one or more spaces, followed by the descriptive name for the cost category (up to twenty characters, with embedded blanks allowed). In the rows for the second and third forecast years for each cost category, leave this column blank.

For example, for the first forecast year for a central office equipment cost category, this column could contain the following:

NetEq Net Equipment

(code) (descriptive name)

Enter "NONE" on the first row of the table if there are no cost categories that require allocation on the basis of a forward looking allocator.

- (c) Enter the measure used by the carrier to arrive at a quantified unit of use for each cost category, e.g., thousands of minutes of use or millions of minutes of use, messages, lines, etc. Use up to eighteen characters, with embedded blanks allowed.
- (d) Enter the forecast calendar year. Use three lines--one for each forecast year.
- (e) For each forecast year, enter the total number of units of use for all regulated and nonregulated activities. For example, total thousand of minutes of use for both regulated and nonregulated services.
- (f) For each forecast year, enter the number of units of use for regulated activities.
- (g) For each forecast year, enter the number of units of use for nonregulated activities.
- (h) For each forecast year, enter the result of column (g) divided by column (e) as a ratio rounded to four decimal places. The result represents the relative use of investment for nonregulated activities.
- (i) For each cost category, enter the highest of the column (h) figures for the three forecast years. Enter this value as a ratio rounded to four decimal places in the row for the third forecast year; in the rows for the first and second forecast years, leave this column blank. Exception: When total demand has begun to decline and is expected to continue to decline until exhausted, the peak ratio (calculated as column (g) divided by column (d) on Table II) is frozen at its highest previous level. A footnote is required to identify the year and the Table II filing date from which the peak ratio is derived.

TABLE II - FORECASTED INVESTMENT ALLOCATION SCHEDULE  
FOR CENTRAL OFFICE EQUIPMENT AND CABLE AND WIRE FACILITIES  
BY COST CATEGORY

GENERAL INSTRUCTIONS

Report information by cost category as designated on Table I. Use one row for each category and enter in the same order as on Table I, using the same cost category code.

Assign a unique row number to each row of the table, beginning with 1 and incrementing by one, with no numbers skipped.

COLUMN DESCRIPTIONS

COLUMN

- (a) Enter column (a) from Table I.
- (b) Enter Column (b) from Table I. Enter "NONE" on the first row of the table if there are no cost categories that require allocation on the basis of a forward looking allocator.
- (c) Enter the ratio from Table I, column (i).
- (d) Enter the forecasted average gross investment for the total filing entity for the prospective access tariff year.
- (e) Enter the product of column (c) times column (d). This result represents the amount of gross investment dollars forecasted for nonregulated activities, based on the current investment forecast.
- (f) Enter the amount listed in Report 495B, Actual Usage of Investment Report, Table IV, column (d) in the most recent filing of the Actual Apportionment of Investment Dollars Schedule.
- (g) Enter the higher of column (e) or column (f). Exceptions: (1) If total demand has begun to decline and is expected to continue to decline until exhausted, enter column (e); (2) if a waiver to transfer nonregulated investment to regulated activities has been granted, enter column (e) or (f) as appropriate, minus the amount of transferred investment. A footnote is required if a waiver has been granted. The footnote should identify the date and amount of the investment transferred and the date the waiver was granted. This represents the gross nonregulated amount that is to be removed under Part 64 of our rules for the prospective access tariff year.

**TABLE III - FORECASTED INVESTMENT SUMMARY SCHEDULE  
FOR CENTRAL OFFICE EQUIPMENT AND CABLE AND WIRE FACILITIES  
BY ACCOUNT AND COST CATEGORY**

**GENERAL INSTRUCTIONS**

Report each central office equipment and cable and wire facilities cost category identified in the carrier's approved Part 32 Cost Allocation Manual. In columns (c),(d), and (e), provide subtotals by account for accounts with more than one cost category, provide separate subtotals for Central Office Equipment - Switching, Central Office Equipment - Transmission, Central Office Equipment, and Cable Wire Facilities, and provide a grand total as the last row of the table.

Assign a unique row number to each row of the table, beginning with 1 and incrementing by one, with no numbers skipped.

**COLUMN DESCRIPTIONS**

**COLUMN**

(a) Enter an account number for each central office equipment and cable and wire facilities account. Leave this column blank in the rows containing the subtotals for COE - Switching, COE - Transmission, Central Office Equipment, Cable and Wire Facilities, and the grand total row.

