

December, 2011

WORKING GROUP 1 Subgroups 1 and 2 Report

Report		Working Group 1 December, 2011

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### 1 Preamble

This document contains the reports of the Communication Security Reliability and Interoperability (CSRIC) Working Group 1 - Subgroups 1 and 2. This report is intended to be incorporated into the overall CSRIC report.

# 2 Introduction

#### 2.1 Overview

The contents of this document include the identification of technical standards, related technical gaps and overall readiness of the 9-1-1 system for accepting information generated by Next Generation 9-1-1 (NG9-1-1) applications. While there is much work that has been done to date, much work remains to be done with respect to NG9-1-1 development, including the completion of a wide range of operational procedures at the overall NG9-1-1 system level, for Public Safety Answering Points (PSAPs) and other emergency entities expected to use NG9-1-1 functionality. Also, work remains to be done among the management groups involved, to address issues such as system operations, database management, troubleshooting, and potential resource sharing.

Over the years of evolving 9-1-1 technologies, we've also realized the importance of education. In addition to public education (e.g. consumer uses of Next Generation applications on their devices), NG9-1-1 readiness involves education and training, planning, and implementation across several stakeholder groups. Call takers will need training regarding changes to current PSAP and 9-1-1 Authority operational procedures. They will also need to learn new databases, changes in network governance, software applications and database management. Education and training may be provided by a variety of public and private entities, and in differing relationships with single or multiple vendors. For some parties, education around the change to IP technology in NG9-1-1 may be necessary.

In addition to technical and operational standards, readiness, and education, there are multiple broad issues that will require further ongoing work. For example, without effectively addressing issues of policy, funding, governance, and regulation, NG9-1-1 may not realize its full potential. Effectively addressing these matters will be critical in creating the most productive environment for NG9-1-1 implementation.

The majority of the technical standards are in place to support the transition to NG9-1-1. However, an area that appears to be lacking is a comprehensive plan on how the various standards tie together to facilitate that transition. There are standards in place for various functional entities and interfaces, but missing is a complete end-to-end view that ties these standards together to allow for the orderly transition to NG9-1-1. This gap could be addressed by the development of an NG9-1-1 transition implementation guide.

# 2.1.1 Organization Chart

Communications Security, Reliability and Interoperability Council (CSRIC) III

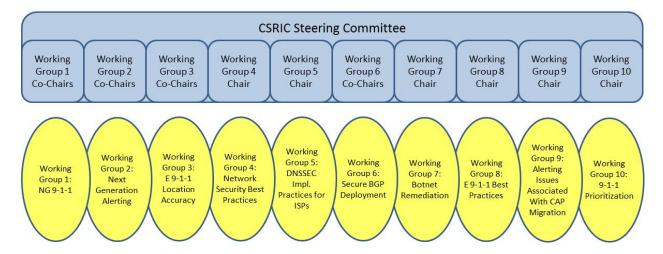


Figure 2-1: CSRIC Organization Chart

# 2.1.2 Working Group 1 Membership

**Table 2-1: CSRIC Working Group Team Members** 

Name	Organization	Sub 1	Sub 2
Laurie Flaherty, Co-Chair	National Highway Traffic Safety Administration; USDOT		
Brian Fontes, Co-Chair	NENA		
Angel Arocho	Comcast		
Jeb Benedict	CenturyLink	X	Х
Marc Berryman	Digital Data Technologies, Inc.	X	Х
Donna Bethea-Murphy	Iridium	X	
David Connor	US Cellular Corporation		
Brian Daly	AT&T	X	
Thomas Dombrowsky	Wiley Rein LLP	X	
James Goerke	Texas 9-1-1 Alliance	X	X
Jeanna Green	Sprint	X	
Jenny Hansen	Northrop Grumman Corporation	X	X
Tom Hanson	Charlottesville/UVA/Albemarle County	X	X
Roger Hixson	NENA	X	
Mike Hooker	T-Mobile USA Inc.	X	X
Farrokh Khatibi	ATIS (works for Qualcomm)	X	
Elise Kim	9-1-1 FOR KIDS: Public Education	X	
Frank Korinek	Motorola Solutions		
Michael Mangini	Cassidian Communications, an EADS North America Company	Х	
Kathryn Martin	Access Partnership		
Kathy McMahon	APCO	X	X

