

UNITED STATES FEDERAL COMMUNICATIONS COMMISSION

IN RE:)
)
WORKSHOP ON 21ST CENTURY)
EMERGENCY ALERTING:)
LEVERAGING MULTIPLE)
TECHNOLOGIES TO BRING ALERTS)
AND WARNINGS TO THE PUBLIC)

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Before the
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Washington, D.C. 20554

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Room TW-C305
FCC Building
445 12th Street, S.W.
Washington, D.C. 20554

Thursday,
June 10, 2010

The parties met, pursuant to the notice of the
Commission, at 9:06 a.m.

APPEARANCES: JAMES ARDEN BARNETT, JR.,
Chief, Public Safety and Homeland
Security Bureau, FCC

DAMON PENN,
Assistant Administrator for NCP,
FEMA, U.S. Department of Homeland
Security

PANEL ONE: ANTWANE V. JOHNSON, Moderator
Division Director/PM
DHS/FEMA, IPAWS

HENRY D. BLACK, Panelist
Manager, Communications Branch,
Maryland Emergency Management Agency

GREGORY COOKE, Panelist
Associate Chief, Policy Division,
PSHSB, FCC

APPEARANCES: (Continuing)

STEVE JOHNSON, Panelist
President, Johnson Telecom and
Consultant to the NCTA

BRIAN JOSEF, Panelist
Director, Regulatory Affairs at
CTIA, The Wireless Association

MARK S. PAESE, Panelist
Director, OOS, NOAA,
National Weather Service

KELLY T. WILLIAMS, Panelist
Senior Director, ETP,
National Association of Broadcasters

WADE WITMER, Panelist
Deputy Division Director, DHS/FEMA,
IPAWS

PANEL TWO: JEFFERY GOLDTHORP, Moderator
Chief, CCSAD, PSHSB, FCC

ART BOTTERELL, Panelist
Public Warning Consultant
Practitioner and Standards Architect

1 their busy schedules to share their expertise on the
2 important questions that will be discussed at today's
3 workshop.

4 I would also like to recognize and thank my
5 own folks, Lisa Fowlkes, my deputy bureau chief,
6 responsible for emergency alerting issues here at the
7 FCC; Tom Beers, Greg Cooke, and Jeff Goldthorp, for
8 their work on emergency alerting issues; and Ann
9 Buckingham, Antwane Johnson, and Wade Witmer, for
10 their work on emergency issues at FEMA.

11 I would also like to thank Debra Cline, who
12 I think is over in the corner with Susan McClain, Rob
13 Kinney, and Deandra Wilson, for their work on planning
14 these workshops. It really takes a lot to pull these
15 together.

16 Today's workshop is important. It is
17 absolutely critical that members of the public have
18 access to timely and accurate emergency alerts and
19 warnings about impending disasters and other
20 emergencies.

21 One of our top priorities at the FCC has
22 been and continues to be ensuring that all Americans
23 have the capability to receive timely and accurate
24 alerts, warnings, and critical information regarding
25 emergencies, irrespective of the communication

1 technologies that they use or have in hand.

2 The American people rely on a multitude of
3 communication technologies in their daily lives, and
4 increasing are relying on IP and other broadband
5 technologies.

6 A comprehensive alerting system that
7 utilizes multiple communication technologies,
8 including broadband technologies, will have the
9 abilities to reach more people, including those who
10 are on the go, within a short period of time.

11 For example, in the event of a hurricane,
12 alerts could be aired over broadcast, cable,
13 satellite, and other immediate outlets, sent to
14 wireless and wildline phones with the affected area;
15 posted on internet feeds and websites, and issued
16 through any other communications outlet serving the
17 affected area.

18 Today's discussion will focus on how we get
19 there. We hope that our panel discussions will touch
20 on the following topics.

21 First, the status of Integrated Public Alert
22 and Warning System, IPAWS, including mixed iteration
23 EAS and CMAS.

24 Secondly, what changes to the FCC's EAS
25 rules are necessary in a cap based world; how we can

1 leverage broadband technologies and the internet to
2 distribute timely and accurate emergency alerts.

3 How we can ensure when a comprehensive broadband
4 based alerting system is deployed, and State, tribal,
5 territorial, and local government officials can and
6 will want to use it.

7 And finally how do we ensure that all
8 Americans can receive emergency alerts over this
9 comprehensive broadband alerting systems, regardless
10 of their capabilities or the capabilities of their
11 technology.

12 Again, thank you for taking the time to be
13 with us today, either in person -- and I would also
14 mention that this is a nice turnout here, but we also
15 have many people attending over the web, and we
16 appreciate their virtual presence as well.

17 We appreciate your interest in ensuring that
18 Americans have this capability to receive alerts over
19 multiple technologies. And now I would like to turn
20 over the podium my friend, Damon Penn, who as I
21 mentioned is an Assistant Administrator of FEMA
22 National Continuity Programs. So, Damon, we welcome
23 you here.

24 MR. PENN: Thank you, Jamey, and thanks to
25 you and Lisa, and your entire staff, and the FCC, for

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1 putting this together for us, and for being such
2 great partners throughout.

3 It is a real pleasure to be here, and most
4 of all, I want to thank all of you for being here and
5 for your participation, and especially with all of the
6 heated e-mail that has been flowing back and forth up
7 to this point.

8 I think we will have a great day today and
9 we will be able to discuss a lot of the issues that
10 are on your minds and move forward with our work at
11 hand.

12 And as all of you know, America's most
13 valued commodity is the citizens, and as its public
14 servants, we have taken an oath to support and defend
15 them and our way of life, and ensuring that they
16 receive alerts and warnings by all possible means is a
17 task that all of us see as being as important as
18 defending against terrorist attacks.

19 It is one of the services that we are
20 expected to provide a hundred percent of the time to a
21 hundred percent of the population, and the efforts
22 that we take here today will help ensure that we can
23 perform this task.

24 As some of you know, FEMA has not always
25 understood the importance of communicating alerts and

1 warnings by multiple means, and how critical
2 leveraging existing technology at the State, local,
3 and tribal governments already possess this to the
4 process.

5 I can assure you that the Administrator and
6 I get it, and we understand the importance of that
7 inclusion, and we are committed to developing
8 solutions for evolving needs of our citizens.

9 To restate the obvious, as you all know, we
10 no longer live in a society where we can reach our
11 population by a single means. We have families that
12 continue to receive the bulk of the information from
13 radio and television, but living right next door to
14 them, we have a family who doesn't own a television.
15 They spend their time on the internet.

16 Next on the street, there is a family that
17 is consumed by social media, and their neighborhood
18 relies on tribal leadership for most of their outside
19 interaction.

20 We have also got a large special needs
21 community that we have to care for, and we also have
22 the challenge of linguistics to overcome as well. So,
23 all of these together really make up our problem set
24 and make up what our solution needs to be.

25 So to meet these needs, emergency alerts and

1 warnings have kind of taken a page out of the business
2 model that our partners use, and I would like to
3 discuss it as being more of an applications based
4 approach, versus a requirements base, and I will use
5 Blackberrys and I-Phones as an example of the
6 difference, and why the approach is so significant.

7 If you will look at a Blackberry as an
8 example, and please don't assume that I am endorsing
9 any products here, but if you look at a Blackberry,
10 what do you have? You have got a screen, and you have
11 got a keyboard.

12 And you have got that because we had a
13 requirement to -- or our society had a requirement to
14 develop a way to do internet on the move. So, the
15 people that developed the Blackberry then did all the
16 research and development to come up with a thing that
17 did just that.

18 So now you have a piece of equipment that
19 has a screen and a keyboard, and it does e-mail very
20 well. The difference is with an application based on
21 the I-Phone, then with the I-Phone, you get what? You
22 get a touch screen, and if you need a keyboard, it is
23 built into the application.

24 And if you need a thumb wheel, it is built
25 into the application, and what that has opened up in

1 the business model is the ability to do an entirely
2 different set of tasks that you never thought you
3 needed.

4 And somebody else pays for it for you, and
5 develops it, and asks if they can bring that over and
6 put that on your equipment. Now, what a novel idea.
7 So that is what we are trying to do.

8 So all of those things that my wife uses,
9 like the little grocery store thing that says that you
10 are a member of this club, or the bar reader, and all
11 those other things that for the life of me I can't
12 think why people would want, were all developed by
13 somebody else at somebody else's expense, and brought
14 into the whole world of applications based technology.

15 We have those same things going on with
16 alerts and warnings throughout. I have spent the last
17 two days in New York City. They have just a wealth of
18 products and a wealth of information and knowledge
19 that we can leverage and put into IPAWS, and share
20 with the rest of the country, and the rest of our
21 citizens.

22 Other States have led that approach as well.
23 So leveraging that potential, and working in an
24 applications based world, is really why we are here
25 today, and as Jamie said, discussing changes in IPAWS,

1 CMAS, and EAS, and recommending rules to the FCC on
2 how to exploit broadband technology fully is critical.

3 And our Nation counts on us to do that, and
4 I think today is a great step moving forward towards
5 it. So thank you very much again for coming.

6 I would now like to introduce Antwane
7 Johnson. Antwane Johnson is the IPAWS program
8 manager, and he and his team are the ones that do the
9 hard work. I am the pretty face of this organization,
10 and I know if I am the pretty face, we have got a lot
11 of challenges.

12 But fortunately he knows a lot more about
13 ones and zeros than I know about delivering messages.
14 So, Antwane, if you would introduce the panel, we
15 will get on to the work of the day.

16 MR. A. JOHNSON: Thank you, Mr. Penn. I
17 think Mr. Penn, as well as Admiral Barnett, have
18 really set the stage for what it is that we are going
19 to be discussing on this morning as part of this
20 panel.

21 Our panel is entitled, "The Path to Next
22 Generation Alerting." We will be focusing on public
23 alerts and warnings, discussing EAS, and the common
24 commercial mobile alerts system, as well as its
25 integration into the integrated public alert and

1 warning system being developed by FEMA, in partnership
2 with our Federal partners and our private sector
3 partners.

4 We will also touch upon some of the
5 decisions that are right on the horizon here with
6 regards to common alerting protocol, and its adoption,
7 as well as the Alaska exercise that was conducted back
8 in January of this year.

9 And a little about the national exercise or
10 test that is being planned for 2011. Just to cover a
11 little bit of history, most of you assembled in the
12 room would know that the system that we are dealing
13 with today to provide alert and warning to our U.S.
14 citizens was primarily founded in 1951 during the
15 CONELRAD program during the Truman Administration.

16 That evolved into in 1963 to the American
17 Emergency Broadcast System, and in 1997, through an
18 FCC ruling, to the Emergency Alert System. And in
19 2006, there was an Executive Order that was published
20 by then President George Bush, which tasked the
21 Federal Government and primarily DHS and FEMA, with
22 developing the next generation alert and warning
23 capability for the Nation.

24 Now, I would just like to draw kind of the
25 primary mandate from that particular Executive Order

1 and just bring that to your attention, and that is
2 really the guiding document, and I guess the
3 authoritative document that we refer to when it comes
4 to the work that we have been assigned to do.

5 And in the Executive Order, it states that
6 it is U.S. policy to have an effective, reliable,
7 integrated, flexible, and comprehensive system to
8 alert and warn the American people in situations of
9 war, terrorist attack, natural disaster, or other
10 hazards to public safety and well-being.

11 And I think that pretty much sums up the
12 entirety of what it is that we are attempting to do
13 with regards to developing next generation alert and
14 warning capabilities for the Nation.

15 Now I would like to move on and introduce
16 this panel that we have assembled here on this
17 morning. To my left is Mr. Henry Black. Henry,
18 commonly referred to as Hank, is the Associate Chief
19 of the Policy Division -- oh, I'm sorry. I started
20 reading from the wrong bio.

21 So, Mr. Black is the Communications Branch
22 and Technical Services lead with the Maryland
23 Emergency Management Agency. In this role, Mr. Black
24 has worked in emergency communications with local and
25 state governments for the last 24 years.

1 In his current role as manager of the
2 communications branch of the Maryland Emergency
3 Management Agency, he advises MEMA, as it is being
4 referred to, and other state agencies on matters
5 affecting statewide emergency communications,
6 including continuity of operations, and
7 interoperability for first response in disaster
8 operations.

9 Mr. Black is a technical advisor to the
10 Maryland State Emergency Communications Committee. He
11 also serves as the emergency management representative
12 to the public safety communications review committee
13 for Region 20, which consists of Northern Virginia and
14 Maryland.

15 And he is a member of that same technical
16 committee. He is a state representative to the
17 Federal Interoperability Work Group of Shares for the
18 high frequency radio program of the national
19 communications system, and he also serves as the
20 emergency management Maryland point of contact for the
21 national communications system programs for government
22 emergency telecommunications services. So if you
23 would, please, join me in welcoming Mr. Henry Black to
24 the panel.

25 MR. BLACK: Thank you.

1 (Applause.)

2 MR. A. JOHNSON: It is so good to have you.

3 Next to Mr. Henry Black is Mr. Greg Cooke, who is the
4 Associate Chief of the Policy Division within the
5 Public Safety and Homeland Security Bureau here at the
6 Federal Communications Commission.

7 In his current position, Mr. Cooke focuses
8 on emergency alerting issues and leads the bureau's
9 team working with the White House, FEMA, NOAA, the
10 broadcast community, and others, to conduct a
11 nationwide test of the Emergency Alert System.

12 He has served as a senior legal counsel on
13 the Bureau's communications systems analysis division,
14 where he has co-lead the Commission's cyber security
15 working group, acted as lead attorney on the FCC
16 response to the National Security Council, and
17 Homeland Security Council's 60 day interagency cyber
18 security review.

19 And he has provided legal advice on
20 implementation of warning and alert response networks
21 on NCTA proceedings. Greg joined the Commission in
22 1995 as an attorney advisor in the Common Carrier and
23 Bureau's Tariff Division.

24 He received his J.D. from Fordham
25 University's School of Law, and also has a degree from

1 Boston University. Greg, thank you so much for being
2 here, and please join me in welcoming Greg to the
3 panel.

4 (Applause.)

5 MR. A. JOHNSON: Next we have Mr. Steve
6 Johnson, who is the President of Johnson Telecom, and
7 a consultant to the NCTA. Steve is the owner and
8 operator of Johnson Telecom, which is a technical
9 consulting company in the cable and telecommunications
10 field.

11 His 34 years in cable television includes
12 stints with United Cable, Cotton and Associates, and a
13 number of others. While he was employed with Time
14 Warner Cable, he was the company's representative to
15 Cable Labs open cable initiative and oversaw FCC
16 technical compliance among other standards related
17 responsibilities.

18 Mr. Johnson has been very active in
19 standards work with the Society of Cable
20 Telecommunications Engineers, the Consumer Electronics
21 Association, and he also served as a member of the
22 Federal Communications Commission's technical advisory
23 group on emergency alert systems.

24 He is also a senior member and was the
25 fourth SCTE member to be certified in the broadband

1 communications engineer program, and so he will
2 probably have a great deal of interest in the panel
3 that follows this one.

4 He also writes a quarterly column on
5 technical regulatory issues for broadband library and
6 has written numerous papers and articles in the cable
7 engineering field. Please join me in welcoming Steve
8 Johnson to the panel.

9 (Applause.)

10 MR. A. JOHNSON: Next to him is Mr. Brian
11 Josef. Brian is the Director of Regulatory Affairs
12 with CTIA, commonly referred to as The Wireless
13 Association, which is an international trade
14 association located right here in Washington, D.C.

15 Since joining CTIA in 2006, Mr. Josef has
16 worked on a wide range of issues involving spectrum,
17 regulatory mandates, tower siting, public safety, and
18 Homeland Security.

19 Prior to joining CTIA, he worked as an
20 attorney for Cole, Raywood, and Braverman, where he
21 advised clients on cable, wireless, and common carrier
22 issues, including licensing, compliance, and policy
23 matters.

24 He received his BA in International
25 Relations from the University of Pennsylvania, and his

1 J.D. from Catholic University of America. Please join
2 me in welcoming Brian to the panel.

3 (Applause.)

4 MR. A. JOHNSON: Next to Brian is Mr. Mark
5 Paese, who is the Director of the Office of
6 Operational Systems with the National Oceanic and
7 Atmospheric Administration, National Weather Service.

8 Mark is responsible for the day to day
9 management of the National Weather Service operational
10 systems, and has 28 years of experience in all facets
11 of weather, communications, and aviation, including 12
12 years in private industry.

13 Prior to his stint at NOAA, Mark held a
14 position in the international consulting firm of
15 Booze, Allen, and Hamilton, and prior to that was a
16 systems engineer with Westinghouse Electric
17 Corporation.

18 Mark has served as the co-executive director
19 of the White House Task Force on Effective Warnings,
20 and his efforts resulted in the President signing the
21 Executive Order to which I just referred to, Executive
22 Order 13407 on Public Alert and Warning Systems.

23 Mark also served on the FCC's Commercial
24 Mobile Service Alert Advisory Committee, which was
25 charged with examining existing and planned disaster

1 warning systems and developing recommendations to
2 ensure effective all hazards alert and warning
3 systems.

4 Mark is the recipient of the 2007
5 Presidential Rank Award, for the Department of
6 Commerce's Bronze Metal Awards, and a NOAA
7 Administration's Award. So he is well decorated.
8 Please join me in welcoming Mark Paese to the panel.

9 (Applause.)

10 MR. A. JOHNSON: Next to Mark is Mr. Kelly
11 Williams, and Kelly is the senior director of
12 engineering and technology policy with the National
13 Association of Broadcasters.

14 Mark joined NAB in 1989 and has worked on
15 numerous issues, such as radio frequency exposure,
16 emergency alert system, the V-Chip effort, as well as
17 a number of other efforts over at the National
18 Association of Broadcasters.

19 He has represented broadcasters' interests
20 on various industry technology standards committees,
21 and in cross-industry forums, including the
22 President's Council on W2K Conversion, the FCC's
23 commercial mobile alert advisory committee, and media
24 security and reliability council.

25 He currently focuses on spectrum issues and

1 advises NAB legal and government relations staff on
2 technology and spectrum management matters. He also
3 serves on the FCC's communications security,
4 reliability, and interoperability council.

5 Mr. Williams has a B.S. in Electrical
6 Engineering from Howard University. Please join me in
7 welcoming Kelly Williams to the panel.

8 MR. WILLIAMS: Thank you very much.

9 (Applause.)

10 MR. A. JOHNSON: And last, but not least, is
11 Mr. Wade Witmer, who is the deputy director at
12 DHS/FEMA for the Integrated Public Alert and Warning
13 System.

14 Wade began working at FEMA in January of
15 2009, and prior to his position here in FEMA, he was
16 employed with the Defense Information Systems Agency
17 for nine years, serving as the portfolio manager for
18 mobile communications in the Presidential
19 communications upgrade program management office.

20 And finally as the White House
21 communications agency deputy director for enterprise
22 architecture, strategic planning, and systems
23 engineering.

24 He has over 19 years of experience in
25 government systems engineering and government program

1 acquisition. Wade is a graduate of Penn State
2 University, with a B.S. in Electrical Engineering.
3 Please join me in welcoming Mr. Wade Witmer to the
4 panel.

5 (Applause.)

6 MR. A. JOHNSON: We are going to begin by
7 having each of the panel members just provide brief
8 opening remarks, and to share a little with us about
9 their perspective, and where they come from, and their
10 interest in alert and warning for the Nation. We will
11 start first with Henry.

12 MR. BLACK: Well, thank you very much, and
13 thank you to the FCC and FEMA for inviting me to
14 participate on this panel. Let me state that the
15 views expressed by me today are based on my
16 professional experience in emergency management over
17 the last 24 years, and don't necessarily represent the
18 views of my employer or the Maryland State Emergency
19 Communications Committee.

20 I have been involved in communicating
21 messages of one type or another, in one form or
22 another, at one level or another, at one level or
23 another of urgency for various governmental entities,
24 and non-governmental entities, for the last 20 years.

25 And in my present position, I serve as the

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1 communications officer and also as the manager for the
2 telecommunications section of the agency. As part of
3 my duties, I deal with the National Security Emergency
4 Preparedness Programs in at least three levels with
5 Federal agencies, to include the Maryland State
6 operations plan emergency support function number two,
7 communications.