(b) Enter a cost category for each central office equipment and cable and wire facilities cost category as follows:

The column will consist of the category's alpha/numeric code (up to six characters, with no embedded blanks), followed by one or more spaces, followed by the descriptive name for the cost category (up to twenty characters, with embedded blanks allowed). The code and name will be taken from Table I, Column (b) for those cost categories that are subject to a forward looking allocator. If no code has been assigned, assign a unique code and use the same code in future Reports 495A and 495B, when filed. Use the following codes for the subtotal rows and the grand total row:

<u><b>Row</b></u>	<u><b>Code</b></u>
account subtotals	SUBTOT
COE - Switching	COE-SW
COE - Transmission	COE-TR
Central Office Equipment	COE
Cable and Wire Facilities	CWF
grand total	TOTAL

- (c) Enter the total forecasted gross investment dollars for all operations, both regulated and nonregulated, for each cost category. This amount shall be taken from Table II, column (d) for those cost categories that are subject to a forward looking allocator. The total of the cost categories in each account should correspond with the total filing entity's cost support data for that account filed with the access tariffs.
- (d) Enter the amount of gross investment allocated to nonregulated activities, for each cost category. This amount shall be taken from Table II, column (g) for those categories that are subject to a forward looking allocator.
- (e) Enter the remainder of column (c) less column (d). The resulting amount is that amount allocated to regulated activities and must be consistent with the access tariff cost support data.

## A. Introduction

This document contains the detailed automated data processing (ADP) specifications for the automated report to be filed as FCC Report 495A, the Forecast of Investment Usage Report.

## B. General Format

### 1. **Electronically-Filed Data**

- a. For data reporting years 1990 and beyond, carriers must file their ARMIS Reports electronically. Electronic submission of ARMIS reports is made through the Internet by selecting the “**Upload ARMIS Data**” link on the EAFS Home Page, at <http://www.fcc.gov/wcb/eafs/>.
- b. All files must be coded in ASCII. Header records in the ARMIS Report data files must include the report number, company, study area, period, COSA code, version, and submission number. A higher number (2, 3, etc.) and a new data entry date must be used each time a change occurs to denote a new submission. When correcting format errors, a new submission number is not required; however, the data entry date must be changed to reflect the date of the format change.
- c. A separate ASCII file must be prepared for each report. More than one ASCII file can be included in the same electronic upload file – which is created by the data validation program – as long as they are for the same report and cover the same period and version (different submission numbers and multiple reasons for correcting data are allowed).
- d. The electronic submission will serve as the carrier’s official filing.

### 2. **ASCII File Name** – each file has six components:

- a. the four letter COSA code (CO = Company, SA = Study Area, see the COSA Code Table for a list of companies and their respective COSAs)
- b. the last two digits of the year which is covered by the data
- c. the letters “JF”
- d. a decimal point
- e. the letter “P”, “C”, or “U” to indicate whether this is the Public version, the Confidential version, or the Unrestricted version
- f. two digits (zero filled) for the submission number: Submission 00 is for test data purposes only; Submission 01 is for the first submission of a year’s data

For example, the file name for the Unrestricted Version of the initial Forecast of Investment Usage Report data file to be submitted by Illinois Bell to cover the calendar year 2004 would be LBIL04JF.U01:

LBIL	COSA for Illinois Bell
04	Data for calendar year 2004
JF	Forecast of Investment Usage Report data file
.	Decimal point
U	Unrestricted version
01	First submission of this year's data

3. **Data Items That Are "Not Available" or Are "Withheld"** - See Sections B and C of the Reporting Procedures for a discussion of the Confidential Version, the Public Version, and the Unrestricted Version of this report and for a definition and discussion of data items that are "Not Available" or are "Withheld."

### C. Data Entry Conventions

1. Give each record a unique record number, beginning with 1001 and incrementing by one, with no numbers skipped.
2. Assign a unique row number to each row of the table, beginning with 1 and incrementing by one, with no numbers skipped.
3. Begin each data record in column 1 and make each record the proper length as specified in the record layouts. Commas are used as delimiters between fields. All numeric fields are right justified and space filled, e.g. use 123, NOT 123. All non-numeric fields are enclosed in double quotation marks and are left justified and space filled within these quotation marks, e.g. use "John Doe".
3. If an entry is to be a subtraction, indicate so by placing a minus sign in the column immediately preceding the numeric data, e.g., use -4, NOT - 4 or (4).
4. Do NOT include "\$", "%", embedded commas, decimal points, quotes or other formatting characters in any numeric data fields, except for row numbers and percentage fields, which will include a decimal point but not a percent sign.
5. In any numeric data field designated by N/A, enter -99999. If a "Public Version" is filed, enter -88888 in any numeric data field for which data are "Withheld" to indicate that confidential treatment has been requested. **DO NOT** override N/As. These entries must be formatted according to the format rules for the particular data field; e.g., in the percentage fields, -99999 is entered as -99999.00.