Name	Organization	Sub 1	Sub 2
Jennifer McNamara	CenturyLink	X	X
Richard Muscat	Bexar Metro 911 Network District		X
Mike Nelson	Intrado, Inc.	X	X
Tristan Nelson	Verizon	X	X
Judi Ocondi	TeleCommunication Systems, Inc.	X	
Jerry O'Neill	Northrop Grumman Corporation	X	X
Chuck Powers	Motorola Solutions		
Jacqueline Randall	Washington State Military Department E911 Program	X	
	Office		
Brian Rosen	Neustar	X	X
Brent Schimke	City of New York, Mayor's Office of Citywide		
	Emergency Communications		
Greg Schumacher	Sprint	X	X
Dorothy Spears	Virginia Information Technologies Agency	X	X
Bill Tortoriello	U.S. Cellular		
Christian Vogler	Gallaudet University	X	
Norman Williams	Gallaudet University	X	X
Jeffery Wittek	Cassidian Communications, an EADS North America	X	
-	Company		

Also, DeWayne Sennett of AT&T served as document editor for the development of the CSRIC Working Group 1 report.

# 2.2 Objective of CSRIC Working Group 1 Subgroup 1

Subgroup 1 shall identify ongoing work related to Next Generation (NG) NG9-1-1 network architecture, including standards development efforts such as the National Emergency Number Association's (NENA's) i3 standard and others. The Working Group shall label aspects of identified standards as:

- Critical for deployment,
- Critical for competition,
- Desirable,
- Long-term, or
- Non-critical.

In addition, the Working Group shall identify any gaps in existing or developmental standards work and classify the importance and urgency of resolving those gaps.

# 2.3 Analysis, Findings, and Recommendations of CSRIC Working Group 1 Subgroup 1

### Methodology

Technical diagrams were used to provide a framework for identification and analysis of standards. Using diagrams, the standards were listed and classified according to their corresponding functional entity. Their current status was described. Standards were then rated as to their priority and gaps in existing standards were identified. Only those technical standards specific to NG9-1-1 were discussed, rated as to the urgency of their completion and analyzed for gaps. In order to manage the scope of the assignment and the corresponding report, the working group recognizes the many standards developed by such groups as 3GPP2, TIA and others;

however, the Working Group focused on those standards specific to NG9-1-1.

# **Summary**

A total of 154 functional entities/interfaces in seven (7) major categories were considered as to the status if their corresponding technical standards. Of these:

- Standards are complete for 125 functional entities,
- 14 are in publication queue,
- 8 are in the approval stage,
- 4 are in development,
- 2 are covered by BCF interface, and
- 1 is without a comprehensive document.

Cumulatively, standards are complete for 81% of the reviewed entities/interfaces.

A total of 35 technical standards were rated. Of these:

- 25 were considered critical for deployment,
- 29 were rated critical for competition,
- 2 were assessed as desirable,
- 23 were applicable to long-term (post transition), and
- 2 were considered non-critical.

These ratings were not mutually exclusive.

# 2.3.1 High Level System Diagrams

The high level diagrams provided in this section are the complete architecture of the NG9-1-1 system. The purpose of these diagrams is to provide a framework for the identification and analysis of standards activities.

The NG9-1-1 Context Diagram illustrates the subject domain at the center and identifies the major interacting domains around the Emergency Services IP Network (ESInet)/NG9-1-1 network. Each interacting domain is unique in its purpose and utilizes a specific set of interactions and protocols. NG9-1-1 Network inputs, outputs and external factors can be considered by inspecting the relationship of each interacting domain. This approach provides the foundation for a comprehensive evaluation of ongoing work related to NG9-1-1 network and architecture.