8 And part of the ESF-2 includes the emergency
9 alert system to alert the public in Maryland. I
10 currently serve starting in 2003 as the technical
11 advisor to the Maryland State Emergency Communications
12 Committee.

13 While serving as Chairman previously of the
14 Communications Committee of the Governor's Emergency
15 Management Advisory Committee, the GEMAC, in 1994 to
16 2002, I promoted trying to find solutions to provide
17 an interoperable, inexpensive, robust, reliable,
18 secure solution or solutions to the issue of
19 connecting emergency management operations centers to
20 the broadcasters.

21 And in order to issue the AS messages to our
22 listening public and viewing public, we did find a
23 single solution, and funding needed to be secured for
24 this, and in 2003, we went before the Board of Public
25 Works, and got their blessing, and we are able to

1 implement starting in 2004 the solution that was
2 found, and involved a protocol called TCPIP on the
3 internet.

4 It also used satellites in the public switch
5 connectivity. All technical concerns that we had
6 either by the broadcasters or by the emergency
7 operations folks were mitigated.

8 We were able to cover 90 percent of
9 potential viewing and listening public at the time in
10 Maryland who were directly covered by this satellite-
11 based delivery system for ES messages.

12 All local and primary stations, and state
13 relay stations, were outfitted with the equipment, and
14 within two years, we had the capability to -- the
15 application provides simply messaging service,
16 cellular, and e-mail capability to the delivery of
17 these messages.

18 Once the FCC announced the coming of the
19 common alert protocol the network and the applications
20 that we secured included the CAP as a method of
21 origination and delivery.

22 So we are technology ready for the changes
23 once the FCC publishes in the Federal Register the
24 FEMA adoption of the CAP protocol. However, we still
25 have challenges ahead in the area of training for the

1 originators of the alerts, and the public who receive
2 these messages.

3 Amber alerts in Maryland currently is a
4 success story, but that is just one type of the
5 alerts. There are more and more funding is needed so
6 that all the alert types are understood by the public
7 properly and concisely, issued by trained, authorized
8 originators.

9 And we still have a lot of work to do in
10 targeting communities of multilingual backgrounds and
11 ethnic cultures. And one of the -- I guess desires
12 that I would like to see, is that the document that
13 was issued in 2002 in developing a unified all-hazards
14 public warning system, which was issued by the
15 Partnership for Public Warning, have that report
16 updated to where we are today, especially when we talk
17 about the social aspects of alert and warning.

18 And, of course, the National Academy of
19 Sciences had a panel in which my director participated
20 back in April, dealing with the social aspects of
21 these warnings in terms of their understanding, the
22 use of the terminology, and the terms that we have.

23 So that when one alert goes out, it is
24 understood by all on what the proactive actions are
25 that they need to take to protect their lives and

1 their belongings.

2 So that is kind of where I am coming from
3 for the perspective today in sharing my thoughts,
4 views, and observations over the years that we have
5 been doing public warning in Maryland.

6 And the new technology gives us added venues
7 for delivery of these messages, and it presents unique
8 problems, especially when you have got to get concise
9 information in 90 characters in order to meet the
10 cellular mobile alert system requirements.

11 So we are going to work with them, and we
12 are going to train our originators to have effective
13 messages. Thank you very much.

14 MR. A. JOHNSON: Thank you, Hank. We will
15 now have some brief remarks by Mr. Greg Cooke.

16 MR. COOKE: Thank you, Antwane. And thank
17 you, Admiral Barnett, and Mr. Penn, and I am very
18 excited about being here today. As Admiral Barnett,
19 and Mr. Penn, and Antwane pointed out, the overarching
20 policy of the FCC and its Federal, State, and industry
21 partners, has been to bring accurate, timely, state of
22 the art alerts, to the American public.

23 And the job that we at the FCC have had in
24 that context has been multiple. It has been to
25 facilitate the entry of these technologies into the

1 public and alert warning sphere, and to ensure
2 reasonable transition from the current system to these
3 new technologies, and that which affects us most, or
4 at least me most here today, is to make sure that the
5 current system works.

6 And in that regard, we have at the FCC, we
7 have done a number of rule makings over the last seven
8 years to affect this. Back in 2004, we initiated a
9 rule making for the emergency alert system.

10 That resulted in an order that brought
11 digital media into compliance with our Part 11 rules.
12 So, thus the whole digital t.v. transition could be
13 effected in such a way that for the purposes of alert
14 warning in the EAS would be transparent to the
15 American public.

16 Similarly, whether it was satellite radio,
17 such as Sirius and XM, or cable television, moving
18 into the digital age, there were no blocks in terms of
19 the delivery of the EAS.

20 Also in that document, we anticipated next
21 generation networks, and anticipated the advent of the
22 common alerting protocol, and initiated a whole set of
23 questions on how we could best affect the next
24 generation network, the next generation alert and
25 warning, using the common alerting protocol.

1 During the pendency of this rule making, of
2 course, Congress passed the WARN Act, and we then
3 developed in context with our Federal and State
4 partners the commercial mobile alert system, and I
5 just wanted to devote, and I don't want to steal
6 anybody's thunder, but I think this is a great example
7 of how groups like this, how government, industry,
8 State, special interest partners, can work together to
9 affect a really top flight, top technologically,
10 robust, and effective system.

11 We had the commercial mobile service alert
12 advisory committee that was mandated by Congress.
13 That turned out to be a highly collegial and effective
14 group, along with Jeff Gold, who worked in the project
15 management group of that, coordinating the various
16 industry groups to come up with the technical
17 protocols for the CMAS.

18 And right now we are, as will be discussed
19 later, on a track to really bring this first
20 generation, and 90 characters, with all due respect,
21 is strictly anticipated as a first generation
22 commercial mobile alert.

23 Currently, we are looking at a number of
24 things. We are looking at the introduction of CAP.
25 We have issued a public notice that has requested

1 comment on what changes to our Part 11 rules are going
2 to be necessary once the commercial alerting protocol
3 comes into place, which hopefully will be at some
4 point before -- you know, in the next few months, as
5 we have been discussing with FEMA.

6 And that will bring a number of changes to
7 play in the parts of the States, and it will begin to
8 mandate the carriage of governor alerts along the EAS
9 system.

10 It will also open up a wide spectrum of
11 technical possibilities for the delivery of alerts.
12 So the delivery of one single message over multiple
13 media to all the people at the same time, whether they
14 are listening to the radio, whether they are on the
15 internet, whether they are driving down the road
16 looking at a highway sign.

17 It has tremendous potential to be a very
18 effective and efficient way of delivering a tremendous
19 amount of information to the American public. But
20 while we are doing this, we cannot lose sight of what
21 has been and what will continue to be the backbone of
22 any alert warning system, which is a traditional alert
23 and warning system.

24 And it is no joke that as Antwane pointed
25 out that this was a Truman Cold War era architecture.

1 It is designed and developed, as I am sure my
2 colleagues at FEMA would agree, to work when nothing
3 else does, and to be the last resort of alert and
4 warning that can deliver a simple message as to many
5 people as possible over a robust architecture.

6 And so to ensure that that works, we have
7 issued a rule making, a record for which is now
8 closed, that will initiate the first ever end-to-end
9 national test of the emergency alert system, and we
10 are very excited about this.

11 We are very excited about working with FEMA,
12 and working with the White House, and working with the
13 manufacturers, and working with our industry partners,
14 to actually bring to the American public the proof
15 that we can all work together to deliver a very
16 complicated, yet effective, alert to the American
17 public.

18 And I think that the test that we had a few
19 months ago in Alaska shows that this kind of
20 partnership can work, and that we can work out the
21 technical bugs, and that we can work together, and we
22 can do the proper outreach, and we can really bring
23 something of tremendous value to the American public.

24 So I look forward to this panel this
25 morning. thank you very much.

1 MR. A. JOHNSON: Thank you, Greg. Our next
2 set of comments and opening remarks will come from Mr.
3 Steve Johnson. Steve, the floor is yours.

4 MR. S. JOHNSON: Okay. Thank you, Antwane.
5 I am very pleased to be here on behalf of the
6 National Cable and Telecommunications Association.
7 Cable television has been one of the latecomers to the
8 EAS program.

9 We weren't involved in it as long as the
10 broadcasters were, but for many years, even prior to
11 EAS, we had requirements in State and local franchises
12 to provide emergency alerting capability to allow
13 local governments the option to override the cable
14 channels in case of emergencies.

15 So we do have some long years of experience
16 in emergency alerting with lower case letters rather
17 than the capital EAS. In 1990, the FCC started its
18 process of revamping the old EBS system, and then they
19 asked cable operators to get involved through
20 discussions with the Society of Cable
21 Telecommunications Engineers.

22 During that time, there were meetings around
23 the country getting feedback from different cable
24 operators and different participants, and various
25 methods were tested to see what might be workable, and

1 eventually a workable solution was arrived at.

2 The FCC issued a notice of proposed rule
3 making, and Part 11 was the outcome of that, and as
4 part of that, the EBS system was renamed EAS system.
5 The broadcast was substituted for alert, indicating
6 that there were other participants in there now, such
7 as the cable television industry.

8 And cable television operators began
9 participating in EAS in 1997. It has evolved over the
10 years. During the early '90s, we had no digital
11 television to deal with, and so we had to evolve the
12 system as we progressed.

13 We are looking forward to the evolution and
14 the introduction of CAP. It will allow us to have
15 more detail on our emergency messaging. It is IP
16 based, and so it will be more robust, and as part of
17 that, there will be mandatory governor alerts that
18 will be carried.

19 And there will be new equipment required, or
20 modifications to the existing equipment. And one of
21 the provisions is 180 days to implementation after
22 FEMA approves the standard according to the FCC Part
23 11 rules.

24 The FCC issued the public notice as Greg
25 mentioned on the review of the emergency alert system,

1 and almost all of the commentators agreed that the 180
2 days was insufficient.

3 We are talking about taking a product, a
4 brand new product, and going through the R&D phase,
5 and building up prototypes, testing, developing the
6 product, and getting it certified, getting it
7 manufactured, selling the product, distributing it,
8 sales, installing the product, getting it up and
9 operational, and providing the training to the users
10 for that product, and 180 days is thought to be way
11 insufficient and needs to be extended.

12 We need to better define the conversion or
13 the translation from CAP protocol to the EAS protocol
14 so that we will have a smoother transition. There is
15 a lot of open issues and questions about that.

16 We need to define how the governor's alert
17 will work. We need the details so that that gets well
18 documented and everybody is playing from the same
19 sheet.

20 We need training for the operators and for
21 the system's message originators so that the
22 originator's originate messages that will be
23 recognized by the receiving equipment, and it will
24 flow seamlessly throughout the network.

25 We had a situation, and one of the things

1 that we learned in Alaska was that the message was
2 incorrectly encoded as far as the originating code,
3 and a lot of the cable operators in the automated mode
4 on their EAS equipment did not recognize that message
5 because it was an invalid code, and simply ignored it.

6 So we need to prevent those types of
7 accidents from happening. The national testing also
8 showed the need for consistency on EAS, and raised
9 some issues about what should be used for location
10 code, what originator code should be used, and how the
11 decoders react to an EAN message should they -- if one
12 manufacturer ignores the location code, while another
13 manufacturer looks for a specific location code.

14 We need to have some clarification on which
15 way that should go. So, I guess going forward, we
16 have some immediate issues that we would like to see
17 addressed in the cable industry.

18 We would like to extend that 180 day window,
19 and see a definition of the CAP to EAS converter,
20 define the procedures for the governor's alerts,
21 establish training programs, and have a clarification
22 of the national alerting procedures, including the
23 testing, on what we will be doing from now on.

24 The cable industry also has some challenges.
25 We are delivering programming to television sets and

1 other consumer devices. We are delivering programming
2 to cable t.v., ready digital televisions with a cable
3 card, with t.v. sets with set top converters, and soon
4 we will be delivering t.v. versus via internet
5 protocol to monitors and other devices that might not
6 even contain a television tuner in them.

7 So we have all these different platforms
8 that we need to support, and we are continually
9 challenged on how we are going to do that, and we are
10 continually working on that.

11 And I welcome the discussion that I hope
12 will come out of today's meeting, and thank you very
13 much for the invitation.

14 MR. A. JOHNSON: Thank you so much, Steve,
15 and a number of the issues that you have raised here
16 are quite common to us, in terms of the 180 clock for
17 compliance with FCC rules, as well as some of the
18 training issues, and the period of time that is
19 required to get devices to market, and to have folks
20 trained up on those devices.

21 So I am sure that we will have a fairly
22 lively discussion on those issues as we entertain
23 questions from both the panel and those who are
24 assembled here, as well as our internet participants
25 who will be providing tweaks as well. Thanks so much.

1 Our next panelist is Mr. Brian Josef.

2 Brian, the floor is yours for your remarks.

3 MR. JOSEF: Great. Thanks, Antwane. Again,
4 I want to expend my thanks to the FCC and to FEMA for
5 holding this workshop today, and for inviting me to
6 participate.

7 I am here to talk about CMAS, and I am going
8 to focus my remarks on that. Picking up on Greg's
9 mention of the effort underway, I think it is fair to
10 say that CTIA, and certainly our member companies,
11 supported the development and delivery of wireless
12 emergency alerts to our Nation's wireless subscribers
13 right from the get go.

14 There was the genuine belief that this
15 service will ultimately protect America and save
16 lives. As Greg mentioned, the WARN Act was enacted
17 back in 2006. It established a process for commercial
18 wireless providers to voluntarily elect to transmit
19 alerts to the public.

20 And under the WARN Act, Congress devised a
21 unique procedure to address the problem of emergency
22 alerting by getting the participation of interested
23 parties to work on the development and deployment of
24 commercial mobile alert service.

25 I agree with Greg. I think our Congress'

1 plan is working as scripted, and to date it is one of
2 the great leading examples of a successful public-
3 private partnership.

4 Following the requirements of the WARN Act,
5 the FCC established an advisory committee. There were
6 more than 40 members on that committee representing,
7 among others, Federal, State, local, and tribal
8 governments, commercial providers, vendors,
9 broadcasters, consumer groups, and other technical
10 experts, including a number of people both on the
11 panel, or entities both on the panel and in the
12 audience today.

13 And through a one year process through its
14 charge of developing recommendations for the technical
15 requirements for wireless carriers to voluntarily
16 transmit the emergency alerts, the advisory committee
17 carefully considered all relevant issues to formulate
18 what would be a workable operational plan for CMAS.

19 And in April of 2008, after the advisory
20 committee delivered its recommendations, the
21 commission adopted the committee's recommendations
22 regarding the technical elements, protocols, et
23 cetera.

24 I think from those in the audience, and the
25 discussion, many are familiar with the key aspects of

1 that order. I think we will have opportunity to
2 address that in the question and answer session. But
3 since the April order, two additional orders have been
4 put out by the FCC, and a technical industry
5 government working group has been working feverishly
6 on the standards development process.

7 April of 2012 is the timeline for delivery
8 of developing standards, and deploying, and testing
9 the alerts. But the technical working group, most of
10 its efforts to date have focused on industry, FEMA,
11 DHS, finalizing the sea gateway interface technical
12 specification, and procedures for alert origination.

13 And then beginning development and testing
14 of equipment necessary for fulfilling FEMA's role as
15 alert aggregator and gateway administrator. There are
16 two points that I want to make today.

17 Again, I think the work on standardization
18 efforts has been very good on both the industry and
19 the government sides, and we are encouraged by that.
20 I want to ensure that in standing up the Version 1.0
21 of the CMAS that we make sure that we walk before we
22 can run.

23 And echoing Greg's comments, we want to make
24 sure that the system works in the first iteration
25 before we risk getting sidetracked on implementing

1 this as part of another plan or focusing on evolution
2 before we have even launched the first iteration of
3 the CMAS.

4 And then I also want to echo Hank's
5 comments. We need to address the education elements
6 for alert originators and for the public. State and
7 local emergency operations centers need effective
8 procedures in place to successfully initiate the CMAS
9 process.

10 I agree that there is going to be a large
11 education process and coordination needed. I think
12 there has been the acknowledgement that that needs to
13 happen, both for the alert origination community, and
14 for the public.

15 I am certain that as carriers are
16 communicating with their subscribers on upgrades and
17 changeouts to CMAS capable handsets, there will be
18 that part of the education process.

19 So those are I think some key issues that I
20 hope we will further explore, but I look forward to an
21 in-depth discussion this morning. Thank you.

22 MR. A. JOHNSON: Thank you, Brian. And
23 certainly all issues that we are familiar with, and
24 should provide for a very fruitful discussion this
25 morning. Next providing remarks will be Mr. Mark

1 Paese.

2 MR. PAESE: Good morning, Antwane. Thank
3 you, and thank you, Admiral Barnett, and Mr. Penn, for
4 setting this up, and a great turnout from everyone,
5 and my esteemed colleagues for cooperating and
6 coordinating here.

7 So the role of IPAWS and NOAA, what is their
8 role, and how do we fit into this, and as was
9 mentioned earlier, NOAA is a Federal partner, a full
10 partner in this system.

11 We, of course, take the lead from FEMA and
12 DHS, and in the leadership role for IPAWS, it is
13 indeed the FCC, DHS, and Science and Technologies is
14 involved in this, and certainly NOAA as an originator
15 and disseminator of information.

16 We are as mentioned, we are developing a
17 system of systems, standards based, protocol based,
18 and there is not one solution. There are many
19 solutions, with multiple technologies.

20 So as we move forward, we are going to build
21 that together with the broadcasters, the cellular
22 industry, cable, broadband, internet, social media,
23 and of course the next group, the panel after us, will
24 discuss that even further.

25 So as was mentioned, I do have an interest

1 in the Integrated Public Alert Warning System, and the
2 Executive Order, having had some history with that and
3 some fingerprints on it, I guess.

4 And certainly it is the foundation for us to
5 move forward and to make that collaboration, develop
6 those systems and technologies. So in order to do
7 that, we need to have that partnership with the State
8 and locals, the broadcasters, the private sector,
9 academia, the NGOs, so that we are able to develop
10 standards and protocols as we move forward that are
11 based on ways that the equipment, and handsets, and
12 other devices, can be developed.

13 So the role of NOAA as an originator, and of
14 course as many of you know, approximately 85 to 90
15 percent of the emergency alerts are weather related,
16 and so we understand the criticality of getting alerts
17 and warnings out.

18 And any modification to that system is
19 critical, and that we keep the thing running as we
20 move forward. Of course, what was and is highlighted,
21 as was mentioned earlier, NOAA does have a
22 communications infrastructure that we will leverage
23 and move forward on.

24 We have a dissemination system that is
25 satellite based. We have our NOAA over the radio

1 system, and we have worked collaboratively with our
2 HAZ Collect system with FEMA, and of course our GO
3 targeting alerting system, to get those alerts and
4 warnings down to polygons if you will.

5 And alerts and warnings are important, and
6 obviously what is highlighted this past weekend with
7 the deadly tornados in Ohio, and in Illinois, and in
8 Michigan, time critical information is important.

9 So we had fatalities, which are seven too
10 many if I recall the number in Ohio. A good news
11 story is in Elwood, Illinois, 20 miles outside of
12 Peoria. They had a plan. They got the information,
13 and they got the alert warning.

14 They had a festival that was going on, and
15 they executed their plan, and they took the people who
16 were out at the festival and in the movie theater, and
17 got them to the basement.

18 The floor picture is people milling around
19 in a movie theater, and the roof picture is the roof
20 missing. So that is the key as we move forward, and
21 that we need to be able to protect lives and property
22 as we enable a system of systems to get the alerts and
23 warnings out.

24 And certainly it is alerts and warnings, but
25 it is also the crisis, if you will, of what is going

1 on in the Gulf today with deep water. NOAA has a hand
2 and a major role in supporting that effort, and
3 getting the information to the fisheries closures, of
4 where they are for the fishermen, the mariners, and
5 providing the support to our Federal partners, the
6 U.S. Coast Guard and DHS, so that we can get that
7 information daily out to the public and to the people
8 who need the information for their livelihood.

9 So as we move forward, I believe that we
10 need a holistic approach in formulating the message,
11 and as was mentioned, a social science aspect that we
12 need to look at of how we capture that message, and
13 how we best articulate it and get it out there, and
14 receiving the message and then taking necessary
15 actions.

16 So the origination process has to be
17 paramount that we look at validation, and
18 verification, and authentication, and looking at a
19 secure means to getting it there.