### D. Rounding Conventions

1. As specified in the Report Definition, all monetary figures must be rounded to the nearest thousand dollars.

2. All ratio fields must be entered as in the following example and rounded to 4 decimal places.

Example: 0.12345678 must be entered as 0.1235

#### E. **Footnotes**

##### 1. **General:**

- a. If any data for the current period differ materially from those for the previous period and the difference is not self-explanatory but was caused by unusual circumstances, the carrier must include detailed footnote text to explain the specific circumstances.
- b. If the reporting carrier does not follow the procedures described in the row and column instructions of the Report Definition, it must explain any deviations from those procedures in an explanatory footnote. Such footnotes must provide detailed explanations of the procedures actually used by the carrier and its specific reasons for deviating from procedures prescribed by the Commission's Rules. This provision should not be interpreted to mean that deviations from the prescribed rules will automatically be approved by the Commission. See Section E of the Reporting Procedures for examples of valid footnotes.
- c. Footnote entries must provide detailed explanations for only those items reported in the current submission. Footnotes from prior submissions should be included only if they remain applicable. In other words, when a resubmission nullifies an existing footnote, remove the footnote.
- d. The footnote text must be included in the Footnote Text Records.

2. **Mandatory:** Certain items require accompanying footnotes and those items are specified in the appropriate section of the Report Definition for filing the reports. Such footnotes are mandatory and must be entered in the Footnote Text Records.

#### F. **Errata**

1. Carriers are under a legal obligation to correct any erroneous data discovered, which includes footnotes, in ARMIS Reports. See Section B of the Reporting Procedures for the use of submission numbers when an erratum occurs.
2. Submissions containing corrected data must include references to indicate which data items were corrected since the previous submission. If the data items being corrected impact other data items in the report, then those items must also be corrected and referenced. Erratum references should reflect only those items corrected in the new submission. References from prior submissions must not be included in the new submission.
3. Current errata references must be included in the Erratum Record(s).

### G. **Data Validation Programs**

1. Each reporting carrier must validate its data by using the most recent edit check program, provided by the Commission, for the reporting year. When an error is detected, the carrier must correct the error prior to submitting the report to the Commission.
2. The edit check program creates the electronic upload file to be used as the carrier's official filing. If a carrier has data to report for ARMIS Report 495A, the upload file will contain the following:
  - a. The ASCII file(s)
  - b. Text files that will be stored in the data base
  - c. The edit check results ("the discrepancy report")
  - d. Other related documents – one each per upload file, when applicable – in PDF format

If a carrier has no data to report, the upload file will contain only one file, a "no data" letter in PDF format, as described in Section H.3, below.

- H. **Related Documents** – are required by Commission Order to be filed with specific ARMIS reports. The electronic submission of these documents must be converted into a PDF file and must be included in the electronic upload file.

**Document Conversion Requirements:** The related documents must be converted to Adobe Acrobat's Portable Document Format (PDF) directly through a word-processing application or through the use of a scanning device, prior to submitting the documents to the Commission. Other than a letterhead graphic, the documents to be converted should consist of text only—no graphics. Conversion of a document to PDF should result in a black and white document with a resolution no greater than 300 dpi, and having a file size no more than 40 to 50 Kb per page.

The related documents to be included in the electronic upload file for Report 495A are described below:

1. **Transmittal Letter** - Each ARMIS report submission must be accompanied by a letter of transmittal. When filing confidential data, a separate letter is required. These letters must be on official company letterhead and be included in each electronic upload file. The transmittal letter must list only COSAs included in the submission. For example, if a company has multiple holding companies and choose to file each separately, the letter must reflect only those COSAs submitted for the individual company. See Section G.2 of the Reporting Procedures for a description of the information to be provided in the transmittal letter.
2. **Data Certification Statement** - Carriers must certify the accuracy of the data submitted in the ARMIS Reports by including one certification statement, signed by a corporate officer, in each electronic upload file. See Section G.3 of the Reporting Procedures for a description of the information to be included in the statement.

3. **495 “No Data” Letter** - Carriers with no data to report in ARMIS Reports 495A and 495B may file this letter instead of an ASCII file. One letter is required for both reports and must be on official company letterhead. See Section G.3 of the Reporting Procedures for a description of the information to be provided in the letter. As stated above, the data validation program will create the electronic upload file for this letter. The electronic submission of this letter will serve as the carrier’s official filing.

## I. Data Record Descriptions

The nine data record types are described below. See pages 7 through 22 for record layouts. The file will consist of the following record types:

1. Record Type H1 - Header Record  
One Type H1 record per file. The first record of each file. Contains identifying data. See page 7 for record layout.
2. Record Type L2 - Label Record  
One Type L2 record per file. The second record of each file. Contains report number, carrier classification, carrier name, study area name, etc. See page 8 for record layout.
3. Record Type U1 – (Table I) Forecast Usage Data Record  
One or more Type U1 records per file. One record for each of the 3 rows for each of the cost categories designated for Table I, as identified on pages 3, 6, & 7 of the Report Definition. Each record contains forecast unit of use data values for a particular cost category and forecast year or one type U1 record to verify that there are no application cost categories. See pages 9 through 11 for record layout.
4. Record Type I1 - (Table II) Forecasted Investment Allocation Data Record  
One or more Type I1 records per file. One record for each row, one row for each cost category designated for Table II, as identified on pages 4 & 8 of the Report Definition. Each record contains forecasted investment dollars and their allocation or one type I1 record to verify that there are no applicable cost categories. See pages 12 & 13 for record layout.
5. Record Type S1 – (Table III) Forecasted Investment Summary Data Record  
Twenty or more Type S1 records per file. One record for each row, one row for each cost category, subtotal, and grand total designated for Table III, as identified on pages 5, 9, & 10 of the Report Definition. Each record contains forecasted investment dollars. See pages 14 & 15 record layout.
6. Record Type C1 - Confidential Treatment Record  
One or more Type C1 records per file. Contains information to identify the data for which the carrier is seeking confidential treatment or to verify that the carrier is not seeking any confidential treatment. See pages 16 & 17 for record layout.