Significant implementation diversity exists in the marketplace. As such, the NG9-1-1 network must simultaneously support multiple service providers. This document does not attempt to recommend one service provider technology over another, rather to explicitly show how multiple service provider networks (i.e. IMS, non-IMS, Internet, etc.) can all be integrated into the NG9-1-1 solution.

# 2.3.1.1 NG9-1-1 Context Diagram

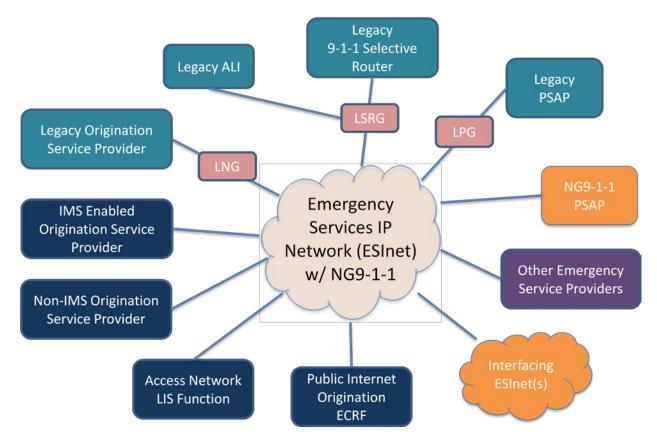


Figure 2-2: NG9-1-1 Context Diagram

# 2.3.1.2 IP Origination Diagram

The following figure illustrates an expanded architecture that takes into account the network elements of an IP Multimedia Subsystem (IMS) origination Network, a non-IMS IP origination network, and NENA's i3 architecture. For simplicity, the IMS cloud shown does not include all the IMS network elements. The Common IMS Network supports a variety of access types with mobile, nomadic or fixed user equipment.

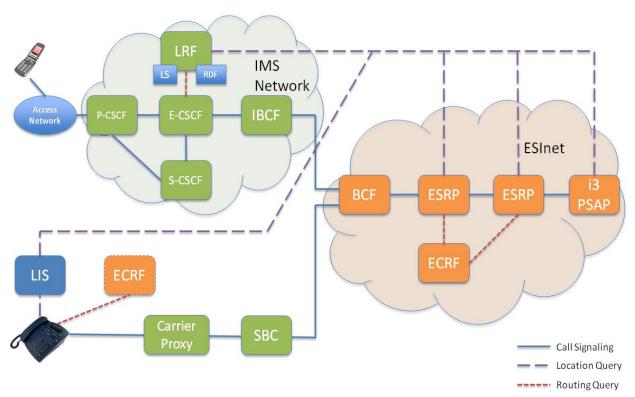


Figure 2-3: IP Origination Diagram

# 2.3.1.3 Legacy Origination Diagram

The following figure illustrates origination from legacy wireless and wireline networks terminating in i3 PSAPs via an ESInet. Legacy origination in this diagram shows the use of the Legacy Network Gateway (LNG) as the bridge element between the origination network and the ESInet.

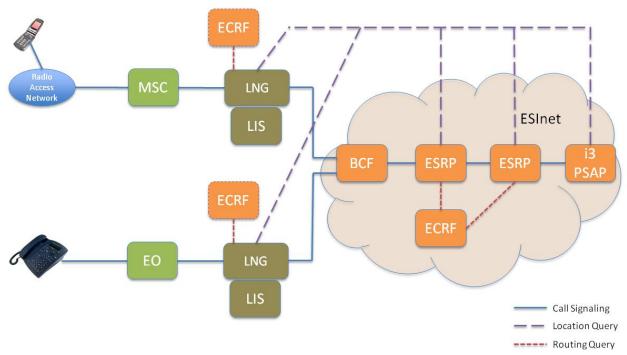


Figure 2-4: Legacy Origination Diagram

### 2.3.1.4 Transition Diagram

The following figure illustrates transition from current E9-1-1 systems to NG9-1-1 systems. It shows a Legacy Selective Router Gateway (LSRG) between an existing selective router/Automatic Location Identification (ALI) and the ESInet.