20 And then also working with the Federal,
21 State, and local partners, academia, the private
22 sector, subject matter experts, so that we can develop
23 those protocols and standards so that the
24 manufacturers and suppliers can develop the products
25 that we need to help protect lives and property.

1 So, we look forward to working, as we have
2 in the past, together with our partners to continue to
3 improve EAS, and build an integrated public alert and
4 warning system, and I thank you.

5 MR. A. JOHNSON: Thanks for much, Mark. All
6 very good points that I am sure will be addressed
7 during this session, and next to Mark next will be Mr.
8 Kelly Williams, who will provide brief remarks.

9 MR. WILLIAMS: Good morning everyone. Thank
10 you, Jamie, and thank you Damon, for putting this
11 together. Thanks to everybody for coming. Antwane
12 asked us to say a little bit about our role in EAS,
13 and anybody who has ever me on a panel, say that I
14 take culpability for some of this because i was the
15 one who got the assignment to pen, and excuse me if I
16 use the word, pen the petition that started all of
17 this back in 1991, that asked the FCC to review the
18 EBS.

19 But now I can say it is his fault, because
20 it is not my fault anymore alone. It is your fault,
21 too, because you got the team effort, absolutely. So
22 broadcasters have been a part of EAS from the very
23 beginning.

24 The existing EAS really took place in the
25 early '60s when President Kennedy wanted a system to

1 address the people in time of emergency, and while we
2 talk about CONELRAD as something that pre-dated at the
3 beginning, its purpose was different.

4 Its purpose was to get radio stations off
5 the air so that our enemies couldn't use radio
6 stations for targeting locations during times of war.
7 That is a different purpose really.

8 So the modern EAS started with broadcasters.
9 The President actually came to NAB, and he said, gee,
10 we would like to seize all the radio stations. Well,
11 let's not do it that way. How about if we volunteer
12 to let you use them during time of emergency, and the
13 rest is history.

14 So, we are really excited, and I personally
15 am excited, because I have been working on the EBS and
16 EAS since 1989, and it is really interesting. There
17 has been more progress in the last year than there has
18 been I would say in the last five years in going
19 forward.

20 And I commend the FCC and FEMA for working
21 together to move things forward, because from my
22 personal opinion, things were kind of at a standstill
23 for a while, and so we are really excited to see them
24 making progress.

25 And, yes, there is a lot of moving parts

1 here, but the parts are moving, and I think that is an
2 important thing. So, interestingly, Steve stole most
3 of my comments. It was pretty much a tick list in the
4 order that I had them written down.

5 So there are a few things moving forward
6 now. I will tell you that we are going to talk about
7 them later, and so I am just going to run through them
8 really quickly.

9 From a broadcaster perspective, looking
10 forward, there is not a lot of clarity on what we will
11 have to -- well, how many different sources we will
12 have to monitor if we continue in our same role, and I
13 think we need some clarification.

14 And this leads into the next issue, which is
15 the 180 day clock, but sort of going forward, I see at
16 least three basic things that we have got to monitor
17 in one way or the other.

18 One is the Federal system IPAWS, and two, is
19 whatever a State system is to support the governor's
20 message and so forth, and NWS. My understanding, and
21 Mark, you can correct me if I am wrong, I don't think
22 that you guys are moving away from SAME anytime in the
23 near future.

24 So we still have to be able to -- and as you
25 mentioned, most alerts are weather alerts. So we

1 still need to accommodate SAME messages, and for those
2 of you who don't know what that is, there is a
3 glossary in the back of the handouts. I'm kidding,
4 there is no handout.

5 So that is just a concern of ours that I
6 think we would like to see focused on as we go
7 forward, and really clarifying that works, and what is
8 commonly referred to as the daisy chain, where you
9 have stations monitoring stations, and that sort of
10 thing.

11 This gets back to State plans, and States
12 that have really robust plans and well thought out
13 plans. I happen to have been a former resident of
14 Maryland, and I know that Maryland has a great plan
15 put together with Northern Virginia and D.C. for
16 years, and I am happy to know that, because I lived
17 right on tornado alley. I lived right off 95 in
18 Prince George's County.

19 But anyway how that is going to work, and
20 what we are going to monitor, and will the daisy chain
21 stay or not stay, and things like that, are really
22 issues in the purview of the FCC that I think they
23 need to clarify going forward, and as part of
24 restructuring the Part 11 rules.

25 The 180 day clock. Well, I think that Steve

1 just really -- he hit all the issues right on the
2 head. One thing that is really kind of top of the
3 mind for me as I talked to a number of manufacturers,
4 it seems unclear what the role of type certification
5 -- and, for example, an EAS, a CAP EAS box, and
6 whether it needs to be certified, and when could they
7 apply it, and things like that.

8 I mean, those are issues, and again that the
9 Commission needs to -- and it is not really a FEMA
10 thing, but the Commission needs to look at that and
11 clarify that.

12 But the 180 day clock, I think that was
13 consensus that it is probably not enough time, and it
14 is a personal opinion. I don't know that FEMA
15 necessarily should be looking at sliding what they do,
16 but rather the FCC should look at it and say what is a
17 realistic time period, or change the trigger.

18 The announcement shouldn't be FEMA's
19 adoption of CAP. The announcement should be when
20 products are available, or when products get through
21 -- if you decide that products have to be type
22 certified, or type approved -- and I can't remember
23 which they had, but they have to be under Part 11.

24 But that means to look at that, and clearly
25 180 days is not. It is probably barely enough time to

1 get the products to market, and then you have to see
2 about getting them manufactured and sold.

3 There are -- what was the number -- 30,000
4 EAS participants, or something like that, maybe 27,000
5 and eight manufacturers. I think that is the number.

6 There may be some new people getting into the
7 business, but that is the order of magnitude. So they
8 have to look at it from a reasonable standpoint.

9 The governor's message. Again, just to
10 clarify it. There are a number of things in the
11 record, and we all ask the same questions. What did
12 you mean when you said -- and I guess we can talk
13 about this later, but the other issue -- well, I have
14 finished the list of things which NCTA and NAB agree.

15 There is an issue of cable override, and
16 that is where the mandate for cable stations to
17 override all stations takes away from our perspective
18 critical information from the audience.

19 And you have a broadcaster who is giving
20 detailed information, and a cable system that is in an
21 automated mode, puts up just a crawl and obliterates
22 that information.

23 So right now the rules require that. There
24 is a provision to negotiate that, but there are some
25 questions on whether or not the technology is capable

1 -- that digital cable technology is capable of doing
2 it in all cases.

3 We maintain that it is, and NCTA says, well,
4 no, it isn't really. It is complicated. But we think
5 that the rules need to be modified to not require an
6 override of all channels, but to make an exception for
7 broadcast stations that are providing emergency
8 information. So that is my tick list, and I am sure
9 other stuff will come up. Thank you very much.

10 MR. A. JOHNSON: Thanks for much, Kelly.
11 And last, but not least, yet again is Mr. Wade Witmer,
12 who will provide brief opening remarks.

13 MR. WITMER: And I would just like to start
14 with some thank yous. I think looking around and
15 seeing here in the room almost the entire community of
16 interest for alert and warning represented, and to say
17 that that community has been very active over the last
18 year-and-a-half that I am familiar with it.

19 And I think that a lot of things have been
20 accomplished, and that is attributable to the interest
21 and the interest shown by the community being
22 represented here today, and the importance that the
23 community places on getting this ball, or getting this
24 first block in place for the next generation and the
25 integration of alert and warning, and that is very

1 appreciated.

2 So where are we? A little bit about IPAWS
3 very quickly. Over the past year-and-a-half, with
4 efforts that got started way before that, the CAP --
5 the IPAWS specification for CAP was completed in the
6 last 12 months.

7 The CAP standard is on track to be done next
8 month, and an implementation guide to address some of
9 these EAS and CAP compatibility, CAP-EAS translation
10 issues, the first draft is out.

11 The CMAS spec to define the interface with
12 the cellular industry is in place, and implementation
13 plans, and planning for that is rolling. The FCC has
14 continued to actively work the regulatory environment,
15 and any changes that may be needed as we move forward.

16 And, of course, we are actively engaged with
17 -- or, excuse me, between FEMA and NOAA, to begin
18 integration of the capabilities that we want to have
19 existing, and that they have existing, and that we
20 want to be able to leverage across all aspects of the
21 alert warning community.

22 We have our initial aggregator
23 infrastructure and final integration testing to be
24 brought on-line, and a FEMA data center, in the next
25 two months. That includes the beginnings of the

1 gateway functionality to all pieces that may be able
2 to push something to the public.

3 So I would like to say that the shovel is in
4 the ground for putting this next building block in
5 place, the first building block in place to this new
6 capability for alert warning.

7 And last, and I would be remiss not to
8 mention, that planning is well under way, and as Mr.
9 Cooke mentioned, for a national exercise, and not just
10 a national exercise, but a national exercise program,
11 and a continuation of that on an annual basis, that
12 really is going to be a key piece.

13 And we are looking to be a key piece in bringing
14 awareness to not just the community that is
15 represented in this room, but really to help push and
16 to help educate all aspects that we have out there in
17 the importance of alerting to our society.

18 And really to help continue this partnership
19 piece among all sectors in the community, and really
20 to make sure that the American public is on board and
21 understands what we are doing. Thank you for being
22 here.

23 MR. A. JOHNSON: Okay. Thank you so much,
24 Wade. I think that is a good lead-in for some of the
25 questions to the panelists on this morning, and I

1 think, Wade, that you have done a great job in
2 identifying some of the ongoing developments within
3 the IPAWS arena with regards to CAP being on the
4 horizon, as well as some of the development efforts
5 with the IPAWS, or Federal aggregator going into
6 testing and initial kind of operating capability over
7 the next two months.

8 And along with the CMAS component being up
9 and available for testing in accordance with FEMA
10 plans in February of 2012. But along with that, I
11 just wanted to get some brief kind of reaction from
12 the panelists assembled here in terms of a State
13 perspective, in terms of how those things align with
14 State efforts with the pending announcement of CAP,
15 which I think is anticipated in September of this
16 year, along with some of the aggregation services that
17 are being stood up by FEMA as part of the Federal
18 aggregators.

19 So, Hank, from a State perspective, how do
20 those things align with ongoing efforts within the
21 States?

22 MR. BLACK: That is a good question,
23 Antwane, and it is one that each State within their
24 systems unique issues to deal with to make it work,
25 and while I can't speak for the other States, it goes

1 to an issue of how the alert message is originated,
2 whether the State controls the origination of a local
3 alert, or does the local group have the ability to
4 originate directly without going through the State.

5 And, in Maryland, we allow the locals to
6 originate all messages accordingly. So our concern is
7 how the aggregation gateway is going to work for us,
8 and what is that going to do when you look at the
9 overall design, and you see this cloud that is sort of
10 nebulous.

11 And you are trying to determine are you
12 going to have a situation that is going to cause
13 multiple alert triggers for the same event, and while
14 we are cautioned that it is not anticipated, we are
15 still waiting to see how those designs, where we put
16 something out at the State level, and it goes out
17 through NOAA over radio, and it goes directly in
18 Maryland from the originator to the broadcaster, and
19 the broadcaster has multiple inputs.

20 We believe that the CAP protocol will
21 eliminate any possibility of having duplicate message
22 or multiple originations now. We have had people
23 comment, well, what is wrong with having more than one
24 message of the same message.

25 And it goes to that social aspect where

1 people will start tuning out. They will see these as
2 not being life threatening, and again it goes to some
3 other issues, where, yes, we still want to get non-
4 life threatening alert warnings of different levels,
5 and the CAP protocol allows that very well.

6 The technology is there and the solutions
7 are there. It is just the time that it takes, and our
8 concern is understanding that process of where we are.
9 Fortunately, both our State representative to the
10 committee, as well as our local representative for
11 emergency managers, is participating in the IPAWS
12 working group.

13 So we are keeping up with what is going on.
14 We still have some concerns, but we haven't been able
15 to truly formulate some specific questions to answer
16 other than the education process, and the training
17 issues that we are going to see down the line.

18 But the technology doesn't scare us at all.
19 We just want to know where we are going to get the
20 money to buy the replacements, and that we will get
21 into later.

22 MR. A. JOHNSON: I was kind of wondering
23 when the money issue was going to surface on this
24 panel. We actually made it through all of the
25 introductory remarks without talking about money.

1 But certainly that is a concern for a number
2 of the folks in our community, both on the private
3 sector side, and our broadcasters, as well as the
4 State and locals, who will as a result of CAP adoption
5 be required to either purchase new devices or upgrade
6 the ones that they have, where those things can be
7 upgraded or modified.

8 So, a very relevant point, and certainly a
9 concern that I am sure as we go forward in partnership
10 that we will be able to figure this thing out in a
11 manner that will be in the best interests of all the
12 parties involved.

13 But, Brian, from a wireless perspective, one
14 of the things with the adoption of CAP and other
15 activities that are planned, with the evolution of the
16 next generation alert and warning capabilities for the
17 Nation, are there -- you mentioned that the initial
18 offering of CMAS was just that, the initial offering
19 where 90 character messages would be delivered to
20 cellular handsets and things of that nature.

21 But are there areas that you feel we could
22 better leverage from a wireless perspective to bring
23 more effective alert and warning to American citizens?

24 MR. JOSEF: You know, I think that is an
25 excellent question, and in my remarks earlier about

1 standing up Version 1.0, absolutely hear everyone --
2 and I am sure that there are the sentiments in the
3 audience about the limitations of the 90 character
4 message length.

5 Again, I would emphasize that that is the
6 initial offering. By design the CMAS process, and the
7 advisory committee was looking at standing up a system
8 that would work, and that would be reliable, and that
9 would be robust in a point to multi-point type of
10 environment that would not suffer from latency,
11 congestion, et cetera.

12 And in that way almost in the first
13 iteration be a bell ringer. Get the word out that
14 there is an incident, and for people to consult other
15 sources of information, or to get to safety, and then
16 assess next steps.

17 The CAP, I think, plays an important role,
18 and the Federal gateway, and the alert aggregator in
19 streamlining the message, and getting that information
20 out.

21 There is an eye towards the evolution of the
22 CMAS. The advisory committee itself flagged a number
23 of issues, forward looking, an enhanced GO targeting,
24 multiple languages, et cetera.

25 Not to get ahead of the next panel, but I

1 think in the discussions of future technologies, there
2 will be certainly some relevance to evolution of error
3 interfaces, and enhanced capabilities along those
4 lines.

5 And, third, you have in the WARN Act,
6 Section 604, which tasks DHSSNT with evaluating ways
7 to improve, and enhance, and basically evolve the
8 alerting process.

9 And I think that is something that industry
10 will be on board with. We are all moving towards that
11 common goal, but as Wade mentioned, building blocks.
12 I think that we want to make sure that CMAS gets stood
13 up as an operational robust functioning building block
14 before taking it to those next levels.

15 MR. A. JOHNSON: And just one follow-on
16 question on CMAS, the issue of CMAS. FEMA has made it
17 known publicly that it intends to have the CMAS
18 gateway up and available for testing with industry in
19 February of 2011.

20 In your opinion, do you feel that industry
21 will be prepared to move forward with the testing in
22 February of 2011?

23 MR. JOSEF: I do. I think even before the
24 final gateway specification was adopted the technical
25 industry, and government working group, started their

1 efforts on a testing specification.

2 That is underway, and that is moving
3 forward, and not to put a definitive timeline on that,
4 but I think we will see that stood up next year, in
5 2011, to begin that testing. So I think that is
6 something that both sides are working very well toward
7 accelerating.

8 MR. A. JOHNSON: I can tell you that from a
9 Federal perspective, we certainly enjoy the
10 collaboration and the partnership in going forward.

11 MR. JOSEF: And the same here.

12 MR. A. JOHNSON: And we could not do what we
13 do in terms of the mandate that we received with
14 regard to alert and warning without that public-
15 private partnership being as solid as it is. So we
16 really appreciate that.

17 But, Greg, just in the FCC, there have been
18 a number of comments on CFR 47, Part 11, and some of
19 the apparent mandates there with regards to timelines
20 and other compliance related issues.

21 Does the FCC anticipate additional rule
22 making, or have you received -- well, I don't know how
23 much you can get into with regards to the 180 day
24 clock, or the concerns that are being expressed by
25 both Kelly and Steve here at the table with regards to

1 the 180 clock, and type certification of equipment for
2 use in EAS. But if you could share any thoughts about
3 that from an FCC perspective.

4 MR. COOKE: Well, clearly, I can't comment
5 on what we might do with these comments, but we
6 certainly anticipated that there would be a number of
7 significant issues affecting our Part 11 rules that
8 would come from the introduction of the commonlarity
9 protocol.

10 And that is why we issued the public notice
11 that we did, and that actually I think we got our
12 initial comments on just a couple of weeks ago. In
13 fact, it was the 17th of last month.

14 And the replies will be coming in on Monday,
15 and so of course we are already aware of the comments
16 that have come in on their concerns about the 180 day
17 clock, et cetera.

18 I know from our perspective that this wasn't
19 the figure that was picked out of the air. We
20 realized that we have been following and working very
21 closely with our partners and seeing what work has
22 been done in OASIS and the development of CAP 1.1, and
23 certainly have been meeting with m-coder-decoder
24 manufacturers throughout, and discussing issues
25 concerning how one can operate the legacy system,

1 using the same protocols, and using CAP protocols, and
2 how you transition.

3 So we didn't feel that it was unreasonable
4 quite frankly, but hence that is why the number came
5 out as it did. But certainly we are looking at these
6 comments very carefully, as well as the other comments
7 that are going to be -- that we anticipate would be
8 affecting our Part 11 rules.

9 And I should also mention that part of what
10 that public notice was about was anticipating, and
11 which will be coming up in the next set of panels, the
12 whole broadband evolution of alert and warning, and
13 the notice of inquiry that is anticipated for alert
14 and warning in the broadband plan.

15 So, I mean, we are looking at this --
16 really, the CAP is long term stuff, because the
17 potential is so long term. So I think that is about
18 as much as I can say about the 180 day right now.

19 MR. A. JOHNSON: Okay. Thanks so much.
20 Just to follow on with the issue of the equipment
21 being available, whether or not we have the
22 manufacturing capacity, to make devices available to
23 some, and the jury is still out on the number 27 to 30
24 thousand broadcasters and others who will be
25 purchasing equipment.

1 Wade, could you just speak a little on kind
2 of the process that FEMA is going through with regards
3 to the conformance lab, and what is the capacity for
4 getting devices through for a CAP compliance, and
5 after Wade is done, Greg, if you could speak to the
6 type certification issue, in terms of whether or not
7 that might serve as a -- I would say as an
8 alternative, but whether or not the CAP certification
9 by the lab that FEMA stood up will meet the
10 requirements of the FCC in terms of meeting that type
11 certification requirement?

12 MR. WITMER: Right now the lab that we have
13 stood up is really -- the plans that they have put out
14 and they are tasked to is test compliance with the
15 IPAWS specification to CAP 1.2.

16 So we are not having them check right now
17 for the compliance to an EAS to CAP translation to the
18 implementation guide that we are working the draft
19 with our industry partners on.

20 So that is something that we can work
21 depending on, if that is the right place to do that or
22 not, and to add that in. Right now there are some
23 applications for equipment that have come in to begin
24 testing in that lab.

25 That lab is ready to go, and has all our

1 plans and procedures in place. There is a website out
2 there that you can submit an application to. They
3 need to stay in step with the full standard, and there
4 is some hesitation with that standard being finalized
5 by Oasis before I think we will see products start
6 flowing into that lab.

7 With that said, I would also like to say
8 that we have seen to address some of the industry
9 concerns, and what is the status of vendor support for
10 the standards.

11 At NEB, we had I think six vendors that
12 demonstrated CAP EAS translation, minor slight
13 differences that we work out through the
14 implementation guide that we intend to adopt with the
15 standard when we get to that peace.

16 But the response -- and some of those folks
17 that brought boxes to that demonstration really, and
18 no thanks to us, responded, and turned around, and
19 built boxes that could do that in a week.

20 So I think that there is quite a bit of
21 capability in the manufacturing, and in the product
22 market out there that potentially support this in a
23 very quick manner.