7. Record Type F1 - Footnote Record

Optional. Zero or more Type F1 records per file. Contains explanatory footnote text. Certain items require accompanying mandatory footnotes and those items are specified in the appropriate section of the instructions for this report. See pages 18 & 19 for record layout.

8. Record Type E1 - Erratum Record

Zero Type E1 records in the first submission of a year's data. One or more Type E1 records per file in revisions filed to correct that year's submission. Contains information to identify the data which was corrected in the submission. See pages 20 & 21 for record layout.

9. Record Type T1 - Trailer Record

One Type T1 record per file. The last record of each file. Contains contact person, etc. See page 22 for record layout.

RECORD TYPE H1 - HEADER RECORD

<u>Field</u>	<u>Item</u>	<u>Example</u>	<u>Position</u>	<u>Description</u>
1	Record Number	1001	1 – 4	The sequential number of this record within this data file plus 1000. Format: Right justified and space filled.
2	COSA	"LBIL"	6 – 11	The COSA code for the filing entity. Format: Include quotation marks.
3	Record Type	"H1"	13 – 16	Always contains the letter H capitalized and the number 1 with no space between them. Format: Include quotation marks.
4	File Name	"LBIL04JF.C01"	18 – 31	The name of the ASCII file. Format: See page 1, paragraph B.4. Include quotation marks.
5	Year	2004	33 – 36	The year covered by the data.
6	Quarter #	0	38	Always contains the number 0 since this is an annual data file.
7	Data Entry Date	20040315	40 – 47	The date on which the data were last entered or revised. Format: YYYYMMDD.
8	Version	"C"	49 – 51	The version letter (P, C or U) capitalized (Public, Confidential or Unrestricted). Format: Include quotation marks.
9	Submission #	01	53 – 54	00 for test data purposes only; 01 for first submission of a year's data. Higher numbers (02, 03, etc.) are used each time a change occurs to denote a new submission. Format: Right justified.
10	End of Record Code	"XQ"	56 – 59	Always contains the letters XQ capitalized with no space between them to indicate the end of the record. Format: Include quotation marks.

Note: All fields are separated by commas.

```

      1      2      3      4      5
12345678901234567890123456789012345678901234567890123456789
Sample record:
1001,"LBIL","H1","LBIL04JF.C01",2004,0,20040315,"C",01,"XQ"
    
```

RECORD TYPE L2 - LABEL RECORD

<u>Field</u>	<u>Item</u>	<u>Example</u>	<u>Position</u>	<u>Description</u>
1	Record Number	1002	1 – 4	The sequential number of this record within this data file plus 1000. Format: Right justified and space filled.
2	COSA	"LBIL"	6 – 11	The COSA code for the filing entity. Format: Include quotation marks.
3	Record Type	"L2"	13 – 16	Always contains the letter L capitalized and the number 2 with no space between them. Format: Include quotation marks.
4	Report Number	"FCC REPORT 495A"	18 – 35	Always contains "FCC REPORT 495A" capitalized. Format: Include quotation marks.
5	Account Filing Level	"A"	37 – 39	Account filing level (Class A or B) capitalized. Format: Include quotation marks.
6	Carrier	"Illinois Bell " (spaces until pos. 70)	41 – 71	Name of the carrier. Format: Left justified and space filled. Include quotation marks.
7	Study Area	"Illinois "	73 – 95	Name of the study area. Format: Left justified and space filled. Include quotation marks.
8	Period Covered	"Jan 2004 to Dec 2004"	97 – 118	Period covered by the data. Format: "mmm yyyy to mmm yyyy" Include quotation marks.
9	End of Record Code	"XQ"	120 – 123	Always contains the letters XQ capitalized with no space between them to indicate the end of the record. Format: Include quotation marks.

