

## CALEA SSI Policies & Procedures Checklist

<u>OK</u>	<u>Defect</u>	<u>Cite P1</u>	<u>Requirement</u>
Separate pullout sheet includes:			
___	___	.20003(b)(4)(i)*	Name of senior officer or employee responsible for CALEA.
___	___	.20003(b)(4)(i)	Job description of that person.
___	___	.20003(b)(4)(ii)	How to reach contact person(s) 24/7.
Other requirements:			
___	___	.20003(b)(2)	Interprets “appropriate authorization” to include “appropriate legal authorization” and “appropriate carrier authorization.”
___	___	.20003(b)(1)	States that carrier personnel must receive appropriate legal authorization and appropriate carrier authorization before enabling law enforcement officials to implement an interception of communications or access to call-identifying information.
___	___	.20003(b)(3)	States specific record retention period.
Suggested additional elements:			
		.20003(c)	Carrier will report security breaches to affected LEAs.
		.20004(a)	Record of each interception/access to include: Telephone number or circuit ID Start date and time Name of law enforcement officer presenting the authorization Name of person signing the authorization Type of interception or access (pen register, trap and trace, Title III, FISA, etc.) Name of carrier personnel responsible for oversight Signature of responsible carrier personnel
Other problems noted:			

\*47 C.F.R. §20003(b)(4)(1)