24 MR. COOKE: And just as a follow-up, what I
25 hadn't mentioned in response to your prior question is

1 that this CAP is out there. I mean, there are a
2 number of States that have CAP based alert warning
3 systems right now.

4 And so we are being educated by them, in
5 terms of what we think are reasonable transition
6 times. In terms of any kind of certification, this is
7 something that we are looking at, and I am not
8 familiar with any current requirements.

9 There is really no Part 64 EAS, and we have
10 never subjected the encoder-decoder to Part 64. They
11 have got the emissions requirements as any box --

12 MR. WILLIAMS: No, I'm sorry, no.

13 MR. COOKE: No, they don't do they?

14 MR. WILLIAMS: They are required to be under
15 Subpart XI. Encoders have to be certified. They have
16 to apply and get certified on Part 11.

17 MR. COOKE: Well, you know, I think we are
18 just are going to have to talk about that.

19 MR. WILLIAMS: I mean, that is certainly
20 part of the transition to cap, is how would you change
21 the certification program, but the extent to which
22 your test lab, which is a great website by the way --

23 MR. COOKE: So, let me just enumerate, and
24 I'm sorry, but actually this is two different issues.

25 Right now, Part 11 says if you make -- if you make an

1 EAS encoder, you need to be certified, yes.

2 So this CAP translation device takes in CAP,
3 and it decodes CAP, and encodes SAME from the EAS
4 protocol.

5 So I guess the issue is do those Part 11
6 rules apply to that, and does that device have to be
7 evaluated by the Commission for its correctness of
8 creating a protocol.

9 And that is a question. There are people
10 who allege, no, you don't, and there are people who
11 go, well, I am not entirely sure. I think what is
12 important is that we don't get far down the path and
13 go, well, you know what, everybody who makes a CAP EAS
14 translation device, or does something, or makes a new
15 product, has to resubmit that product.

16 And so history is replete with folks jumping
17 out and building something. Well, it is really
18 selling a product before a standard is finalized, and
19 it is in the same boat that you guys are in, is that
20 we are waiting for Oasis to go, yes, we're done,
21 because things get changed at the last minute.

22 And I will tell you that the history is
23 replete with a product getting on the market, and then
24 when the standard is published, something -- some
25 small thing changes.

1 So it is really important that you adopt the
2 standard. You can't do that until they are finished,
3 and even though I am fairly sure that every
4 manufacturer has designed a product, they are not
5 going to go this is it until you go this is it.

6 So the fact that they can design and build a
7 product in a week is one thing. Manufacturing enough
8 to get them to every EAS participant, and installed,
9 and tested in six months, is an entirely different
10 thing altogether.

11 MR. BLACK: Let me say those are good
12 points, very good points. These are all things that
13 we have raised in our mind, but to bring up an
14 antidote of type acceptance or whatever that proper
15 term is, there was a manufacturer who had something in
16 his endeck at the time that it was approved, and it
17 could not be removed.

18 It was superfluous, but in order to sell his
19 product, he had to leave that card inside the endeck,
20 even though it had no function whatsoever, and it
21 raises a question that since there is no specificity
22 to having an approval for the CAP protocol once it is
23 adopted, anyone that has that and is in a one box
24 system, where you have your endeck and your CAP
25 decoder all part of that box, it begs the question

1 whether you can build a box anticipating CAP 1.2, and
2 maybe it goes to 1.3 before it is published, as to
3 whether they can change it from 1.2 to 1.3 in that
4 endeck without having to go back through a
5 recertification process. So, again, good questions.

6 MR. A. JOHNSON: I think all those are going
7 to required a little bit further discussion when it
8 comes to the type certification issue. We have about
9 15 minutes remaining in this panel, and I certainly
10 wanted to open it up to questions from those who have
11 joined us here today, as well as to receive tweaks
12 that are coming in over the net.

13 But one of the other hot topics of the day,
14 aside from CAP and other developments that are taking
15 place within the alert and warning community is the
16 national exercise that is being planned for 2011,
17 which the FCC issued a notice of further proposed rule
18 making a little bit earlier this year.

19 And so, Wade, and I hate to keep picking on
20 Wade, but I am certainly am going to make it around to
21 the rest of the panel is here when it comes to the
22 national exercise.

23 If you could provide maybe a brief summary
24 of FEMA's plans for the national exercise, and maybe
25 just a brief recap of the exercise that took place in

1 Alaska in January of this year, along with the event
2 code issue that surfaced in Alaska, and maybe provide
3 some clarification on that.

4 MR. WITMER: Yes. So to start in Alaska,
5 our intent, and I think it was a huge plus of really
6 the Alaska test, was to -- I guess in August it was
7 the first that we sat down and talked with our FCC
8 partners and our White House partners, and they said
9 that we need to test this system.

10 And we said let's exercise this system, and
11 not test to begin with, and then we said, well, before
12 we roll this out in a national perspective, where can
13 we check and learn about what we are going to need to
14 do to do this on a national scale.

15 And we chose Alaska because it is
16 geographically separated, so that we could test there
17 without affecting the rest of the nation. Alaska also
18 has a very well trained or very mature emergency
19 management association in conducting live tests of
20 their alerting system.

21 They do an annual Tsunami alert, as well as
22 an annual amber exercise of their systems up there,
23 and they also have a very well trained public that is
24 used to and understands exercises, versus real alerts.

25 But what that offered us was a place to go

1 check our procedures to really learn and develop more
2 of a partnership with everybody that we needed to work
3 with in this, the FCC being a key to that.

4 The broadcast associations being another
5 huge key and lesson that we learned in Alaska, as well
6 as us understanding the magnitude of the outreach that
7 is going to need to be required to do this on a
8 national scale.

9 And so I think our priorities in our
10 planning pieces at this stage are really to firm up
11 and more mature our partnerships with our broadcast
12 partners, our FCC partners, and then we need to
13 include in that outreach our State and local
14 governments, the folks who are the people who control
15 and manage alerts across our Nation, because every
16 incident is local first.

17 And so that outreach effort to the State and
18 local government authorities, with the broadcast
19 industry to the broadcast industry, and then to the
20 public, is going to be a huge piece of our initial
21 plan.

22 Speaking to some other issues that we did,
23 and I don't want to call them issues, but things that
24 we learned in Alaska, we did verify I would say, or
25 validate an issue with the way that encoder-decoders

1 were developed in the '95 to '97 period, with what
2 message format should come out, and how should that
3 message be addressed.

4 We learned a great deal with the cable
5 industry, and the primary type of encoder-decoders
6 that are used throughout the cable industry and the
7 Nation.

8 We learned about our procedures at FEMA, and
9 how there are pieces that we need to work on and
10 correct, and we also learned about the reliability of
11 the analog -- excuse me, the audio relay system that
12 is in place, and the way that States do relay that
13 national EAN code.

14 So we have work to do, but I think we have a
15 list of things that we need to work on, and the
16 community is ready to assist with that.

17 MR. A. JOHNSON: Fantastic. And on the
18 issue of origination and event codes, the comment was
19 made that there was a wrong message sent out, but I
20 think there were some work arounds that were put in
21 place to try and accommodate the implementation of
22 event and origination code, and the various encoders
23 and decoders that are currently out there being used.

24 And so I think that was more of a work
25 around to ensure that everyone could participate in

1 the exercise in Alaska, but we did discover as Wade
2 mentioned that there was some procedural issues across
3 the entire landscape.

4 Not only at the Federal level, but within
5 both at the State level, and with some of the
6 broadcast community as well. So that raises a good
7 issue.

8 I mean, it basically validates the training
9 and ensuring that the procedures that are used to
10 actually issue an EAN are well documented and
11 understood. Steve.

12 MR. S. JOHNSON: It is my understanding that
13 EAN was issued, and EAN was also used as the
14 originator code, and the problem was that the decoders
15 looked at that and said, well, that is not on my list
16 of valid originator codes. So it is an invalid
17 message and through it out.

18 And I think that FEMA and the FCC both have
19 been very willing to work with us, and have been very
20 cooperative, and they have heard our questions and our
21 comments, and react to them, and we really appreciate
22 that cooperation, and I am sure it will continue.

23 MR. A. JOHNSON: Thanks. Brian.

24 MR. JOSEF: Yes. I just want to comment on
25 separate, but related, I think, but a different flavor

1 of what Wade shared, and the discussion on the
2 national testing.

3 But Antwane, to your question earlier about
4 testing of CMAS, kind of in the development stage, I
5 would also like to note that the rules for CMAS once
6 deployed contemplate required routine monthly tests
7 for those national carriers that serve around 90
8 percent of the Nation.

9 These are the equivalent of national tests,
10 and so this would be monthly testing from the
11 Federally administered alert gateway through the
12 commercial mobile service providers infrastructure.

13 It is using a test group so that we are not
14 bothering people on their handsets with these monthly
15 tests. But it would also include regular testing from
16 the CE gateway interface to ensure that the Federal
17 alert gateway communicates properly as it is supposed
18 to with the commercial service gateways. So just
19 another point that we are battling with on the testing
20 front.

21 MR. A. JOHNSON: And that's good. I mean,
22 to just follow on, Brian, the first national test
23 certainly is going to be focused primarily on Legacy
24 EAS.

25 But in going forward and looking at other

1 components that will comprise kind of the IPAWS
2 umbrella or suite of compatibilities for delivering
3 alert and warnings to the Nation, certainly the intent
4 is to make other components of IPAWS available or to
5 bring those into the test process as we evolve that
6 into more of a comprehensive testing regime going
7 forward.

8 And so it would be CMS is part of the
9 national test, and G-Test, and then the ability to
10 target specific communities of folks where there may
11 be some type of event occurring, or other technologies
12 and distribution networks that will come on-line in
13 the future.

14 But, Mark, from your perspective, when we
15 talk nationally, NOAA has been very supportive and
16 engaged in the exercise in Alaska, and then moving
17 forward with the national exercise later in 2011, are
18 there any concerns based on the kind of huge
19 distribution network that NOAA has with regards to
20 them conducting a national exercise and NOAA's
21 preparedness?

22 MR. PAESE: Well, let me first start off by
23 saying that it is important that we do have the
24 national EAS test. I mean, certainly we have talked
25 about it for years, and even as part of the national

1 exercise plan, and our national level exercise as we
2 hold as a community if you will, we need to get that
3 stressed out.

4 We need to test it out. We need to find out
5 where the hiccups are and where things do and don't
6 work. So, from a NOAA perspective, we have a Dr.
7 Jekyll and Mr. Hyde at times, is that we originate the
8 messages, and also we are a user of it.

9 So as often times we are creating as Wade
10 mentioned the annual Tsunami alert, and we coordinate
11 with everyone on that perspective. We learn as we go
12 along the coordination, and we believe as the user,
13 the local community, the State and locals, we look at
14 them almost as an appendage of ourselves.

15 So if we don't work with them through our
16 warning coordinating meteorologist, and our 122
17 forecast offices, that is where we look at that
18 partnership and relationship.

19 So did they get the message. Did they not
20 get the message. What failed. And we are constantly
21 looking at that from alerts and warnings, and the
22 feedback that we get from the broadcasters, the cable
23 industry, CTIA, IP addressable devices, and I didn't
24 get the message because, and we need to gather that
25 information.

1 And I think we learned that -- and there are
2 many lessons learned, of course, from the Alaska test,
3 of, well, it didn't get there, and why didn't it get
4 there.

5 So often times we believe that we have the
6 nut cracked, and we believe that we have solved all of
7 the problems until we get to this national level
8 exercise, and we get to these national level tests,
9 and we find out in rural communities often times that
10 infrastructure that we believed was there may not be
11 exactly as we had it laid out on the wiring diagram
12 once upon a time maybe a few years back.

13 So we look at this as a partnership, and
14 working with the industry, and of course the Federal
15 partners, to solve those problems, and identify them
16 before we get to the national test.

17 And hopefully we will minimize those, but
18 realizing that the more that we do this the better it
19 will be.

20 MR. A. JOHNSON: Fantastic. Greg.

21 MR. COOKE: I would just add to that. In
22 the rule making, there was unanimous across the board
23 interest in conducting the national test. Everybody
24 in this community agrees that it is the right thing to
25 do as Mark pointed out, and that we will do it.

1 The issues are, well, how, and in terms of
2 that, some of the big ones really come to outreach and
3 reporting are the two that I will discuss right now,
4 because if you are going to try to have a test that is
5 going to involve 30,000 participants, who are ranging
6 over a wide spectrum of manufacturers, and what they
7 monitor, and how they monitor it, and then you are
8 also involved with cable, it becomes an extremely
9 complicated process.

10 And to be able to say that we are really
11 thrilled with the response to NAB, and the response to
12 the --

13 MR. WILLIAMS: It is really 300 million plus
14 participants.

15 MR. COOKE: You just made it that much
16 harder then.

17 MR. WILLIAMS: And we have a terrific team
18 here at the FCC working on it, and an inter-bureau
19 team, and when I tried to talk to them, and some of
20 them had never heard of the EAS, and I tried to give
21 them an idea of how it worked.

22 I got a video of the world championship
23 domino drop, but it worked. You see, they got it to
24 work, and I think we can get it to work. That even
25 though you would have one that might not work, and

1 then another hundred after that that wouldn't work.

2 And in this case, they got them to work, and
3 so it was just a question of getting through to these
4 folks, and figuring out ways to getting them through
5 to us, and working through their State organizations,
6 and working through our organizations, to determine
7 what the issues are.

8 Because just as much as we are testing the
9 public's response to this, we also are testing basic
10 connectivity, and I see that as being a very simple
11 challenge, but it is multiplied thousands of times.

12 And the other big issue, of course, that we
13 have got, and which we are looking at right now, is
14 the whole idea of pretest testing, whether it is a
15 manufacturer's test beds, or cable test beds, but
16 doing some kind of pre-analysis so that we can take as
17 many of the variables out of the picture as possible
18 once we pull the switch.

19 MR. A. JOHNSON: Good. Thanks, Greg. And
20 we certainly wanted to provide an opportunity for
21 folks in attendance to provide a couple of questions
22 if you have any.

23 We have about five minutes or so, and so we
24 would ask that we keep the dissertations short, and
25 get to the point on the questions, and see if our

1 panelists might be able to address those. Harold
2 Price.

3 MR. PRICE: Good morning. Harold Price from
4 Stage Learning Systems. My question was going to be
5 was there in fact going to be a formal way of doing
6 this pretest.

7 My concern about a national test for EAS in
8 2011, we learned some things in Alaska. We haven't
9 yet assimilated those, and consolidated them, and
10 implemented them.

11 We have done the outreach to the users to
12 let them know what changes they need to make in their
13 equipment to actually do this. So I can't stress
14 enough the importance of a pretest.

15 Otherwise, a national test will simply
16 relearn what we already know, and we now need to take
17 action on that. There was another accidental EAN in a
18 midwest state a couple of weeks ago.

19 We found out something different there as
20 well. It is very important now, knowing the kind of
21 things that we can learn, to do that pretest, and to
22 find out what else we can learn in a small
23 environment, and get them fixed before the national
24 test, with all the attendant public outreach that
25 needs done on that.

1 The other issue is the 180 clock. As a
2 manufacturer, let me say this. Unless we start, no
3 manufacturer is going to build 30,000 of these things,
4 and put them in a warehouse, and hope for the best.

5 We are not going to build any until we get
6 some orders. We are not going to get some orders
7 until the clock starts, and the example that I give is
8 that if take a look at the FCC, they made a request
9 for comments on, among other things, the 180 day
10 clock.

11 The comment period expired on April 17. 97
12 percent of the replies came in on the afternoon of the
13 17th. That's an example. If you start the clock, no
14 matter how long it runs, the majority of the
15 broadcasters are going to not take action until the
16 very last time.

17 So if you have a two year clock, we are
18 still going to end up building them all in the last
19 month. So there are a lot of problems that can be
20 solved by extending that clock a little bit, but don't
21 think it is a manufacturing capacity problem that is
22 going to be solved by extending that clock.

23 It is just going to make it that much harder
24 to wait three years, and then still build them all in
25 the last month. That is my only comment there.

1 Thanks.

2 MR. A. JOHNSON: Okay. Thank you, Harold.
3 We will take one more and then we are going to have to
4 wrap it up. It seems that we are getting close to the
5 end of our time period here.

6 MR. SCLANS: Thank you very much for
7 allowing questions. My name is Rob Sclans. I am the
8 Chief Public Information Officer for the Middlesex
9 County in New Jersey, Office of Emergency Management.

10 It is a pleasure to see Hank here sort of
11 representing the emergency management community. I
12 think this was a very interesting conversation from a
13 technical standpoint.

14 It is wonderful to see the cooperation. I
15 think Wade made a very interesting comment, in that
16 all emergencies are local, and from a rule making and
17 policy perspective, while it is interesting that
18 alerts at a national level are mandatory, I hope as we
19 move to additional standards, and involve new players,
20 such as the cellular telephone community, that we
21 consider the county level, the local emergency
22 management professionals as they in a very frustrated
23 way are trying to get out emergency messages.

24 And because of the voluntary nature of the
25 cooperation from broadcasters, from the cable

1 industry, we would hope that as we look towards new
2 standards, ease of compliance, that there be a focus
3 on making things easier for local emergency management
4 professionals to get out a warning, such as a shelter
5 in place warning, or other sorts of things.

6 And not be told by broadcasters, well, I
7 don't want to air that message because I think it will
8 scare people.

9 And so are we going to be left to negotiate
10 on an individual medium by medium basis to get out
11 important urgent emergency alerts, or will there be
12 more of a sense of that cooperation moving forward,
13 and have in a consistent way the ability for local
14 alerts to have the same priority, or have the same
15 ability to get those alerts out now that cellular
16 telephone companies are coming in?

17 Are we still going to have to do those
18 negotiations, or will there be greater cooperation
19 with the emergency management community? So, thank
20 you.

21 MR. A. JOHNSON: And that is probably a good
22 question to end this panel on, but if someone -- Greg,
23 if you wanted to address that in less than 30 second,
24 I think our time is up.

25 MR. COOKE: First off, in the commercial

1 mobile alert system, as I know that Brian would also
2 agree, there are levels of alerts for which you can
3 opt in, and which could fit very much into the local
4 alert context. You know, a local shelter in place,
5 for example.

6 Further, this, I think, is sort of a sub-
7 element of the governor alert, because the rules do
8 contemplate that there would be delegates of the
9 government alert.

10 So I would say that this, to the extent that
11 that is going to be focused, that might be something
12 that you might want to file within that rule making.

13 MR. A. JOHNSON: Yes, and from the --

14 MR. WILLIAMS: Can I make one comment?

15 MR. A. JOHNSON: Yes, go ahead, Kelly.

16 MR. WILLIAMS: I think that this is a really
17 important point, and a lot of our local state
18 associations talk about this. And one of the big
19 issues that comes up is that in fact sometimes what
20 happens is a very local administration does not issue
21 an EBS. They call a news desk.

22 And we keep talking about this training and
23 understanding. There never should be a negotiation.
24 I think if people sort of stay with the plan, and the
25 plan gets initiated all the way down to a small

1 municipality area, you avoid some of the confusion of
2 who it is, and why are you calling, and all of those
3 sort of things.

4 So this gets back to training, and funding
5 for training really. You can have technology, but you
6 have to understand how to use it.

7 MR. A. JOHNSON: Good. I think we have run
8 out of time here for this panel. Hank, if you can do
9 it in 15 seconds.

10 MR. BLACK: Very good. In order to try and
11 improve upon the partnership, we actually instituted
12 MOUs now in Maryland for the locals, and it is time
13 consuming, but it gets that partnership started if it
14 was not necessarily started. I wish we had a
15 different answer for it.

16 MR. A. JOHNSON: Okay. Thanks so much. I
17 want to thank the panel for their participation this
18 morning. I think there has been fruitful discussion,
19 and I think as you can see that this could probably
20 have gone on for about another hour or two, because
21 there are a myriad of issues and things that folks are
22 really interested in, and that need to be discussed.

23 So we look forward to the opportunity going
24 forward in working with both the States, and locals,
25 and our Federal partners, as well as our private

1 sector partners, and working through some of these
2 issues.

3 So again thank you so much for your
4 participation, and taking time out of your schedules
5 to be here this morning.

6 (Applause.)

7 MR. A. JOHNSON: So we are now going to take
8 a 15 minute break. You may go out and refresh
9 yourself.

10 (Whereupon, at 10:48 a.m., the Workshop
11 recessed, and was again called to order at 11:04.
12 a.m.)