Note: All fields are separated by commas.

```

          1           2           3           4           5           6           7           8           9
12345678901234567890123456789012345678901234567890123456789012345678901234567890123456
Sample record:
1002,"LBIL","L2","FCC REPORT 495A ","A","Illinois Bell           ","Illinois           ",
          1           1           1
          0           1           2
789012345678901234567890123
Continuation of sample record:
"Jan 2004 to Dec 2004","XQ"
    
```

RECORD TYPE U1 (Table I) - FORECASTED USAGE DATA RECORD

<u>Field</u>	<u>Item</u>	<u>Example</u>	<u>Position</u>	<u>Description</u>
1	Record Number	1003	1 – 4	The sequential number of this record within this data file plus 1000. Format: Right justified and space filled.
2	COSA	"LBIL"	6 – 11	The COSA code for the filing entity. Format: Include quotation marks.
3	Record Type	"U1"	13 – 16	Always contains the letter U capitalized and the number 1 with no space between them. Format: Include quotation marks.
4	Row Number	1	18 – 21	Row numbers as identified on the Report Definition. Valid range: 1 to 9999. Format: Right justified and space filled.
5	Cost category account number Column (a)	2212	23 – 26	This field contains the account number from column (a) as shown on the Form Section of the Report Definition. Format: Right justified and space filled. <u>Note:</u> Repeat this value in all three rows for each cost category.
6	Cost category code Column (b)	"DES-PS" (spaces until pos. 34)	28 – 35	This field contains the cost category code from column (b) as shown on the Form Section of the Report Definition. Format: Left justified and space filled. Include quotation marks. <u>Note:</u> See note at Field 5 above.
7	Cost category descriptive name Column (b)	"Dig Elec Sw Packet" (spaces until pos. 57)	37 – 58	This field contains the cost category descriptive name from column (b) as shown on the Form Section of the Report Definition. Format: Left justified and space filled. Include quotation marks. <u>Note:</u> See note at Field 5 above.
8	Allocator unit of use Column (c)	"Weighted Terms " (spaces until pos. 78)	60 – 79	This field contains the allocator unit of use from column (c) as shown on the Form Section of the Report Definition. Format: Left justified and space filled. Include quotation marks. <u>Note:</u> See note at field 5 above.

RECORD TYPE U1 (Table I) - FORECASTED USAGE DATA RECORD (continued)

<u>Field</u>	<u>Item</u>	<u>Example</u>	<u>Position</u>	<u>Description</u>
9	Forecast year Column (d)	2002	81 – 84	This field contains the forecast year from column (d) as shown on the Form Section of the Report Definition. Format: Right justified and space filled.
10	Column (e) data	100000	86 – 94	This field contains the data corresponding to column (e) as shown on the Form Section of the Report Definition. Format: Right justified and space filled. Enter -99999 to indicate that the data is not available. Enter -88888 on the “public version” to indicate that confidential treatment has been requested.
11	Column (f) data	80000	96 – 104	Column (f) data Format: See Field 10 above.
12	Column (g) data	20000	106 – 114	Column (g) data Format: See Field 10 above.
13	Column (h) data	0.2000	116 – 126	This field contains the data corresponding to column (h) as shown on the Form Section of the Report Definition. Format: Right justified and space filled. Enter -99999.0000 to indicate that the data is not available. Enter -88888.0000 on the “public version” to indicate that confidential treatment has been requested.
14	Column (i) data	-99999.0000	128 – 138	Column (i) data Format: See Field 13 above.
15	End of Record Code	"XQ"	140 – 143	Always contains the letters XQ capitalized with no space between them to indicate the end of the record. Format: Include quotation marks.

RECORD TYPE U1 (Table I) - FORECASTED USAGE DATA RECORD (continued)

Note: All fields are separated by commas.

Footnote records are needed if any data for the current period differ materially from those for the previous period and the difference is not self-explanatory but was caused by unusual circumstances. Also, if the carrier does not follow the procedures described in the row/column instructions in the Report Definition, footnote records are needed.

When total demand has begun to decline and is expected to continue to decline until exhausted, the peak ratio (calculated as column (g) divided by column (d) on Table II) is frozen at its highest previous level. A footnote is required to identify the year and the Table II filing date from which the peak ratio is derived.

If there are no cost categories applicable to Table I, then include only one Type U1 record. Enter the number 0 in fields 5 and 9, "NONE" in field 6, and -99999 in fields 10 thru 14.

	1		2		3		3		5		6		7		8		9
<u>1234567890123456789012345678901234567890123456789012345678901234567890123456789012345</u>																	
Sample records:																	
1003,"LBIL","U1",	1,2212,"DES-PS",	"Dig Elec Sw Packet	"	"Weighted Terms	"	,2003,	100000,										
1004,"LBIL","U1",	2,2212,"DES-PS",	"Dig Elec Sw Packet	"	"Weighted Terms	"	,2004,	200000,										
1005,"LBIL","U1",	3,2212,"DES-PS",	"Dig Elec Sw Packet	"	"Weighted Terms	"	,2005,	300000,										
-OR-																	
1003,"LBIL","U1",	1,	0,"NONE	"	"	"	,	0,	-99999,									

	1		1		1		1		1								
9	0	1	2	3	4												
<u>678901234567890123456789012345678901234567890123</u>																	
Continuation of sample records:																	
80000,	20000,	0.2000,-99999.0000,"XQ"															
140000,	60000,	0.3000,-99999.0000,"XQ"															
180000,	120000,	0.4000,	0.4000,"XQ"														
-OR-																	
-99999,	-99999,-99999.0000,-99999.0000,"XQ"																