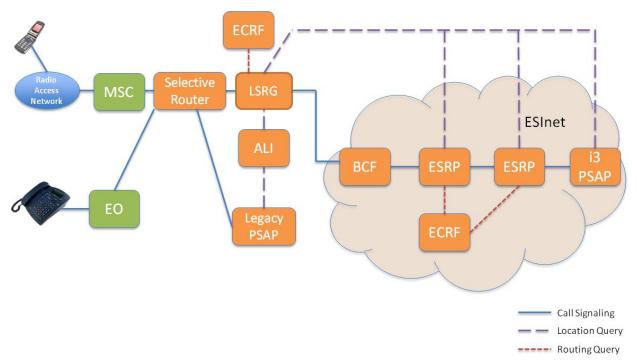


Figure 2-5: Transition Diagram

### 2.3.2 IMS Functional Elements

### 2.3.2.1 User Equipment (UE)

User Equipment is used here as defined in 3<sup>rd</sup> Generation Partner Project (3GPP) Technical Standard (TS) 23.167. The UE initiates the emergency session establishment request.

### 2.3.2.2 Proxy Call Session Control Function (P-CSCF)

The Proxy Call Session Control Function is used here as defined in 3GPP TS 23.167. The P-CSCF receives the emergency session establishment request from the UE, detects that it is an emergency, and forwards to the E-CSCF.

### 2.3.2.3 Emergency Call Session Control Function (E-CSCF)

The Emergency Call Session Control Function is used here as defined in 3GPP TS 23.167. The E-CSCF receives the emergency session establishment request from the P-CSCF, validates or obtains location information, determines or obtains routing information, and forwards per the routing information.

### 2.3.2.4 Serving Call Session Control Function (S-CSCF)

The Serving Call Session Control Function is used here as defined in 3GPP TS 23.167.

### 2.3.2.5 Location Retrieval Function (LRF)

The Location Retrieval Function (LRF) is used here as defined in 3GPP TS 23.167 and its functionality is expanded within this standard. The LRF retrieves location information for a UE and obtains routing information for an emergency session of the UE from the Routing Determination Function (RDF).

### **2.3.2.6** Routing Determination Function (RDF)

The Routing Determination Function (RDF) is used here as defined in 3GPP TS 23.167 and its functionality is expanded within this standard.

The RDF provides routing information for an emergency session.

# 2.3.2.7 Media Gateway Control Function (MGCF)

The Media Gateway Control Function (MGCF) is used here as defined in 3GPP TS 23.167. The MGCF interworks signaling on the origination network IMS side (e.g. SIP) to signaling on the legacy PSAP side (e.g. SS7 ISUP).

### 2.3.2.8 Emergency Access Transfer Function (EATF)

The Emergency Access Transfer Function (EATF) is used here as defined in 3GPP TS 23.167. The EATF supports session continuity (e.g., Handover) of an emergency call initially established using IMS when movement of the UE requires a change of access network from packet network (e.g., LTE) to circuit network (e.g., GSM or UMTS).

# 2.3.2.9 Interrogating Call Session Control Function (I-CSCF)

The Interrogating Call Session Control Function is used here as defined in 3GPP TS 23.167 and it is the typical point of entry into an IMS network from some other network.

### 2.3.2.10 Location Server (LS)

The Location Server is used here as defined in 3GPP TS 23.167.

### 2.3.3 Emergency Services Network Functional Elements

### 2.3.3.1 Automatic Location Identification (ALI)

The location information service for E9-1-1. ALI is a database keyed by telephone number that returns location. For wireline, there is an entry per telephone number served, containing the street address of the subscriber. For wireless and VoIP, the record in the database is a dummy (shell) record, and the ALI function steers queries to an appropriate Mobile Positioning Center (MPC), GSM Mobile Positioning Center (GMLC), or VoIP Positioning Center (VPC). The query uses a pseudo ANI (pANI) assigned to the call by the MPC/GMLC/VPC. The MPC/GMLC/VPC returns the location of the caller.