13 MR. GOLDTHORP: We would like to start our
14 second panel now, and let me just begin. First of
15 all, I am Jeff Goldthorp, and I am the Chief of the
16 Communications Systems Analysis Division here at the
17 Commission, and I am the Moderator in the second
18 panel.

19 Before I introduce the panelists, let me
20 just say a few remarks to introduce the panel and the
21 topic today. Emergency alerting has evolved quite a
22 bit over the years, and you heard a lot about that int
23 he first panel.

24 But still even with CMAS, even with changes
25 to the emergency alerting system, we are using devices

1 that are very similar to what we have used over the
2 years.

3 We have now added cell phones in the last
4 couple of years, and we will be adding those soon, but
5 the truth of the matter is that the emergency
6 distribution platforms are a lot like Legacy
7 communications systems years ago before the emergence
8 of the internet protocol, and before the broadband
9 revolution.

10 So think about years ago in public switch
11 telephone networks, when new services were deployed on
12 those networks, it was a major big deal, a major big
13 deal.

14 I mean, it wasn't like you could introduce a
15 new service and not think about the ramifications all
16 the way down the stack. You had to think about all
17 the technologies all the way down to distribution,
18 whether you have an untwisted pair, or wireless,
19 whatever the case may be.

20 There is no decoupling between distribution
21 and application development, and that is the
22 revolution that broadband and IP enabled. Now, IP is
23 sort of like from a networking perspective, it is
24 middleware.

25 It is the harmonizer, the equalizer, and it

1 is the glue that allows the -- well, glue is the wrong
2 term to use here. It is what allows distribution to
3 be decoupled from application development.

4 So one of the questions that we will pursue,
5 must one, but maybe more of a far reaching one, is it
6 too soon, or is it inconceivable to be thinking about
7 an API for applications, or not for applications, but
8 for alerting.

9 Just like now the internet has enabled a
10 sort of open applications developments on networks.
11 So those are the kinds of topics that we will be
12 talking today about, and when we think about next
13 generation alerting, we are talking about a number of
14 other things, too.

15 And concluding what exactly is next
16 generation alerting. It is kind of a generic term,
17 and what are the implementation issues associated with
18 it, and all sorts of things.

19 Now, before I introduce the panel, let me
20 just say that there is a reason why we are asking
21 these questions, and we would like to get some expert
22 opinion.

23 The national broadband plan dug into these
24 issues, and recommended that the Commission start up
25 proceeding an NOI that we have talked about before on

1 the panel that would ask these very same kinds of
2 questions.

3 What does the emergence of broadband
4 distribution platforms, or networking platforms, what
5 does that do to emergency alerting? What are the
6 ramifications, and what advantages are there, and how
7 can you leverage broadband to improve the richness of
8 the delivery of words, and how they are experienced by
9 end-users.

10 Those questions will be asked in this NOI,
11 and we are hoping that this panel can help educate us
12 on these topics. So I have had a chance to talk
13 during conference call and now today in person with
14 our panelists.

15 I have asked everybody for opening remarks
16 to just limit them very, very brief opening remarks,
17 like who am I, and where do I work, and very briefly
18 what I do, and I have gone on a little bit about what
19 the panel is about, but I will ask you please to go
20 through the panel, and then we will come back and
21 start the discussion. Art.

22 MR. BOTTERELL: Well, my name is Art
23 Botterell, and I have been working this project that
24 we now know as IPAWS for about a decade with the
25 development of the common alerting protocol and

1 related activities.

2 My full bio is in the handouts for anybody
3 who really cares. Currently, I am employed as a
4 technical expert by the Joint Interoperability Test
5 Command of the Defense Information Systems Agency, in
6 support of the FEMA IPAWS office.

7 So I have to acknowledge and thank them for
8 their support, while at the same time pointing out
9 that I am just an advisor, and I am not in a
10 management role. So nothing that I say here today
11 should be construed as policy either from FEMA or from
12 DoD.

13 MR. GOLDTHORP: Thanks, Art. Brian.

14 MR. DALY: I am Brian Daly, and I am the
15 Director for Core and Government/Regulatory Standards
16 within AT&T. In addition to looking at standards for
17 evolving the networks of the future, I have been
18 involved in emergency alerting since pre-WARN Act
19 days, looking at how to best deliver alerts over
20 cellular networks.

21 I was involved in the commercial mobile
22 alert service advisory committee, where I lead the
23 communication technology group, and since the
24 completion of those recommendations, I have been
25 involved in the industry standards effort, the

1 partnership that was mentioned in the first panel,
2 between government and industry, working on the
3 standardization program for the commercial mobile
4 alert system, and also involved in the development
5 internally in rolling out a product.

6 I am looking forward to participating on
7 this panel and sharing some insights on where we are
8 going in the next generation.

9 MR. GOLDTHORP: Thanks, Brian. Darryl.

10 MR. ERNST: Hi, I am Darryl Ernst, and I am
11 sort of the lemon in the pie. I am not in the warning
12 business. I was an engineer with the Miter
13 Corporation for many years, 20 years, up until March.

14 And I became deeply involved with the
15 emergency management community in the alerting world
16 after some technology that I had developed and led the
17 development on there.

18 And as a consequence, I got deeply involved
19 in the Foundation of the Partnership for Public
20 Warning, and then subsequent to that, after helping
21 get CAP started with Art, and my involvement was
22 minimal there.

23 I then started looking at the warning
24 problem from the systems engineering point of view,
25 and right now I am primarily involved in the testing

1 of fighter aircraft and missiles, and so it is a
2 completely different world. But thanks, Art, for
3 bringing me back.

4 MR. GOLDTHORP: Well, Darryl, I'll tell you
5 what. That is important that there be some alerts
6 where there is airplanes, and so let's not leave that
7 out. Thank you. Denis.

8 MR. GUSTY: Hi. My name is Denis Gusty, and
9 I am the Deputy Branch Chief for the Office of
10 Interoperability and Compatibility at DHS, Science and
11 Technology Directorate.

12 Part of my portfolio is to work on the
13 requirements gathering for standards, EDXL to be
14 exact, the Emergency Data Exchange Language, and we
15 gather the requirements and submit those through
16 Oasis, which is a standards development organization.

17 I am also supporting FEMA with IPAWS, and
18 CMAS. And as was mentioned earlier, Section 604 of
19 the WARN Act spells out that the Science and
20 Technology Directorate will stand up a research
21 development testing and evaluation office related to
22 CMAS. So that is the area that I am working in.

23 MR. GOLDTHORP: Thank you, Denis. Claude.

24 MS. STOUT: Hi. My name is Claude Stout. I
25 am the executive director with Telecommunications for

1 the Deaf and Hard of Hearing. My organization, TDI,
2 focus on providing leadership to ensure equal access
3 in telecommunications media and information technology
4 for people who are deaf, hard of hearing, late
5 deafened, or deaf lined.

6 TDI has been around for 42 years. We have
7 had collaboration with many other consumer groups over
8 those years, and we work especially in the emergency
9 communications area, and we want to ensure that deaf
10 and hard of hearing individuals get the information,
11 because in the past, it has not always happened.

12 So whether we are at home, whether we are in
13 the workplace, we want to get the same information.
14 Today, our lives are just not always at home or at
15 work. We are everywhere, and we are out there. There
16 is not always a physical workplace anymore so to
17 speak.

18 So, we function just like the rest of you.
19 We are on the go. So, whether we are shopping,
20 whether we are at an event, whether we are at the
21 doctor's office, or whether I am in my car, we want to
22 have access to emergency information. So I look
23 forward to a continued dialogue with you guys on that
24 this morning. Thanks.

25 MR. GOLDTHORP: Thank you, Claude. Mike.

1 MR. NAWROCKI: Good morning. My name is
2 Mike Nawrocki, Director of Wireline Standards in
3 Verizon's technology organization. I have
4 responsibility for standards strategy requirements for
5 all of our fixed network standards, including things
6 like video delivery networks, internet standards, and
7 emergency notification.

8 Obviously, emergency notification is a very
9 important aspect of our files t.v. platform. Thank
10 you.

11 MR. GOLDTHORP: Thank you, Mike. Fran.

12 MS. TRENTLEY: Good morning. I am Fran
13 Trentley, and I am a senior director with Akamai
14 Technologies. Akamai Technologies runs an overlay, a
15 global overlay, on the internet.

16 We serve 25 percent of the global web
17 traffic. So you use us every day, whether you are
18 shopping on-line, or watching live streams. We make
19 the internet a reliable place to conduct business, and
20 mission critical applications.

21 My responsibility is to support my hundred
22 plus U.S. government customers with their public
23 facing applications.

24 MR. GOLDTHORP: All right. Thank you, Fran.
25 Please join me now in welcoming the panelists.

1 (Applause.)

2 MR. GOLDTHORP: Now, here is how I felt that
3 we would do things today. What I have done is put
4 together a set of questions. I have talked with the
5 panel about them, and shared them with them, and so
6 there is no surprises. Well, not too many anyway.
7 Maybe one or two.

8 And we are probably not going to get through
9 all of these, but we will get through some of them,
10 and at least one, and then we will see where it goes,
11 and I am open to letting things go wherever they go.

12 And when a topic dies out, we will start a
13 new one, but there are three, or at least two, broad
14 areas that I thought that would be worth exploring with
15 all of you today.

16 One is that there is sort of this
17 existential question, or definitional question, of
18 when we say next generation alerting, what do we mean?
19 One thing that I think of is that I think that there
20 is a transition to broadband networks and
21 distribution.

22 We now have sort of a middleware platform
23 that includes CAP and IPAWS. I am not sure exactly
24 what all this means at the front end and from the
25 origination end, but I would like to talk about what

1 do we mean when we say next generation alerting?

2 First of all, from an end-user perspective.

3 If you are an end-user -- and all kinds of end-users,
4 whether it be somebody with special needs, or whether
5 it be somebody that maybe speaks English, but does not
6 speak English as a first language, but what does in
7 mean in terms of the features and functions that would
8 be available to the user?

9 And I would like to start with Art. Do you
10 have any thoughts on that? And then we will just go
11 from there.

12 MR. GUSTY: Oh, dear. My immediate thought
13 -- thank you, Jeff. My immediate thought was kind of
14 high level, which is that to a certain extent the
15 question becomes what do we actually mean by alerting.

16 I think next generation alerting just means
17 the continuing process of applying new tools to the
18 general problem of alerting. So what is that general
19 problem.

20 The Partnership for Public Warning decided
21 that we simply weren't going to go down the rat hole
22 of trying to define what a warning was. In my own
23 practice, I have developed a working formulation for
24 all of public information that splits it into -- for
25 all of the emergency public information, it splits it

1 into three aspects, of which alerting is one.

2 There is alerting, informing, and
3 reassuring. So you have the AIR, the mnemonic, and
4 alerting is in my mind largely a matter of what is
5 also sometimes called attention management.

6 So it is not providing a bulk of
7 information, so much as it is redirecting people's
8 attention from whatever they were focused on at a
9 particular time, to something that is salient that
10 they didn't know about.

11 So to some extent, and I think in the
12 previous panel, somebody referred to the bell ringing
13 function. That is a good example of it. The
14 telephone bell rings, and that gets our attention, and
15 then we go pick up the phone and we get the
16 information.

17 Now, no act of communication is only
18 alerting, or only informing, or only reassuring. Just
19 as an alert can be the sort of the binary message of a
20 siren, either it is sounding or not, to the relatively
21 very rich 90 characters envisioned for CMAS.

22 And to the somewhat constrained message that
23 we can now deliver over the emergency alert system, to
24 the much richer sort of presentations that are going
25 to be possible under CAP.

1 So that is one of the -- sort of one of the
2 variables between media, is how much information can
3 come with that first alert, but the real point is that
4 people only have some -- you know, it is said that
5 people only have so many snaps in their Synapses.

6 We only have so much attention that we can
7 pay. We budget that, and an alert is a communications
8 act aimed at redirecting people's attention, and any
9 technology that we can use to achieve that end, I
10 think falls under this very broad rubric of next
11 generation alerting.

12 MR. GOLDTHORP: So if I take your point,
13 then I follow and say that if there is a limit as to
14 how much information people can absorb in a classic
15 alert, then is next generation alerting really
16 expanding the number of distribution platforms so that
17 alerts can be made available on more devices?

18 Or is it increasing the amount of
19 information, or the richness of the information, the
20 texture of the information, in a way that alerts are
21 more useful, or is it both?

22 MR. BOTTERELL: Oh, yes, definitely.

23 MR. GOLDTHORP: Okay.

24 MR. BOTTERELL: Definitely yes. If you look
25 at the social science, and we have got quite a lot of

1 it, and hopefully Darryl can talk about this a bit
2 more, one of the things that we have learned is that
3 people hardly ever act on a single warning message.

4 It requires corroboration, and they get that
5 through multiple media, and they also get that through
6 the process that Dennis Maletti calls milling, where
7 they talk to each other, which becomes very important
8 when we start getting into the social media aspects of
9 this.

10 So we want to be able to do a full court
11 press with this urgent information, and that means
12 delivering it into a lot of form factors. So there is
13 a technological argument for this sort of integration
14 and diversity, which is that no single technology is
15 going to reach absolutely everybody all the time.

16 But there is also a human factors argument
17 that people need that corroboration for them to get
18 past what the researchers call the normal see bias,
19 and the rest of us call denial.

20 MR. GOLDTHORP: Does anybody else have
21 anything to add?

22 MR. GUSTY: Yes. I would like to add to
23 what Art commented on, and it was brought up in the
24 first panel that we, Science and Technology, sponsored
25 that workshop with the National Academy of Sciences

1 two months ago.

2 And one of the things that we did learn was,
3 and as Art pointed out, that people do look -- they
4 will receive the initial alert from a particular
5 device.

6 But they will always seek secondary and
7 third sources of information, and typically it is the
8 traditional radio and television networks that they
9 turn to for that additional information.

10 So, Jeff, to answer your question, it is
11 both, in terms of mixed generation. It is a
12 combination of what we know today, and what we are
13 looking at for the future.

14 MR. GOLDTHORP: Darryl.

15 MR. ERNST: I think we need to go further in
16 the way what we define alerting. For many, many
17 years, the purpose of alerting has been to give people
18 a warning so that they can take appropriate action,
19 but they figure out what that action is.

20 We now have the means to take it down to the
21 more personal level to serve as Dennis Maletta called
22 it, the publics. Not the public, but the publics.
23 We have one represented here with the deaf and hard of
24 hearing.

25 But there are hundreds of publics out there

1 that needs serving, and so alerting evolves to become
2 a management tool, and it has to have the richness of
3 information, but it also has to become personal.

4 Today when you get a warning of a tornado,
5 you don't know whether you are really on the target
6 list or not, but we have the ability right now today
7 to give personal information to people about where
8 that is.

9 And it would further evolve to where there
10 is feedback from the users. It has to be managed, and
11 you have to do the systems engineering, but the
12 ability for special needs people to be able to alert
13 back to the warning center, the 911 center, wherever
14 it is appropriate, to say that I need help. I am
15 stranded. I am bound, or I can't get out, or whatever
16 it is that is needed.

17 So alert has to evolve from becoming put
18 your head between your knees, and kiss your ankles
19 goodbye, to what do I do; and then the other factor
20 that has to be taken into account is that I think that
21 the percentage of people that get their warning
22 information is through the social network, and not
23 through the media.

24 There may be more current studies. Herm may
25 know more about that than I do, because the National

1 Weather Service has been working it. But for a long
2 time the social scientists have known that about half
3 the people don't get their information from the
4 primary warning systems.

5 So, social networking from our network
6 people here becomes extremely important in reducing
7 the number of people at risk.

8 MS. STOUT: Jeff, I had a comment. This is
9 Claude.

10 MR. GOLDTHORP: Good.

11 MS. STOUT: I agree with what everyone has
12 said actually so far on the panel. I think it is also
13 important to make sure that the alerting message is
14 sent out in more than one format, including in
15 alternative formats.

16 So, for example, a video format, or a texted
17 format, or a graphics form of the sort. Sometimes
18 alerts go out and they don't really say anything.

19 Often on television or even on your internet
20 screen, you will see tornados, but for me as a deaf
21 individual, I say okay, and there is tornados
22 somewhere in my viewing area. Where, and what do I
23 do. Do I stay here, or am I supposed to go somewhere
24 else, or where is the tornado moving to, and that kind
25 of stuff.

1 So again if there were different forms of
2 the message sent out, and for example, in video, and
3 it could go out in sign language if it is a text form,
4 or whatever. Just make sure there is a teeny bit of
5 information attached to that message so that there is
6 something there for the person to get.

7 And also if there are graphics, make sure
8 that they are clear, and that they are supported by
9 text, and not just graphics by themselves, which don't
10 carry all the information.

11 MR. GOLDTHORP: Do you want to say
12 something, Brian?

13 MR. DALY: Yes. I agree with all the
14 comments so far. When we look at alerting, the
15 content really needs to be targeted, relevant,
16 verifiable, and actionable. I think those are the
17 main characteristics that we look at with CMAS.

18 And I think that it would apply to alerts of
19 any type. As we move forward into next generation
20 technologies, we should look at improving the text
21 contents of alerts by putting together more meaningful
22 event information, and look at multi-languages, which
23 was an issue that we discussed under the Commercial
24 Mobile Alerts Service Advisory Committee.

25 We also need to support different types of

1 media capabilities being distributed down, but we need
2 to take caution there on what types. We can be
3 overwhelmed with information if we are not very
4 careful on how we define that.

5 And we also need to make sure that we
6 enhance the message updating and cancellation features
7 so that when information changes, we can get that
8 information out to the citizens in a timely manner,
9 and we can update them with the latest information, or
10 cancel it if there are no longer in danger.

11 I think all of that is important, and I am
12 going to go back to this bell ringer analogy that was
13 mentioned. I think in the broadband report, there was
14 a specific example of a pastor in American Samoa
15 during the 2009 8.1 earthquake, who the emergency
16 alert systems worked, but he took it on himself to
17 ring the church bells, which got the information out
18 even broader to those that did not have ready access.

19 And I look at the next generation emergency
20 alerting as being an extension of those church bells,
21 and putting it on devices that users will have with
22 them every day, whether it be their cell phone,
23 whether it be when they are connected to the internet,
24 or other devices.

25

1 MR. BOTTERELL: Jeff, I wondered if I could
2 reframe -- well, at least my answer, and maybe the
3 question a tiny bit. I was going to say what is the
4 value of next generation alerting?

5 What are we trying to achieve other than
6 just keeping up with the technology, and it occurs to
7 me that very much of it -- and this is sort of the
8 consensus of what I have been hearing, and has to do
9 with relevance.

10 Again, if you look at the social science,
11 the so-called cry wolf phenomenon is actually a bit of
12 a misnomer, because people have actually a
13 surprisingly high tolerance for false alarms. I
14 frequently say that that explains the continued
15 existence of the National Weather Service. He has
16 heard me say that before.

17 And, I mean, that is unfair, but it is true.
18 Nobody expects absolute perfection, and I think that
19 this is sometimes the standing high jump that we
20 ourselves put ourselves up to.

21 But what does cause people to become
22 desensitized is when they are bombarded with
23 irrelevant alerts. So it is a subtle difference, and
24 relevance turns out then to be the real goal of public
25 warning.

1 And relevance occurs in a couple of
2 dimensions. Two of them are first off presentation.
3 Claude has spoken about presentations that are
4 appropriate to people with hearing impairments.

5 They you have presentations that are
6 appropriate to people with visual impairments, and so
7 forth, and so on. Presentations in different
8 languages.

9 Now that we have much richer data coming to
10 broadcast outlets, will we continue to just use the
11 old red bar crawl, or would that tornado message be
12 better if it were augmented with a map.

13 I mean, there are all these possibilities at
14 the presentation layer that make the message more
15 relevant. The other is geographic targeting, and this
16 is probably the low hanging fruit, and it is one where
17 the broadcast industry in particular is at a bit of a
18 disadvantage because they are still using an analog
19 technology that doesn't give them as great a
20 targetability as, for example, telephone
21 notifications.

22 When I was working in Contra Costa County, I
23 could target down to a couple of blocks, and I didn't
24 have to disturb anybody else. And there were several
25 things a week that we wanted to get the attention of a

1 couple of people in a couple of blocks.