RECORD TYPE II (Table II) - FORECASTED INVESTMENT ALLOCATION DATA RECORD

<u>Field</u>	<u>Item</u>	<u>Example</u>	<u>Position</u>	<u>Description</u>
1	Record Number	1093	1 – 4	The sequential number of this record within this data file plus 1000. Format: Right justified and space filled.
2	COSA	"LBIL"	6 – 11	The COSA code for the filing entity. Format: Include quotation marks.
3	Record Type	"I1"	13 – 16	Always contains the letter I capitalized and the number 1 with no space between them. Format: Include quotation marks.
4	Row Number	1	18 – 21	Row numbers as identified on the Report Definition. Valid range: 1 to 9999. Format: Right justified and space filled.
5	Account number Column (a)	2212	23 – 26	This field contains the account number from column (a) as shown on the Form Section of the Report Definition. Format: Right justified and space filled.
6	Cost category code Column (b)	"DES-PS" (spaces until pos. 34)	28 – 35	This field contains the cost category code from column (b) as shown on the Form Section of the Report Definition. Format: Left justified and space filled. Include quotation marks.
7	Cost category descriptive name Column (b)	"Dig Elec Sw Packet" (spaces until pos. 57)	37 – 58	This field contains the cost category descriptive name from column (b) as shown on the Form Section of the Report Definition. Format: Left justified and space filled. Include quotation marks.
8	Column (c) data	0.4000	60 – 70	This field contains the data corresponding to column (c) as shown on the Form Section of the Report Definition. Format: Right justified and space filled. Enter -99999.0000 to indicate that the data is not available. Enter -88888.0000 on the "public version" to indicate that confidential treatment has been requested.

RECORD TYPE II (Table II) - FORECASTED INVESTMENT ALLOCATION DATA RECORD (continued)

<u>Field</u>	<u>Item</u>	<u>Example</u>	<u>Position</u>	<u>Description</u>
9	Column (d) data	100000	72 – 80	This field contains the data corresponding to column (d) as shown on the Form Section of the Report Definition. Format: Right justified and space filled. Enter -99999 to indicate that the data is not available. Enter -88888 on the “public version” to indicate that confidential treatment has been requested.
10	Column (e) data	40000	82 – 90	Column (e) data Format: See Field 9 above.
11	Column (f) data	0	92 – 100	Column (f) data Format: See Field 9 above.
12	Column (g) data	40000	102 – 110	Column (g) data Format: See Field 9 above.
13	End of Record Code	"XQ"	112 – 115	Always contains the letters XQ capitalized with no space between them to indicate the end of the record. Format: Include quotation marks.

Note: All fields are separated by commas.

Footnote records are needed in any data for the current period differ materially from those for the previous period and the difference is not self-explanatory but was caused by unusual circumstances. Also, if the carrier does not follow the procedures described in the row/column instructions in the Report Definition, footnote records are needed.

If a waiver to transfer nonregulated investment to regulated activities has been granted, a footnote is required to identify the date and amount of the investment transferred and the date the waiver was granted.

If there are no cost categories applicable to Table II, then include only one Type II record. Enter the number 0 in field 5, “NONE ” in field 6, and -99999 in fields 8 thru 12.

										1
1	2	3	4	5	6	7	8	9		0
<u>1234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901</u>										
Sample record:										
1093,"LBIL","I1",	1,2212,"DES-PS",	"Dig Elec Sw Packet	",	0.4000,	100000,	40000,	0,			
		-OR-								
1004,"LBIL","I1",	1,	0,"NONE	",		",-99999.0000,	-99999,	-99999,	-99999,	-99999,	

1 1  
0 1  
23456789012345

Continuation of sample records:  
40000,"XQ"  
-99999,"XQ"

RECORD TYPE S1 (Table III) - FORECASTED INVESTMENT SUMMARY DATA RECORD

<u>Field</u>	<u>Item</u>	<u>Example</u>	<u>Position</u>	<u>Description</u>
1	Record Number	1109	1 – 4	The sequential number of this record within this data file plus 1000. Format: Right justified and space filled.
2	COSA	"LBIL"	6 – 11	The COSA code for the filing entity. Format: Include quotation marks.
3	Record Type	"S1"	13 – 16	Always contains the letter S capitalized and the number 1 with no space between them. Format: Include quotation marks.
4	Row Number	1	18 – 21	Row numbers as identified on the Report Definition. Valid range: 1 to 9999. Format: Right justified and space filled.
5	Account number Column (a)	2212	23 – 26	This field contains the account number from column (a) as shown on the Form Section of the Report Definition. Format: Right justified and space filled. <u>Note:</u> Enter 0 in rows where the Report Definition instructs that the column be left blank.
6	Cost category code Column (b)	"DES-PS" (spaces until pos. 34)	28 – 35	This field contains the cost category code from column (b) as shown on the Form Section of the Report Definition. Format: Left justified and space filled. Include quotation marks.
7	Cost category descriptive name Column (b)	"Dig Elec Sw Packet" (spaces until pos. 57)	37 – 58	This field contains the cost category descriptive name from column (b) as shown on the Form Section of the Report Definition. Format: Left justified and space filled. Include quotation marks.
8	Column (c) data	100000	60 – 68	This field contains the data corresponding to column (c) as shown on the Form Section of the Report Definition. Format: Right justified and space filled. Enter -99999 to indicate that the data is not available. Enter -88888 on the "public version" to indicate that confidential treatment has been requested.