2 If you have to interrupt an entire
3 metropolitan market in order to do that, that creates
4 a disincentive to use the system, and as we migrate
5 toward digital broadcasting, there may be
6 opportunities even for the broadcasters to mitigate
7 that.

8 But certainly we can lower that threshold by
9 integrating broadcast with weather radio, and cell
10 phones, and all these other technologies in order to
11 give us more options, and to maximize the relevance,
12 and to minimize the imposition of irrelevant alerts,
13 because that is really where the information overload
14 problems arise, and we are getting a lot.

15 And that is maybe the next genus to all of
16 this, is that we are getting technologies that allow
17 us to be much more relevant in our alerting activity.

18 MR. GOLDTHORP: What is it -- I mean, let me
19 ask the folks that implemented the distribution side
20 of this, and then we are going to turn to origination.

21 But what is it now about the technologies that are
22 coming to market, or have already come to market, that
23 enables presumably, since this is what we are talking
24 about, greater personalization, and greater relevance.

25 It is not like relevance and personalization

1 weren't important before, and it is not like the
2 alerts that we have come to know and love are
3 irrelevant.

4 It's just that they can become more
5 relevant, and they can have greater applicability if
6 they would reach a larger community of folks that need
7 to hear them or see them. So what is it about the
8 technologies that are coming to market now that make
9 that possible?

10 MR. GOLDTHORP: Mike.

11 MR. NAWROCKI: Jeff, I think there is two
12 points. First of all, as a service provider of a
13 whole suite of broadband services, and T.V., internet,
14 and voice, the one aspect is really integration. It
15 is not the services on to themselves. It is really
16 integration of services by the end-user.

17 So I think when we talk about alerting, it
18 is the next generation alerting from an end-user
19 perspective. It is really the ability to integrate
20 traditional means of distributing broadband alerts.

21 We have some of these new capabilities;
22 social networking, and internet deliver, video
23 streaming, and perhaps content delivery networks. All
24 these things come into play.

25 I think the second point is that we are

1 seeing basically the end-user taking control in many
2 ways, and I think that applies to broadband alerting
3 as well.

4 So to the extent that either through
5 regulation, or simply the end-user effecting their own
6 experience, to the extent that end-users can control
7 the manner in which they received emergency alerts, I
8 think there would be benefits through things like
9 language, or the ability to receive video texted audio
10 messages, and those types of aspects.

11 MR. GOLDTHORP: Brian.

12 MR. DALY: Going back to Art's comment,
13 targeting the alert is going to be very critical to
14 make it relevant to the people that are in that
15 impacted area.

16 And I think that some of the comments that
17 we have heard back from CMAS, where they were targeted
18 to the county level, that was a first generation
19 compromise, because there were so many different
20 systems that had to be supported under the first
21 generation CMAS.

22 Some of the operators will target down below
23 county level in the initial phases, but as we evolved
24 to different broadband technologies, and learned
25 different capabilities that are available, the

1 targeting will get down just to that population that
2 is going to be impacted an immediate threat.

3 And I think that is one important factor.
4 You also talked about the emergency notification
5 systems or auto-dialers as I will refer to them.
6 Targeting down at the block level is good, but I am
7 thinking back to the California wildfire situation in
8 San Diego County, where in a 15 minute period, they
9 tried to dial out to a significant number of users.

10 Well, networks aren't engineered to handle
11 that type of traffic all at once, and 90 percent of
12 those calls were blocked. We need to look at as we
13 move and evolve into next generation alerting now can
14 we look at systems that are ineffective, like SMS
15 based, like emergency notification systems.

16 How can we look at technologies that could
17 replace those into a more efficient, and as Admiral
18 Barnett said in his opening comments, timely
19 distribution of messages, and not have these delays or
20 blockages, or prevent other users to get on to the
21 networks.

22 MR. GOLDTHORP: Okay. Thanks, Brian. Yes,
23 that issue of impact on network architecture and
24 design is something that we are going to return to a
25 little bit later, but let me -- well, Fran, social

1 networking has come up a couple of times now as sort
2 of a game changer, in terms of not only user control
3 of alerting, but just a whole new way for maybe this
4 phenomena that people have talked about already of the
5 bell ringing phenomena, and now we have social
6 networking apps that can ring the bell. Go ahead.

7 MR. ERNST: So we see examples of that now,
8 where specific links or information posted to social
9 networks becomes very viral. The interesting piece on
10 responding to that is that we can tune the response
11 due to what we sense from the request.

12 So there is a lot -- and especially if it is
13 web-based, and that is where I will kind of focus on,
14 but if it is coming through the web, we can see a lot
15 of things about you; your location, your language
16 settings, your language acceptance settings, and what
17 language you want the response back in, and whether
18 you are on a mobile device, or a well connected
19 device.

20 And we can tune the response back to the
21 end-user and his location in this device, and be very
22 specific with that. The distribution that you
23 mentioned, we have seen millions of e-mails out to
24 millions.

25 We have seen tens of millions of responses

1 within hours to these kinds of viral distributions of
2 national messages. So I don't think that is a
3 challenge on a scale on the website now.

4 We have seen it, and how many people have
5 watched the BP live stream. That is an incredible
6 amount of people. I can tell you now many. It is
7 tens, and tens, and tens of millions.

8 And you bring up a great point, and that is
9 adaptive streaming, and so we can also see the type of
10 bandwidth that you have available to you, whether it
11 is a small mobile device or whether you are well
12 connected and sitting on Verizon's infrastructure.

13 You can take a meg live feed, and a high def
14 feed of a meg or better, or you can only get 300
15 kilobytes, and we can tailor that, depending on what
16 you are connected with. So it is interesting, and we
17 have seen it both. So there are examples out there.

18 MR. GOLDTHORP: Okay. So you see that
19 obviously having a major role, right?

20 MS. TRENTLEY: Absolutely. It has a role
21 now.

22 MR. GOLDTHORP: All right. Okay. We will
23 talk more a little bit later about the implementation
24 issues that come up in connection with delivery of
25 next generation alerts to end-users.

1 But let's talk the other end of the
2 architecture now from an alert originator point of
3 view. You know, it also seems changes have been --
4 and I will say it. I mean, I will assert do changes
5 happen slower there? Is it harder? Is that a harder
6 end of the problem to work?

7 How adaptable are alert originators to
8 changes like this? What kinds of changes would be
9 required, and how much desire is there for the kind of
10 change that broadband distribution implies? Go ahead,
11 Darryl.

12 MR. ERNST: Okay. I have studied part of
13 that from a systems engineering standpoint. If you go
14 back to who you are talking about, and the
15 preponderance of alerts in our alerting system today
16 comes from the National Weather Service.

17 I think that it is 90 something percent of
18 all the EAS messages. Those people you can get to,
19 and they will respond to the users. They are open to
20 adding different alert types and things like that.

21 But you subtract them out. They are on a
22 daily basis, and you go back to what all of us have
23 been taught that all emergencies are local. It is the
24 people at the local level using the alerting system
25 who need to be considered an address, because when the

1 hurricane comes, Katrina comes, or the earthquake
2 comes, or the Tsunami comes, it is the local emergency
3 managers who have this urgent need to get information
4 out to those people.

5 And so if you go to that and start
6 addressing their needs, and looking at the training
7 requirements that were mentioned in the previous
8 panel, it is a challenge and it can be addressed.

9 It is not just the technology. It is the
10 training, but the technology can facilitate the
11 training, too, if you take all those issues into
12 effect.

13 But from a technical standpoint, there are
14 other issues. One of them that has bugged me because
15 I had to address it in my technology, was message
16 management.

17 If you take in today's system, if you issue
18 an alert, and you are an emergency manager, and you
19 issue an alert, you lose ownership of that message as
20 soon as it is entered into the system.

21 An example. I was in the EOC out in Loudoun
22 County during the hurricane a couple of years ago. I
23 was supporting the ops manager in the EOC. There had
24 been a contamination of the Fairfax water system, and
25 Loudoun County, and certain parts of it had a contract

1 to get service from there.

2 So the first thing that the Med Desk did was
3 issue a boil water alert. Then the water system was
4 shut off. So they had to bring in water trucks, which
5 are hard to get. With that contract, there are only
6 certain kinds of trucks.

7 We were able to get two to serve the entire
8 Loudoun County area. They then found out that the
9 problem was isolated to one small area of the
10 community, but in the meantime, CNN had picked it up,
11 and CNN started putting a crawler on there for this
12 boil water alert.

13 Now, if you go into a home, and when you
14 tell people that there is an emergency, and a
15 hurricane is a perfect example, what do they watch?
16 You go into most EOCs, and you look on the broadscreen
17 display and what do you see? You see CNN.

18 You don't see the video feeds of a local
19 situation. There are alerting officers, who is the
20 public information officer, tried, and tried, and
21 tried to get CNN to take that down, and they told him
22 to go take a hike.

23 And in the meantime it was creating this
24 overload on emergency operations people, and there
25 were mayors calling in from towns because citizens

1 were complaining to them, and demanding water trucks,
2 and they didn't need it. All they had to do was turn
3 on their water because their water was not affected,
4 and yet we could not get CNN to turn it down.

5 As soon as the Loudoun County EOC issued the
6 boil water alert, they lost ownership of the message.

7 Now, CAP provides a way of updating messages, killing
8 messages, and all that stuff.

9 But once it gets into the EAS, and there is
10 no other radio system, broadcast picks it up, and it
11 is gone, and I think that is one tiny little technical
12 issue that needs to be addressed. And it is not only
13 technical, but it may be regulatory, too.

14 MR. BOTTERELL: And, I mean, in fairness, I
15 think the broadcasters have the technology that they
16 have, and it is what it is.

17 MR. ERNST: Oh, absolutely.

18 MR. BOTTERELL: I mean, we are now in a
19 transition from a mass media era to a new media era,
20 which is being localized and personalized, and a lot
21 of things. The broadcasters are broadcasting, but it
22 does have side effects.

23 MR. GOLDTHORP: But how does -- and maybe
24 this is a stupid question, but it seems like an
25 obvious one. I mean, as we transition to a CAP based

1 alerts, even for the broadcast community, which was
2 talked about in the last panel, does that change your
3 opinion, or does that change the game?

4 MR. ERNST: I think Art touched on it. We
5 are in a transition phase. It provides the ability to
6 use the features of CAP, but if you look at the way it
7 is picked up right now by the media, they pick up only
8 the information to boil water in Loudoun County, okay,
9 and that's it.

10 And it is done, and it is not an automated
11 system, and it is somebody sitting there selectively
12 putting in to the crawls on the national media what is
13 newsworthy.

14 There are a lot more messages going out than
15 just that. There was messages going out where the
16 shelters were and things like that. It wasn't getting
17 picked up by the national. It was on our local media,
18 but it wasn't picked up on national.

19 So they are picking up, and then you have
20 got to understand their situation, too. They need to
21 provide the coverage and they do provide a valuable
22 service and keeping it up there in the public's eye.

23 But when there is erroneous information,
24 there needs to be a way, because it has consequences.
25 Erroneous information in the warning system has

1 consequences.

2 MR. GOLDTHORP: So to the extent that next
3 generation alerting systems can help to at least
4 improve or do affect them, then that is something that
5 should be -- that is the point that should be made in
6 the work that is ahead of us.

7 MR. ERNST: Right. Maybe there is a way
8 when the message is withdrawn from the originator, as
9 it propagates through the system, and it provides a
10 warning to the media to withdraw that particular
11 message or something like that.

12 MR. BOTTERELL: And just to quibble, this
13 was an example where the message was not so much
14 erroneous as it was merely over distributed, and
15 therefore, irrelevant to a lot of people who didn't
16 know.

17 MR. ERNST: 800,000 people.

18 MR. BOTTERELL: Exactly.

19 MR. ERNST: And it applied to Fairfax, and
20 that was a separate message.

21 MR. BOTTERELL: So again that is this
22 relevance issue, and as we get more location aware,
23 and location based technologies, location is only one
24 dimension of relevance, but it is kind of the low
25 hanging fruit right now because so much of the

1 technology that we are getting has that capability.
2 We can really cut down on that sort of message still.

3 MR. ERNST: Right.

4 MR. BOTTERELL: And I think that there are
5 a couple of other things that I wanted to point out
6 about what emergency managers at the local level I
7 think want.

8 MR. GOLDTHORP: Okay.

9 MR. BOTTERELL: I mean, we have already said
10 that effective warning is corroborated warning, and so
11 they want to use all the tools. At the same time,
12 they don't need any more work, and particularly this
13 is not a shirtsleeves environment typically when you
14 are doing these warnings.

15 You are doing it under stress and with a lot
16 of people asking you to do a lot of things. So they
17 want it to be simple, integrated, a single procedure
18 if possible to issue warnings, rather than having to
19 go through a checklist to do EAS, and then a separate
20 one for CMAS, and then a separate procedure for
21 sounding sirens, and yet another one for the telephone
22 notification system, which sounds insane, but that is
23 actually the practical reality right now.

24 So there is the benefit of integration as it
25 actually shrinks, and I call it a right at once

1 approach. It simply shrinks the workload, and that is
2 something that emergency managers want very badly.

3 That also guarantees consistency in the
4 message among various messages. I think we have been
5 where we have written and rewritten, and rewritten,
6 and rewritten, and by the fourth iteration, it has
7 drifted significantly, and it is like a game of
8 telephone.

9 So having that one master authoritative
10 message from which all presentations are derived
11 simplifies things a great deal. We talked about
12 precise geographic targeting, but there is another
13 thing -- and this is almost an "on the other hand",
14 because this is a new requirement that is coming to
15 emergency managers, and to those of us who want to
16 support them.

17 The increasing availability of warning, the
18 increasing interoperability of warning, our increasing
19 scientific capabilities to generate meaningful
20 warnings, and one of the things that this does is that
21 this creates a lot of conflicts with jurisdictional
22 boundaries, because natural hazards don't care about
23 where the county line is.

24 And so you begin to have issues of
25 reciprocity and mutual aid, has really not arisen

1 before. So, what emergency managers also need is a
2 standard of practice for warning. Right now it is
3 frequently much easier to come up with a rationale for
4 not issuing a warning than it is to take the career
5 risk of issuing a warning that could be a career
6 limiting move when you have no top cover. You have no
7 policy framework.

8 This really ties back to the training issue.
9 It goes to what are we going to train people on. If
10 you are a paramedic in this country, before they ever
11 put you on a fire truck, you have been carefully
12 schooled as to what you can do, and what you can't do.

13 And as long as you hue to what they call
14 their standard of care, you are on pretty safe ground.
15 You are not going to be sued, or lose your house, or
16 anything.

17 A warning official doesn't have that
18 currently, and as the policy for warning becomes less
19 and less constrained by particular delivery systems --
20 and historically almost all of the EAS procedure has
21 had to do with the technology of EAS and its
22 requirements.

23 Well, now we have this sort of platonic
24 ideal of a warning in the form of a CAP message, which
25 is deliberately agnostic to delivery systems. So now

1 we have the blank slate. What they should an
2 emergency manager do?

3 We need to have that standard of practice,
4 particularly when my activity in County A is
5 necessarily going to affect people in County B. In
6 order for there to be any reciprocity between their
7 emergency managers and ours, we need a common
8 standard.

9 That hasn't really been a problem
10 historically, and this is a case where change tends to
11 propagate up the stack from technology, to procedure,
12 to human factors, and ultimately to organization.

13 So we have technology standards, and they
14 are changing things, and one of the changes that is
15 driving it is now we are having to look on up the
16 stack towards policy and procedure.

17 So I think that one thing that emergency
18 managers are really concerned about is that it be kept
19 simple, and that it be explained to them, and not
20 simply dropped on them, and said, here. Here is a gun
21 with which you can shoot yourself in the foot, which I
22 am afraid is the way that a lot of them tend to
23 perceive new warning technologies when they are
24 delivered without proper policy groundwork.

25 MR. GOLDTHORP: Okay. Thanks, Art. Go

1 ahead, Brian.

2 MR. DALY: Let me do a quick followup on
3 what Art mentioned about keeping it simple. One of
4 the challenges that I think the alert originators is
5 going to have is as we evolve to new broadband
6 technologies is that there is going to be devices out
7 there with many different capabilities, and how are
8 the alert originators going to know what that alert is
9 targeted for.

10 MR. GOLDTHORP: Why wouldn't CAP be the
11 middleware that makes all of that invisible to the
12 originator?

13 MR. DALY: Hopefully it will, or an alert
14 aggregation gateway function as we have in CMAS, which
15 takes that out of the alert originator's end. With
16 alerts, there will be CMAS 1.0 out there for a long
17 time.

18 There will be new LTE based CMAS systems
19 evolving. There is EAS. There is cable based
20 systems. The alert initiator shouldn't have to keep
21 track of where that alert is going in my view.

22 All we should do is specify here is the
23 alert, and here is the information, and here is the
24 area, and then somewhere in the system that gets
25 translated into the appropriate distribution

1 mechanisms.

2 MR. GOLDTHORP: So, you know, there is
3 certain fields that are not filled out. You know, the
4 aggregator or whatever system is interpreting the
5 alert would make a decision that that alert was
6 intended only for distribution over CMAS, for example.
7 Do you know what I am saying?

8 So that there would be a way for a system to
9 determine where the alert should go based on what the
10 originator was able to put in.

11 MR. DALY: Certainly.

12 MR. GOLDTHORP: And based on what the
13 originator knew.

14 MR. DALY: Certainly you can use the fields
15 within the CAP to construct the appropriate alerts for
16 the appropriate distribution mechanisms.

17 MR. BOTTERELL: And this -- and I want to
18 stress that this is a very real world problem.
19 Something that I think is important to bear in mind is
20 that CAP is not a new thing. There has been talk
21 about CAP being adopted, but CAP has been in use in a
22 number of places since like 2003.

23 So we actually have some experience with the
24 use of CAP. Now, there has been refinements of the
25 standard, and again because the threshold for the 180

1 days was FEMA adopting CAP, that phrase I think
2 acquired maybe more significance or more importance
3 than it really deserved.

4 But we have got a legacy, and one of the
5 legacy things that we have seen is that emergency
6 managers, when they are first presented with a CAP
7 input screen, what they want to know is, well, where
8 do I check off to sound the sirens.

9 And where do I check off to send the
10 cellular alerts, because that is the way that they are
11 accustomed to -- you know, they are accustomed to
12 mapping directly to the individual technologies.

13 So there is a training step where you have
14 to explain to them that there is a level of
15 abstraction here. There is policies, but they are
16 built into the system.

17 You don't have to know where the sirens are
18 located and whether there is a siren in that area or
19 not. You don't have to know all that stuff, because
20 that happens automatically.

21 It works really well, but again, if it is
22 not explained up front, there is a tendency to feel,
23 oh, I am losing control and create resistance, when
24 actually it is a level of control that they didn't
25 actually want because it drags them down into

1 technical details that they are not typically
2 interested in.

3 But it can be a source of real anxiety and
4 resistance if it is not handled through training up
5 front.

6 MR. GOLDTHORP: And, Denis, do you have
7 anything, any comments?

8 MR. GUSTY: I will go back to your first
9 question about the next generation EAS, and I think
10 that to some degree it is more of next generation --
11 I'm sorry, your question was next generation alerts
12 and warnings.

13 It is more of a next generation EAS, I
14 think. If you look at the current version of EAS, it
15 has its limitations. If you look at what some of the
16 local governments, and even some of the State
17 governments are doing, I think they are way ahead of
18 the current version of EAS.

19 So the real question is how does the next
20 generation EAS work together with these State and
21 local systems. I think when you look at it from that
22 angle, it opens up a whole can of worms.

23 I think going back to Art's last comment
24 about where I am sitting there in a local office, and
25 I have my screen in front of me, my local system. How

1 does that interact with the bigger EAS?

2 And I think that when you talk about CAP,
3 that is the glue that I think is going to hold that
4 together. But when we talk about -- well, when we
5 start looking at broadband, that opens up a whole new
6 area of capabilities.

7 And I think that is really going to catch on
8 in certain local areas more than it will maybe at the
9 Federal level, and rightfully so, because we keep
10 talking about all the incidents, and that they start
11 at the local level, and they work their way up.

12 So it only makes sense that the local
13 jurisdictions, if they can afford to, they are going
14 to implement those systems a lot sooner, and a lot
15 quicker, than I think we will at the Federal level.

16 MR. GOLDTHORP: Well, let me just toss
17 something out, and tell me if you think I am saying
18 something different than what you are saying. I mean,
19 I sort of equate this problem to the problem that we
20 had with NG911, the Next Generation 911.

21 Because with Next Generation 911, you have
22 got users that are way ahead of the alert aggregation
23 and processing. Well, not the alert, I'm sorry. The
24 911 call aggregation and processing point, the PSAP in
25 a sense, right?

1 So you have got users with every kind of
2 device and technology that you can imagine, and every
3 time the network rolls out a new technology, there are
4 devices there to use it.

5 It is much more difficult for PSAPS, for a
6 lot of reasons to adapt quickly. Alert EOCs are in
7 kind of a similar space. It is just a different -- it
8 is alerting instead of emergency calling.

9 And so I just had this idea in my mind that
10 it is going to be hard and maybe slow, and there is
11 going to be of course a lot of training involved for
12 alert originators to move into next generation
13 alerting.

14 They will probably move slower than the
15 people that will be receiving the alerts, and in 911,
16 the people sending 911 calls, or making them, are
17 making them from you name it.

18 MR. GUSTY: Absolutely. I mean, we talk
19 about social networking, and if you look at it from a
20 first responder perspective, all of a sudden a citizen
21 now becomes the first responder.

22 Why? Because they have the gadget. They
23 are at the scene first. They are starting to send the
24 message. One example that came up during the workshop
25 two months ago was that one of the emergency managers

1 in San Diego was just swamped with messages coming in
2 from the public about the wildfires.

3 So he had to sift through thousands of those
4 messages. In the meantime, before he could even get
5 his message out, his alert, the public already knew
6 about it.

7 So I think that there is a huge gap, I
8 think, between technology and the folks who are
9 actually using it in the EOCs, for example. So in
10 some regard, it may complicate things more for the
11 emergency manager.

12 MR. GOLDTHORP: Yes, I think it has for
13 PSAP, and the call centers. Darryl.

14 MR. ERNST: I think we are going at it
15 upside down. We are asking how the users out there in
16 the field will use the new technologies. How will
17 they interface with the next generation EAS.

18 EAS is a Federal government directed system
19 downwards. Yet, if you go back to first principles,
20 your second and third questions, I guess it was, is
21 looking at it from the standpoint of the user and the
22 originator.

23 Both of those are down at the bottom. They
24 are at ground zero. So, it behooves you to go to that
25 level and develop the requirements based on all the

1 elements; the human factors, and the human response,
2 and the manager's workloads, et cetera, et cetera.

3 And out of that derive the system level
4 requirements that are needed, and then you can tell
5 the EAS, the next generation EAS program office, and I
6 assume an extension of IPAWS, but I don't know, what
7 is needed in your system to make this work.

8 And in the broadband, you can get to what I
9 discussed with you in that e-mail exchange. It was
10 that the broadband is the partnering between Federal
11 regulators and the industry people to make sure that
12 the broadband for everybody can support the
13 requirements. Not that the requirements have to be
14 adapted to whatever is provided through the evolution.

15 MR. BOTTERELL: Can I pursue that point just
16 a little bit?

17 MR. GOLDTHORP: In just a second, but there
18 is something that I just want to question, because you
19 have a situation, right, where -- and maybe this is
20 where policy comes in, but you have a situation where
21 you have got technology on the -- let's just talk
22 about the alert.

23 And when I say users, and I know what you
24 mean. You have got users on both ends, right? And
25 that's true. Btu when I say users, and when I am

1 using it right now, I mean recipients of alerts, who
2 now have the means to receive and render alerts that
3 are far richer.

4 Now you have got user communities that
5 because of limitations on the technology, had no hope
6 of really getting alerts that maybe they could make
7 good use of.

8 And how the technologies are able to deliver
9 those alerts to them. Then the other user community,
10 the originator community. Now that you have this, and
11 you have enabled it on the one end, how do you create
12 the desire on both ends, aside from the fact that
13 there is going to be a desire, sort of an abstract
14 desire. It is different, and it is market driven
15 here, and they is sort of an abstraction here.

16 MR. ERNST: I would submit to you that we
17 were beginning to get a handle on that at MPPW. We
18 had some fantastic workshops up at Emmitsburg, in
19 which representatives from all those communities --
20 the special needs people, the emergency managers, and
21 industry by the way.

22 We had fire chiefs, and we had Metropolitan
23 Washington Council of Governments, and representatives
24 from all over, and we had our Federal partners there.
25 The National Weather Service was there providing

1 corrections on misunderstandings about how things
2 worked, et cetera, et cetera.

3 And we honed in on fundamental requirements,
4 and there is no doubt I think in my mind, and I think
5 in Art's mind also, that following that process, we
6 could have taken the national plan and converted it
7 into a project plan, and eventually into a program.

8 IPAWS is a good start, but I hope that IPAWS
9 is something that it is always looking to the future.
10 I am hoping that it doesn't have a goal architecture.
11 The concept of goal architecture is archaic.

12 The goal architecture says that you are
13 going to get to this point and stop, and we can't,
14 because these guys aren't going to stop developing the
15 technology.

16 MR. GOLDTHORP: Right.

17 MR. ERNST: So IPAWS has to be a starting
18 point, and it has to continue on.

19 MS. STOUT: Jeff?

20 MR. GOLDTHORP: Yes.

21 MS. STOUT: This is Claude. I appreciate
22 everyone's concerns in how to put together the right
23 message, because obviously that is an issue with CMAS.
24 However, once you all guys settle on what the issue
25 will be, that will be distributed.

1 I think that also you need to worry about
2 where the message does go, and who it goes to. I
3 think that -- and I have heard before that before
4 emergencies occur, there are -- and whether they are
5 city managers, county managers, fire chiefs, police
6 chiefs, and so forth, who do a needs assessment so to
7 speak, where they go out into their communities and
8 figure out what technology is being used by the
9 hearing folks in their communities, and the deaf folks
10 in their communities, and people who use wheelchairs,
11 and the people who are blind, et cetera, et cetera, et
12 cetera, of everyone.

13 And to get a pulse so to speak for what is
14 being used in the community, and then what general
15 system would work best to meet those needs, whether it
16 is the media, broadcasters, t.v. broadcasters, the
17 radio, social networks as we were explaining before,
18 and so forth, to get whatever emergency message you
19 officially decide to get out.

20 I am concerned not necessarily with the
21 networks involved, because even last week here, the
22 FCC's Chairman, Julius Genachowski, said that in the
23 future that it will not be easy to use the spectrum
24 that we have left.

25 You know, our spectrum is very limited, and

1 for example, to make room on the spectrum for the 4-G,
2 or the new I-Phone is what I am trying to say, the I-
3 Phone 4.

4 They actually had to -- the FCC was having
5 to be flexible in its policies to open up additional
6 spectrum. I think it was 3 or 4 times more that was
7 needed. However, the demand for us as consumers is
8 going up, right?

9 We require 30 times and 40 times according
10 to what the Chairman said, more spectrum. So don't
11 only be concerned about sending the right type of
12 message, but also just sending it to as many people as
13 possible, knowing that they do use different
14 technologies.

15 And really, really make sure that no one is
16 losing out in this whole process. Everyone of us
17 wants to be able to take care of ourselves when there
18 is an emergency. We want to know how to react, and we
19 want to know how to recover from it, and how to move
20 on.

21 So just on a basic level, I know a lot of
22 the talking is to happen on the local level, as well
23 as with government officials, and with industry, and
24 the State level as well, and the national level.

25 Honestly, I see a need for -- and whether it

1 is CTIA, NAB, NCTA, et cetera, et cetera, radio
2 associations and so forth, to just be talking with
3 each other to figure out how CAP, CMAS, et cetera, IP
4 protocols will work to benefit every single
5 individual.

6 Not only people with disabilities, but
7 however for us, over the years, it is really hard for
8 us having to play catch up, because that is what we
9 do, and we also get secondhand information generally.

10 We want to finally be able to get the
11 firsthand information that all of you guys get, and
12 respond as quickly as all of you guys can. Thanks.

13 MR. BOTTERELL: And, you know, in the
14 Partnership for Public Warning, which was a non-profit
15 organization that formed a month after 9/11, and hung
16 on into what, about 2004?

17 MR. ERNST: 2005.

18 MR. BOTTERELL: 2005, or when it became
19 clear that we weren't getting any traction here in
20 downtown Washington. We had a number of
21 representatives of special needs audiences, and those
22 conversations were exactly the sort of thing that we
23 were doing.

24 We were putting together the industry
25 people, the academics, the interest group people, the

1 emergency management practitioners, and I think that
2 what we are saying here is that that is work that very
3 much needs to be picked up.

4 I wanted to challenge one little sort of
5 assumption that we have gone with, because it is
6 rhetorical, and it is commonly used, and it is mostly
7 true, but occasionally it isn't, and that is this line
8 that all emergencies are local.

9 If all emergencies were local, there
10 probably wouldn't be a whole lot of Federal interest
11 in public warning at all, except perhaps if the
12 Federal government wanted to get into sort of the
13 interstate commerce standard setting activity.

14 There are -- and there is actually a growing
15 class of emergencies that are sort of born Federal,
16 the BP oil spill being an example. That didn't start
17 in a local jurisdiction.

18 Oil spills generally are actually being born
19 typically under the immediate jurisdiction of the
20 Coast Guard. I dealt with this a great deal in Contra
21 Costa County, where we would get calls from the Coast
22 Guard asking us to sound the sirens on shore because
23 of something that had been dropped in the water.

24 So, it is not just terrorism, but there are
25 a number of different jurisdictions that get involved.

1 At the same time, going to your question of adoption,
2 I don't think that getting adoption by the emergency
3 management, I don't think that is a problem.

4 Honestly, it is such a non-problem that we
5 actually have a CAP legacy problem now, which is that
6 we have a number of States and jurisdictions that
7 already have CAP based integrated public warning
8 systems that are somehow going to have to be brought
9 into the mainstream, whenever we define what the
10 mainstream is.

11 So I think that the market demand for
12 integration, for simplicity, for economy, is there. I
13 do think that there has been a lot of people who have
14 sort of been sitting and waiting to see what exactly
15 the Federal program is going to be, and how much or
16 little they are required to do before they act.

17 But I think that there is evidence that
18 emergency managers are ready to go.

19 MR. GOLDTHORP: All right. Good. I am
20 going to shift gears a little bit now. We haven't
21 talked too much about implementation, and one
22 implementation, or one implementation question that
23 occurs to me is that we are talking now about
24 distribution of maybe a lot of alerts, or certainly
25 alerts of a different variety over broadband

1 platforms.

2 What does that do when you talk about, let's
3 say, multicast or broadcast distribution of alerts,
4 whether it is over a social networking medium, or
5 whether it is over a more traditional wireless
6 service, broadcast service, or whatever the case may
7 be?

8 What does that do in terms of network
9 architecture and design? How do you plan for that as
10 an operator? Mike.

11 MR. NAWROCKI: I would like to approach your
12 question with a bit more of a conceptual response. I
13 think the big incremental in terms of going from
14 traditional alert systems to what we might call next
15 generation.

16 With traditional systems over radio and
17 t.v., one of the factors is that there is much less
18 dependence on what is happening in terms of traffic
19 load around that event.

20 There are obviously a lot of issues
21 involving infrastructure, and compatibility, and
22 filtering through information, but the actual -- you
23 know, we know inherently that traffic usage goes up
24 dramatically during any type of event.

25 As you move into next generation type

1 implementation, I think in terms of developing
2 solutions, and standards, and regulations, you need to
3 think kind of beyond just a simple how do I get a
4 message from the origination point to the aggregation,
5 and all the way to the end-user.

6 I think you need to think in terms of all
7 the other factors that are happening around it,
8 because they will materially affect the timeliness,
9 and the reliability of the messages that are
10 delivered.

11 So if we are looking at things such as
12 social networking, and video streaming applications,
13 and so on, there is a much greater dependency on
14 things like traffic load, in terms of actually
15 engineering a solution.

16 MR. GOLDTHORP: Brian, do you have anything?

17 MR. DALY: I think you are keying on one of
18 the key issues. If you look at the wireless data
19 group within the U.S., Cisco reported some numbers
20 that in 2009 that wireless networks carried
21 approximately 17 petabytes of data per month.

22 Now, petabytes may not mean much to you, but
23 when you put it into context, think of the amount of
24 data in the Library of Congress. That is the
25 equivalent of 1,700 Library of Congress' being

1 transmitted across the network per month.

2 And by 2014 the projection is that is going
3 to have a forty-fold increase. So we are seeing this
4 explosion of data across the network, and with that
5 comes with how do we get alerts out in a timely manner
6 when we have this background loads on the network
7 already.

8 And then as Mike mentioned, during emergency
9 situations people are going to be demanding more data
10 and more data. So, the key as we stressed for CMAS
11 was a broadcast capability. Cell broadcast in the
12 Legacy 2G, 3G, and introduced in the LTE technologies.

13 And as we move forward in LTE, there is also
14 a multimedia broadcast multicast system that is
15 available in the tool box for operators to explore
16 different ways of delivering multimedia concepts.

17 Those are efficient mechanisms for getting
18 the data out with all this background noise, and
19 having every individual subscriber in an area trying
20 to access the network, and get data, and IP enabled
21 devices, and trying to use the wireless network.

22 It is really going to be a bog and clog on
23 the network. There isn't enough spectrum as Claude
24 mentioned to provide the user with the ability to have
25 as much bandwidth as they need at any given moment.

1 It is just physically not possible. So these
2 broadcast capabilities are extremely important as we
3 move forward.

4 MR. GOLDTHORP: Fran, did you want to add
5 anything?

6 MS. TRENTLEY: And I think that I absolutely
7 agree, and that content, that live stream, where the
8 DoD, if we are going to put up DoD, or you are going
9 to put whatever the alert media is, has to be pulled
10 into that local network as well.

11 If you are going to try to send to 7-1/2
12 million people back for a live stream, we had 7-1/2
13 million watch the inauguration in 2009. You can't do
14 that from a fixed infrastructure on the web. I mean,
15 there is just not enough bandwidth in a single
16 location.

17 You have to be able to redistribute
18 multicasts as an example of a way that you would do
19 that into the local network, and then serve that end-
20 user as close to the edge, as close to his device as
21 possible.

22 And for all web-based content that is really
23 the way that you would have to be able to do it. We
24 have seen currently with the BP stream, live stream if
25 you are looking at doing something like that, and we

1 have seen the same thing with large DoD, viral pieces
2 of content, where they go out through social media,
3 and you see millions and millions of people hit it at
4 the same time.

5 It has to be delivered within that local
6 network or you are going to clog up your middle mile
7 pipes as well.

8 MR. GOLDTHORP: At the risk of getting into
9 something, and I have gotten into this thing before in
10 one of these workshops, and I am still alive. So,
11 there is an existence proof that survival is possible.

12 But I will ask. I mean, one of the
13 solutions -- you are all sort of implying that there
14 is a congestion problem or a congestion issue, and a
15 surge problem, and that you run out of surge capacity
16 at a certain point because everybody is wanting access
17 and capacity at once.

18 You are trying to surge through or punch
19 through with an alert to that same community. Is
20 there a role for priority service, or priority access,
21 or priority distribution of alerts in an IP based
22 network to enable that sort of feature? Art.

23 MR. BOTTERELL: I have dealt with
24 prioritizations in communications for emergency
25 management all my working life, and I have never been

1 terribly satisfied with the results.

2 So I don't know, but there may be, but then
3 you get into QOS, and then who is going to decide what
4 . I mean, look at the conversations that we have had
5 around GETS, and telecommunications service priority,
6 and wireless priority, and who gets to choose, and who
7 gets what.

8 It gets very complicated, and there may be
9 other strategy. I mean, that's why I am so happy that
10 Akamai is here, because they probably have more
11 experience than anybody that I am aware of in dealing
12 with these problems of both large baseline loads, and
13 large surge loads.

14 So they are I think in existence proof that
15 there are strategies available. Whether
16 prioritization is the best, I am not sure. It is
17 clear to me that it is not the only one.

18 But I think that the one thing that we see
19 here is the deep rationale for reframing all this
20 stuff in terms of broadband, because a lot of the
21 problems that we have with circuit switch, and
22 telephones, and even current generation cell phones,
23 have to do with the fact that there is minimal pooling
24 of resource.

25 The capacity of my phone line to my house

1 does not contribute to my neighbors getting the
2 message at all. It is a separate transaction. Well,
3 when we are doing telephone notification, we are using
4 a system for something that it was never designed to
5 do.

6 So the fact that it doesn't do it perfectly
7 is maybe not surprising, but the point is that as we
8 normalize all of this information into this standard
9 IP bytes is bytes sort of broadband environment, that
10 gives us new opportunities to look for optimization
11 strategies, which may involve prioritization, which I
12 think frequently will involve, you know, edge
13 services, and sort of distributed network design.

14 But I think we have now a reasonably soluble
15 problem, and again we have seen where the problem has
16 been addressed. Whereas, when we are dealing with
17 like using wireline telephones for alerting systems,
18 we pretty much know for a fact that we are beating our
19 head against the wall.

20 You know, it is never going to work terribly
21 well. It is only that we are doing it because it is
22 the best thing that we have right now. So, this, I
23 think, is really why it is important to have this
24 conversation in terms of broadband, and to have this
25 conversation in the context of broadband planning,

1 because broadband, I think, gives us the tools to deal
2 with some of these surge problems.

3 I mean, even the wireless services, if you
4 look at the evolution toward LTE and WiMAX, and these
5 sorts of things, increasingly they are going to the
6 less circuit switch, more packet switch, pooled
7 resource architecture, because it makes good business
8 sense day-to-day, and it also gives us the best chance
9 of dealing with surges.

10 MR. DALY: And to follow on with Art's
11 comments, when you look at prioritization, it is what
12 are you going to prioritize. I mean, whose
13 communications?

14 I mean, the broadband report talks about
15 preserving broadband communications in emergencies.
16 Well, who gets the priority? Is it the hospital and
17 hospital communications, or is it the public safety
18 first responders, or is it the end-user that wants to
19 get more information about this tornado warning that
20 was just issued to their area. You know, it is a
21 balancing act.

22 MR. GOLDTHORP: Or is it the tornado warning
23 itself, which presumably has less information than the
24 request for more information. Do you know what I
25 mean?

1 MR. DALY: Yes, right. I mean, it is a fine
2 balancing act.

3 MR. GOLDTHORP: Absolutely.

4 MR. DALY: Which has the higher priority
5 than the other.

6 MR. GOLDTHORP: But those tools do exist
7 though within LTE technology for the next generation
8 wireless. We are building priority service for
9 multimedia data in there. They are all options to
10 explore as we look at next generation alerting and
11 information preservation of communications during
12 emergencies.

13 MS. STOUT: Jeff, if I may. I just wanted
14 to give some advice the individuals developing and
15 maintaining the networks for our broadband
16 connections.

17 During emergencies, when you are in the
18 process of being alerted to an emergency, and maybe
19 wanting to get involved in emergency communications,
20 make sure that if it is voice messaging, or video
21 transmission, or graphic transmission, data
22 transmission, that those broadband connections are
23 dialed down so to speak.

24 Because that will affect -- or make sure
25 that they are not dialed down, excuse me, because that

1 will affect the video that we will get on, for
2 example, our cell phones.

3 We can do peer-to-peer chats right now when
4 the would be using video relay service, or video
5 remote interpreting, and the same with an individual
6 who is hard of hearing, you will see hard of hearing
7 people suffer not being able to hear on their cell
8 phones because the audio quality was degraded as a
9 result of the message being sent.

10 So both the integrity robustness so to speak
11 needs to be maintained so that we can truly do get the
12 same information.

13 MR. BOTTERELL: There are so many
14 opportunities for unintended consequences that
15 prioritization, in particular, is a technological
16 option, and which can be a policy nightmare.

17 MR. GOLDTHORP: Now, I am going to close
18 with a question that applies more to sort of social
19 networking as a platform, and then we will get to
20 questions from the audience and from the folks on-
21 line.

22 One of the things about opening the alerting
23 process up to a wider community of users -- and now I
24 am not so much talking just about the people that are
25 receiving the alerts, but the viral effect that we

1 have talked about.