RECORD TYPE S1 (Table III) - FORECASTED INVESTMENT SUMMARY DATA RECORD (continued)

<u>Field</u>	<u>Item</u>	<u>Example</u>	<u>Position</u>	<u>Description</u>
9	Column (d) data	40000	70 – 78	Column (d) data Format: See Field 8 above.
10	Column (e) data	60000	80 – 88	Column (e) data Format: See Field 8 above.
11	End of Record Code	"XQ"	90 – 93	Always contains the letters XQ capitalized with no space between them to indicate the end of the record. Format: Include quotation marks.

Note: All fields are separated by commas.

Footnote records are needed if any data for the current period differ materially from those for the previous period and the difference is not self-explanatory but was caused by unusual circumstances. Also, if the carrier does not follow the procedures described in the row/column instructions in the Report Definition, footnotes are needed.

1            2            3            4            5            6            7            8            9

12345678901234567890123456789012345678901234567890123456789012345678901234567890123

Sample record:

1109,"LBIL","S1", 1,2212,"DES-PS","Dig Elec Sw Packet ", 100000, 40000, 60000,"XQ"

RECORD TYPE C1 - CONFIDENTIAL TREATMENT RECORD

<u>Field</u>	<u>Item</u>	<u>Example</u>	<u>Position</u>	<u>Description</u>
1	Record Number (for <u>this</u> record)	1175	1 – 4	The sequential number of <u>this</u> record within this data file plus 1000. Format: Right justified and space filled.
2	COSA	"LBIL"	6 – 11	The COSA code for the filing entity. Format: Include quotation marks.
3	Record Type	"C1"	13 – 16	Always contains the letter C capitalized and the number 1 with no space between them. Format: Include quotation marks.
4	Record Number (for the confidential data)	1003	18 – 21	This field contains the record number of the record which contains the data for which the carrier is seeking confidential treatment. If the request is for the entire column(s) or the entire submission, use 9999. Format: Right justified and space filled.
5	Table Number	"I "	23 – 28	This field contains the table number (Roman numerals) of the table in which the confidential data appear. If the request is for the entire table, use "ZZZZ". Format: Include quotation marks. Left justified and space filled.
6	Row Number	1	30 – 33	This field contains the row number of the row which contains the confidential data as identified in the Report Definition. If the request is for the entire column(s), an entire table, or the entire submission, enter 9999. Format: Right justified and space filled.
7	Column Label	"C "	35 – 38	This field contains the column letter(s) of the confidential data as identified in the Report Definition. If the request is for an entire row, an entire table, or the entire submission, enter "ZZ". If the request is for more than one column but less than the entire row, then populate this field and as many as need for fields 8 thru 13. Format: Include quotation marks. Left justified and space filled.



RECORD TYPE F1 - FOOTNOTE TEXT RECORD

<u>Field</u>	<u>Item</u>	<u>Example</u>	<u>Position</u>	<u>Description</u>
1	Record Number (for <u>this</u> record)	1179	1 – 4	The sequential number of <u>this</u> record within this data file plus 1000. Format: Right justified and space filled.
2	COSA	"LBIL"	6 – 11	The COSA code for the filing entity. Format: Include quotation marks.
3	Record Type	"F1"	13 – 16	Always contains the letter F capitalized and the number 1 with no space between them. Format: Include quotation marks.
4	Record Number (for the footnoted data)	1003	18 – 21	This field contains the record number of the record which contains the footnoted data. If the footnote pertains to the entire column(s), an entire table, or the entire submission, use 9999. Format: Right justified and space filled.
5	Table Number	"I "	23 – 28	This field contains the table number (Roman numerals) of the table in which the footnoted data appear. If the footnote pertains to an entire table, use "ZZZZ". Format: Include quotation marks. Left justified and space filled.
6	Row Number	1	30 – 33	This field contains the row number of the row which contains the footnoted data as identified in the Report Definition. If the footnote pertains to the entire column(s), an entire table, or the entire submission, enter 9999. Format: Right justified and space filled.
7	Column Label	"G "	35 – 38	This field contains the column letter(s) of the footnoted data as identified in the Report Definition. If the footnote pertains to an entire row, an entire table, or the entire submission, enter "ZZ". Format: Include quotation marks. Left justified and space filled.
8	Footnote Number	1	40 – 42	The number of this particular footnote. Valid range: 1 to 999. Format: Right justified and space filled.