2 I mean, it is one thing for there to be a
3 bell ringer, right? And if that is not an
4 authenticated individual, that is somebody -- well,
5 who was it? It was somebody that worked at the
6 church. I can't remember who.

7 So there is only one person in the community
8 that could climb the tower and ring the bell, and
9 presumably there was some trust in that individual.
10 Now we have social networking applications where
11 anybody or everybody is equal, and nobody necessarily
12 knows who anybody else is.

13 So how do you -- I mean, in some ways that
14 diminishes the value of the alerting process, because
15 it results in people maybe trusting the alert even
16 less. How do you deal with that problem in social
17 networking as it applies to alerting?

18 MR. BOTTERELL: Well -- I'm sorry, go ahead.

19 MS. TRENTLEY: I was going to go back to
20 what Art had said earlier. It takes a couple of feeds
21 before somebody buys that, and you may not be able to
22 validate the individuals' information that he has
23 given you, but if he is sending you somewhere else to
24 go pick up information.

25 And if you are going to jump or redirect

1 from that twitter, or that link, to an official link,
2 you can validate the official link. So you may not
3 be able to validate that initial message, but you
4 should be able to validate after you jump.

5 MR. BOTTERELL: This is why people look for
6 corroboration. It is not because they are willful or
7 stupid. It is precisely because they understand about
8 noisy information.

9 And the short answer is attribution. You
10 have to know who the source actually is, and if you
11 don't know, then you apply a discount. Now, that
12 turns out to be a non-trivial problem even within
13 government alerting systems, making sure that a
14 message is attributable, and that you don't as Darryl
15 pointed out lose control of your own message.

16 There are technologies for doing that,
17 because it is not a trivial issue, but I think that we
18 have to remember that social media were not invented
19 in 2005.

20 The telephone was a social medium. The
21 backyard fence was social medium. Word of mouth and
22 interpersonal communication, again with Dennis
23 Miletta, another of our PPW colleagues, calls milling.

24 That process of people talking among
25 themselves and comparing notes, and deciding in the

1 aggregate what they think is reality, that is a
2 reality of the warning process today.

3 The fact that it is electronic changes it,
4 and in many ways it may make it easier for us to
5 understand and observe, but it is nothing new.

6 MR. ERNST: I was going to say that the
7 social networking aspects of human behavior associated
8 with it has been well documented by the social
9 scientists since about 1935 in the Johnstown flood.

10 There is a tremendous body of knowledge out
11 there that would provide us the means to develop all
12 the requirements that we need to address, in terms of
13 using social networking.

14 It is just that the social networking tools
15 have been greatly expanded, but it is still human
16 beings socializing together, and you are not going to
17 change human nature.

18 There is going to be rumors, and so you need
19 rumor control. That is where authentication comes in.
20 But people do look for authority. If they see
21 somebody on the television whom they know to be a
22 trusted government official, they will trust what they
23 say, even if that government official is giving him
24 the wrong information. But it is an authority figure.

25 So what you say is absolutely true. You

1 need to be able to give them the ability to access the
2 authoritative sources of information.

3 MR. BOTTERELL: When we set out to design
4 the common alerting protocol, we didn't start from
5 technology, and we did that deliberately, because the
6 history of warning systems has been to start with the
7 technology, and how can you apply it to warning, and
8 that is how you build stovepipes.

9 Instead, we said what is the common ground
10 in a world where the technology is constantly
11 changing, and we decided that the common ground was
12 human nature and social nature.

13 So we went to the social science research,
14 and specifically a report that came out of the
15 National Science and Technology Council, which I think
16 is a creature of the White House.

17 They did a summary in 2000 that became known
18 as the Red Book report that was a summary of the
19 social science research. It was one of the points of
20 departure from the PPW effort.

21 It also enumerated what they knew were the
22 essential elements of information for a warning
23 message. Chapter 6. And Chapter 6 expressed MXML is
24 basically capped. So we came from the human factor,
25 because the technology is constantly going to be

1 changing.

2 And if we build the technology, we are just
3 running ourselves up a creek. Human nature and social
4 interaction is the closest thing to a constant that we
5 have got in this space, and so I think that in all of
6 these things we really need to look at what we know
7 about the human part of the system, and build back
8 from there, because everything else is obsolete almost
9 by the time it is deployed.

10 MR. GOLDTHORP: Okay. Thank you. I am
11 going to close the panel now and ask if we have any
12 questions either from the audience or from on-line.
13 Yes, sir?

14 MR. PALM: My name is Ben Palm, and I work
15 for the Public Technology Institute, which is an
16 association of officials with technology and public
17 safety responsibilities in local governments, and I
18 have a question about how they will interface with
19 IPAWS and CMAS.

20 But first I would like to share a personal
21 experience with the emergency alerting. It happened a
22 number of years ago, but it still irks me today. I
23 sat down to lunch at a cafe here in Washington, and
24 was informed that there was a water emergency declared
25 in the District, and so I could not be served.

1 And I hurried home, and turned on the radio
2 to find out what was going on, and the radio station
3 did broadcast announcements about the emergency. Many
4 announcements, none of which contained any useful
5 information, like what the emergency was, was it
6 continuing or had it finished, what we were supposed
7 to do.

8 Instead, the broadcast were self-
9 congratulatory about what a great job the station was
10 doing in covering the water emergency. I was tempted
11 to file a complaint against the licensee with the
12 Commission, but I was more interested in whether I
13 could have a glass of water.

14 So my point is that we need corroboration,
15 and we need multiple media, not only for technical
16 redundancy, but so that citizens can bypass if
17 necessary the official media, the traditional media,
18 who may dilute if you will your emergency message for
19 purposes of self-promotion.

20 So my question is about the local
21 governments. In my discussions with public
22 information officials, and communications people in
23 the cities and counties, they don't know about IPAWS
24 and CMAS.

25 They don't know how they will interface with

1 it. With regard to CMAS, they don't understand why or
2 if they will be required to submit their emergency
3 messages to an agency or a machine in Washington, D.C.
4 for some kind of vetting.

5 They like to know what if anything they will
6 have to change in their procedures. If this is a
7 voluntary program, how voluntary will it really be;
8 and will they have to make new investments, and what
9 will it cost. What do I tell these people?

10 MR. GOLDTHORP: Denis, do you want to -- I'm
11 sorry, you are as close as we got to that today.

12 MR. GUSTY: Thanks. You know, it probably
13 wouldn't be fair for me to answer that question. That
14 is more of a question for Antwane, I think, than for
15 S&T. You know, I hate to punt, but --

16 MR. BOTTERELL: These are excellent
17 questions. Let us get answers and get back to you.

18 UNIDENTIFIED SPEAKER: All right. We talked
19 about visual and hearing impaired. I am more of
20 course on the broadcast side of it. CAP is a box into
21 which many alerting elements can be put. One of the
22 compartments in that box is for multiple languages.

23 Nobody has yet sort of taken responsibility
24 for putting things in that box. How do you view
25 multiple languages as occurring? Who is responsible

1 for providing that multiple language?

2 The radio stations and t.v. stations are
3 somewhat frightened to open Pandora's Box. They don't
4 want to be told, well, it is you. Hire a translator,
5 and have them on 24-7 standby, and you provide the
6 translation.

7 Other people would prefer to see the
8 emergency originator provide the language translation,
9 because that is where all the information is. That is
10 where they can make sure that they have the language
11 that is correct.

12 Some people assume that technology is
13 sufficient to provide a machine level language
14 translation. Well, I have got to tell you that 86
15 percent accuracy is not enough to tell me which
16 direction to run. So talk about how we are going to
17 solve the language problem?

18 MR. GOLDTHORP: Brian.

19 MR. BOTTERELL: Those are excellent
20 questions.

21 MR. GOLDTHORP: Brian. Thanks, Art.

22 MR. DALY: We opened Pandora's Box while we
23 were doing CMAS, and slammed it shut, and ran as
24 quickly as we could, because we saw exactly those
25 issues.

1 But on the serious side, we did look at one
2 city -- San Francisco, I believe it was -- and took a
3 survey of the census data, and looked at what one
4 percent of the population on what languages were
5 spoken.

6 We came up with, I believe, 37 languages,
7 which would require 16 different character sets on
8 multiple devices. There needs to be a policy in place
9 at the national level to define what languages are
10 used, who has to translate them into those languages,
11 and how they would be rendered on the different
12 devices that are out there. You have hit one of the
13 key points that is brought up in multi-languages.

14 MR. ERNST: It is even worst than that. Art
15 probably remembers the experiment in Los Angeles
16 County, where they did the warnings in Spanish, and
17 they took the English and translated it. And in one
18 of the translations --

19 MR. BOTTERELL: Was imperfect.

20 MR. ERNST: -- was imperfect, and in fact
21 very embarrassing for a number of people, because a
22 word was used, and something that it shouldn't have
23 meant. So you can't just hire translators, and you
24 can't put it on the industry people.

25 It has to be the government authorities that

1 are issuing it, because they are responsible for
2 providing accurate information. It is a hard problem.

3 You are right about Pandora's Box.

4 MR. BOTTERELL: This is one of the deep
5 issues, is how good is good enough, and who can tell.
6 I can tell you that as a local emergency manager, I
7 would not use a piece of software, a translation
8 service, or any process, until I had some sort of
9 certification that it was adequate, and nobody knows
10 how to do that.

11 Language seems to be the hardest thing.
12 Presentations for other sensory forms are
13 technologically relatively easy, and a lot of times
14 the personalization can be pushed to the edge, even to
15 the individual receiving device. But language is a
16 hard one.

17 MR. GUSTY: I would add that Canada is using
18 CAP, and they are using the info logged in CAP to send
19 a message out in English and in French, and we also
20 learned that Israel is implementing a CAP based
21 system.

22 And their requirement is to send it out in
23 three different languages. So it will be interesting
24 to see how they resolve that problem.

25 MR. BOTTERELL: The operational question is

1 to what extent do you delay release of a message in
2 one language in order to get translations to the
3 other. Who has got the capability and do you have to
4 hold up.

5 MS. STOUT: And back to your question, I
6 wanted to thank you actually for raising the language
7 issue and the need for multiple languages, and
8 specifically for emergency alerts.

9 I think that also in Texas, as well as in
10 California, there is such a strong population of
11 Hispanics, and specifically Hispanic deaf individuals
12 and hard of hearing, who would also benefit from
13 getting alerts in Spanish, and specifically in Spanish
14 captioning, Spanish text, et cetera.

15 I know that San Francisco also has such a
16 heavy population of Asian deaf individuals who could
17 benefit from getting the language in either
18 Vietnamese, Japanese, Chinese, et cetera. So thanks
19 for just raising the issue in general.

20 MR. GOLDTHORP: Any other questions today?
21 Sir?

22 MR. BELL: Yes. I am Frank Bell. Now, not
23 every earthquake is a San Andreas Fault, which is
24 rather well monitored, but a question is response
25 time.

1 Now, among the many different possibilities
2 and things discussed, I haven't heard any mention of
3 this, but considering that Part 11 gets somehow
4 improved in its definition that is appropriate to
5 digital t.v., and HD radio, I looked at that and I
6 considered a reasonable estimate for a response time
7 budget would be about 2-1/2 seconds.

8 Now that is just from my perspective. I was
9 just wondering what your thought is on that particular
10 thing for earthquakes, and where response time
11 matters.

12 MR. BOTTERELL: Earthquakes are a bad
13 scenario because currently they are sort of self-
14 notifying, and we don't have tactical warning. But
15 the question of what should the latency goal for an
16 alert be, the problem is that particularly when you
17 get into sort of the last mile, the final distribution
18 system, it is going to vary.

19 So I think you can come up with a worst case
20 figure. I believe there is a goal for Presidential
21 activation of EAS end-to-end that is in the range of
22 some minutes.

23 There was if I recall correctly in the DHS
24 target capabilities list, early on there were some
25 goals set for percentage of population notified in a

1 time frame, and later that specification was pulled
2 out, because I think they discovered that it was a
3 little too complicated to try to turn it into one
4 number.

5 But certainly for the purely digital stuff
6 that you are talking about, it seems to me like you
7 are in the right ballpark, but that can vary.

8 MR. GOLDTHORP: Brian.

9 MR. DALY: There is a system in place in
10 Japan that they are developing called the Earthquake,
11 Tsunami, and Warning System. The way that works using
12 cell broadcast is that there is two ways. There is an
13 S and a P-wave that can be monitored through
14 seismographs.

15 The minute the S-wave is detected, they send
16 out a cell broadcast message hopefully before the
17 P-wave occurs, and that will hopefully give some
18 advance warning.

19 We have spoken to some geologists at the
20 University of Washington, and they said that the
21 characteristics of earthquakes, for example, in
22 California, are different than Japan, and that may not
23 work.

24 But I think that this is an area to research
25 further, and perhaps expand upon and look at systems

1 such as what Japan is doing as the technology evolves,
2 and our understanding of earthquakes.

3 MR. BOTTERELL: That particular technology,
4 the time of latent technology, has been used in the
5 States. We used it in California. It is in use in --
6 Mexico City uses it for events that occur over at
7 Acapulco.

8 The thing is that the P-wave travels a
9 little faster than the S-waves, and so the further out
10 you go the more lead time you have. In a metropolitan
11 area, you might get lead time from one side to the
12 other on the order of 10, 15, or 20 seconds.

13 In Mexico City, because their fault line is
14 over by Acapulco, they can get several minutes. So,
15 yes, that is a correction on what I said about
16 tactical warning of earthquakes.

17 MR. GOLDTHORP: Sir?

18 MR. LAWSON: Thank you. I am John Lawson
19 with Convergent Services. I work with public
20 broadcasters and handset makers. First, let me
21 compliment the FCC and FEMA for holding this workshop.
22 This is very helpful.

23 I have been on MSERAK and SYMSAC, and now
24 SYREC, and it is great to see the progress that is
25 being made. I did have a question though about this

1 issue of network congestion.

2 When an event happens as we have seen, and
3 the cell network goes down, or the wireline network
4 goes down, as in the example of the San Francisco
5 wildfires, we now have mobile DTV, and we have got FM
6 radio, and we can use mobile DTV to send warnings to
7 cell phones. We can geographically target it.

8 I guess my question primarily to Brian and
9 Mike would be what are the prospects of one day the
10 carriers and the broadcasters coming together to put
11 mobile DTV receivers, and/or FM receivers, in handsets
12 to really enable a totally ubiquitous emergency alert
13 system?

14 MR. DALY: Yes, this topic of different
15 capabilities in the devices has come up many times,
16 and there is a lot of challenges with an FM chip set
17 within an device. We probably don't have time to go
18 through that here, I'm sure.

19 MR. GOLDTHORP: We have a minute.

20 MR. DALY: A minute? I probably can't cover
21 it in a minute. I mean, a lot of those capabilities
22 are really driven by the marketplace and consumer
23 demand for those devices, and for those capabilities
24 within the device.

25 There is capabilities inherent into the

1 networks that we can make use of for alerting, and
2 getting information out to subscribers and the end-
3 users above and beyond some of the capabilities that
4 you have mentioned here.

5 Certainly it is areas for discussion
6 further, and whether or not that comes to fruition is
7 hard to say.

8 MR. GOLDTHORP: One last question, and we
9 have to make it very quick. Sir.

10 MR. CASAB: Good morning. My name is Hesaw
11 Casab, and I am with Global Labs, LLC. I had a
12 question about how messages, how emergency messages
13 arrive at the FEMA aggregator.

14 Is it expected that the alert originators
15 have to actively transmit it by e-mail, FTP, or some
16 other protocol, or does the FEMA aggregator -- is it
17 constantly calling and listening in on multiple
18 servers that are known to host these messages, and
19 then pull them from that way?

20 And kind of like a related question, who is
21 responsible for developing the specs for the
22 communication between the alert originator system and
23 the FEMA aggregator?

24 MR. GOLDTHORP: Antwane, do you want to take
25 that? You were turning around like you were going to

1 answer it.

2 MR. A. JOHNSON: -- but right now we are
3 working with DHSS&T on standards, and so while in the
4 past that we have talked about the C interface
5 specification, and other components, the A and B
6 specifications for the aggregator, or for IPAWS in
7 general.

8 Now, we are talking more about just an IPAWS
9 specification that is just a set of standards that
10 would allow folks on the origination side to interact
11 with the IPAWS aggregator, as well as on the
12 dissemination side of the house.

13 So it will not be -- we will not receive
14 alerts and warnings by e-mail. It will all be an
15 automated exchange based on the standards that have
16 been identified, developed, and then adopted by the
17 program.

18 MR. CASAB: But the other originator has
19 active --

20 MR. A. JOHNSON: Yes.

21 MR. GOLDTHORP: Thanks, Antwane. Sorry to
22 put you on the spot.

23 MR. BOTTERELL: Now that Antwane has spoken
24 for IPAWS, I can say that in other CAP based systems
25 what has typically been done is an HTTP post with some

1 authentication on the input side.

2 There are some other systems using some
3 other protocols, XMPP for one, but typically that is
4 it. There is a desktop tool of some sort, and then it
5 pushes and does the authentication handshake, because
6 if you do a polling thing, then you have got a lot of
7 inefficiency.

8 Plus, then you have to have a database of
9 every possible source, and it is a lot easier to
10 manage that in the authentication and just hand out
11 credentials, than it is to have to say, well, what IP
12 address are you going to be at. So that is how some
13 systems do it, but again I don't speak for IPAWS on
14 that.

15 MR. GOLDTHORP: Okay. All right. Before I
16 introduce Lisa for closing remarks, let me just thank
17 our panelists, and ask our audience to join me in
18 thanking them.

19 (Applause.)

20 MR. GOLDTHORP: And closing the workshop
21 today is Lisa Fowlkes, Deputy Chief of the Public
22 Safety and Homeland Security Bureau, and let me add
23 before Lisa -- while Lisa is making her way up, let me
24 add my thanks to all of you for coming out today.

25 MS. FOWLKES: Thanks, Jeff. I would like to

1 first of all thank both Antwane and Jeff for the
2 really terrific job that you guys did in moderating
3 both of these panels.

4 And also on behalf of Jamie and Damon, I
5 thank all of our panelists, both panels, and ask that
6 you please give both panels another round of applause.

7 I hope that you all found this very lively and
8 informative.

9 (Applause.)

10 MS. FOWLKES: I would also like to thank on
11 behalf of Jamie, our Federal partners, NOAA, the
12 Department of Homeland Security, Science and
13 Technology Directorate, and the White House Military
14 Office, which some of you may or may not know is
15 another Federal partner in the emergency alerting
16 space.

17 And specifically to Eric Pankette, who is on
18 the Federal working group, and essentially leads the
19 Federal working group from the National Security Staff
20 on Emergency Alerting Issues.

21 Thanks to the staffs of both the FCC and
22 FEMA for your tireless work on emergency alerting, and
23 finally and most importantly, I would like to thank
24 all of you for attending.

25 The sheer number of you here today, and the

1 fact that I think as Wade mentioned earlier, we have
2 representation from most, if not all, of the segments
3 of the emergency alerting community, speaks volumes as
4 to the importance, and how very important this issue
5 is to our Nation.

6 We certainly look forward to continuing to
7 work with all of you as we move forward on IPAWS,
8 including next generation EAS and CMAS, both the
9 initial CMAS and sometime in the future next
10 generation CMAS. Hint, hint, Brian.

11 And in addition to which, you know, we
12 certainly at the FCC and FEMA also look forward to
13 having further discussions with all of you as we move
14 forward on looking at how we leverage broadband
15 technologies to ensure that all Americans can receive
16 timely and accurate emergency alerts.

17 For those of you who have traveled from
18 outside the D.C. area, I wish you a safe and enjoyable
19 journey home. Thank you for sharing your time with us
20 today.

21 (Applause.)

22 (Whereupon, at 12:46 p.m., the Workshop was
23 concluded.)

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REPORTER'S CERTIFICATE

TITLE: Workshop on 21st Century Emergency Alerting:
Leveraging Multiple Technologies to Bring
Alerts and Warnings to the Public

DATE: June 10, 2010

LOCATION: Washington, D.C.

I hereby certify that the proceedings and evidence are contained fully and accurately on the tapes and notes reported by me at the hearing in the above case before the United States Federal Communications Commission.

Date: 6/10/10

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