RECORD TYPE F1 – FOOTNOTE TEXT RECORD (continued)

<u>Field</u>	<u>Item</u>	<u>Example</u>	<u>Position</u>	<u>Description</u>
9	Sequence Number	1	44 – 45	The sequence number of the record within the footnote. In other words, the order of the specific record in the sequence of records which, when combined, will provide the entire footnote. Valid range: 1 to 99. Format: Right justified and space filled.
10	Footnote Text	"Footnote text " (Spaces until pos. 102)	47 – 103	The text of the footnote or of the continuation line. Format: Include quotation marks at the beginning and end of this field and left justify within these quotation marks.
11	End of Record Code	"XQ"	105 – 108	Always contains the letters XQ capitalized with no space between them to indicate the end of the record. Format: Include quotation marks.

Note: All fields are separated by commas.

Footnote records are needed if any data for the current period differ materially from those for the previous period and the difference is not self-explanatory but was caused by unusual circumstances. Also, if the carrier does not follow the procedures described in the row/column instructions in the Report Definition, footnote records are needed.

Footnote entries must provide detailed explanations for only those items reported in the current submission. Footnotes from prior submissions should be included only if they remain applicable. In other words, when a resubmission nullifies an existing footnote, remove the footnote.

										1
1	2	3	4	5	6	7	8	9	0	

12345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678

Sample records:

```

1179,"LBIL","F1",1003,"I ", 1,"G ", 1, 1,"Footnote text for footnote 1 ", "XQ"
1180,"LBIL","F1",1003,"I ", 1,"G ", 1, 2,"continuation text for footnote 1 ", "XQ"
1181,"LBIL","F1",1003,"I ", 1,"G ", 1, 3,"last line of footnote 1. ", "XQ"
1182,"LBIL","F1",1004,"I ", 2,"ZZ", 2, 1,"Footnote 2 pertains to all columns of Table I row 2. ", "XQ"
1183,"LBIL","F1",9999,"I ",9999,"H ", 3, 1,"Footnote 3 pertains to all rows of Table I column H. ", "XQ"
1184,"LBIL","F1",1094,"II ", 2,"C ", 4, 1,"Footnote 4 pertains to Table II row 2 column C. ", "XQ"
    
```

RECORD TYPE E1 - ERRATUM RECORD

<u>Field</u>	<u>Item</u>	<u>Example</u>	<u>Position</u>	<u>Description</u>
1	Record Number (for <u>this</u> record)	1185	1 – 4	The sequential number of <u>this</u> record within this data file plus 1000. Format: Right justified and space filled.
2	COSA	"LBIL"	6 – 11	The COSA code for the filing entity. Format: Include quotation marks.
3	Record Type	"E1"	13 – 16	Always contains the letter E capitalized and the number 1 with no space between them. Format: Include quotation marks.
4	Record Number (for the corrected data)	1003	18 – 21	This field contains the record number of the record which contains the data which were corrected in this submission. If the entire column(s), an entire table, or the entire submission was corrected, use 9999. Format: Right justified and space filled.
5	Table Number	"I "	23 – 28	This field contains the table number (Roman numerals) of the table in which the corrected data appear. If an entire table or submission was corrected, use "ZZZZ". Format: Include quotation marks. Left justified and space filled.
6	Row Number	1	30 – 33	This field contains the row number of the row which contains the corrected data as identified in the Report Definition. If the entire column(s), an entire table, or the entire submission was corrected, enter 9999. Format: Right justified and space filled.
7	Column Label	"G "	35 – 38	This field contains the column letter of the corrected data as identified in the Report Definition. If an entire row, an entire table, or the entire submission was corrected, enter "ZZ". If more than one column but less than the entire row was corrected, then populate this field and as many as needed of fields 8 through 18. Format: Include quotation marks. Left justified and space filled.



RECORD TYPE T1 - TRAILER RECORD

<u>Field</u>	<u>Item</u>	<u>Example</u>	<u>Position</u>	<u>Description</u>
1	Record Number	1189	1 – 4	The sequential number of this record within this data file plus 1000. Format: Right justified and space filled.
2	COSA	"LBIL"	6 – 11	The COSA code for the filing entity. Format: Include quotation marks.
3	Record Type	"T1"	13 – 16	Always contains the letter T capitalized and the number 1 with no space between them. Format: Include quotation marks.
4	Contact Person	"John Doe " (spaces until pos. 58)	18 – 59	The name of the person to contact if there are questions about the report. Format: Include quotation marks.
5	Telephone #	"(202) 555-1212 x123 "	61 – 82	The Contact Person's telephone number. Format: Include quotation marks.
6	End of Record Code	"XQ"	84 – 87	Always contains the letters XQ capitalized with no space between them to indicate the end of the record. Format: Include quotation marks.

Note: All fields are separated by commas.

1 2 3 4 5 6 7 8  
123456789012345678901234567890123456789012345678901234567890123456789012345678901234567

Sample record:

1189, "LBIL", "T1", "John Doe", "(202) 555-1212 x123 ", "XQ"