#### 2.3.3.2 Border Control Function (BCF)

The Border Control Function (BCF) is used here as defined in NENA i3 and ATIS PTSC SBC TR. The BCF controls all traffic into and out of an ESInet. BCFs contain firewall and SIP specific "Session Border Controller" (SBC) functionality.

### 2.3.3.3 Emergency Services Routing Proxy (ESRP)

The Emergency Services Routing Proxy (ESRP) is used here as defined in NENA i3.

The ESRP acts as a SIP proxy server within the ESInet, and routes emergency calls within the ESInet.

# 2.3.3.4 Emergency Call Routing Function (ECRF)

The Emergency Call Routing Function (ECRF) is used here as defined in NENA i3. The ECRF is the routing database for emergency calls. It is queried with the location of the caller and provides the next hop route for the SIP signaling. For queries outside the ESInet, the route obtained from the ECRF is to the entry ESRP in the right ESInet. Within the ESInet, the ECRF implements multistage routing towards a PSAP, and is also used to identify the corresponding emergency service providers that have jurisdiction for the location of the incident.

# 2.3.3.5 Location Information Server (LIS)

The Location Information Server (LIS) is defined by NENA Standard 08-003 as the source of location for NG9-1-1. The LIS can be a database keyed by some quantity (IP address, MAC address, Telephone Number, etc.) or it can be a protocol converter between NG9-1-1 location protocols (e.g. HELD or SIP) and network specific location protocols (e.g. E2, MLP).

# 2.3.3.6 Public Safety Answering Point (PSAP)

The Public Safety Answering Point (PSAP) can be either a legacy PSAP or NENA i3 PSAP. For legacy PSAPs the call is delivered from a Selective Router or a Legacy PSAP Gateway. An i3 PSAP is a SIP end point (client) within or connected through the ESInet.

### 2.3.3.7 Selective Router (SR)

A Selective Router (also known as a Legacy Selective Router, Enhanced 9-1-1 Control Office, or 9-1-1 Selective Routing Tandem), routes emergency calls to the appropriate PSAP. . (See "NENA Master Glossary of 9-1-1 Terminology"

http://www.nena.org/default.asp?page=Glossary for further details.)

### 2.3.4 Standards by Functional Entity and Interface

The following table identifies the NG9-1-1 related standards associated with the functional entities and the interface between functional entities.

Table 2-2: Standards by Functional Entity / Interface

	Functional Entity / Interface Associated Standards Standards Status					
I	IMS					
	E-CSCF Functional Entity	3GPP TS 23.167	Completed			
	$E$ -CSCF $\leftrightarrow$ IBCF Interface	3GPP TS 24.229	Completed			
	$E$ -CSCF $\leftrightarrow$ LRF Interface	3GPP TS 24.229	Completed			
	$E$ -CSCF $\leftrightarrow$ P-CSCF Interface	3GPP TS 24.229	Completed			
	$E$ -CSCF $\leftrightarrow$ S-CSCF Interface	3GPP TS 24.229	Completed			
	IBCF Functional Entity	3GPP TS 23.228	Completed			
	$IBCF \leftrightarrow BCF$ Interface	3GPP TS 24.229	Completed			
		NENA 08-003	Completed			

<b>Functional Entity / Interface</b>	Associated Standards	Standards Status
LRF Functional Entity	3GPP TS 23.167	Completed
LRF ↔ ESRP Interface	IETF 3856	Completed
	IETF 4119	Completed
	IETF deref-protocol	In approval stage
	IETF sipcore-location-conveyance	In approval stage
LRF ↔ i3 PSAP Interface	IETF 3856	Completed
	IETF 4119	Completed
	IETF deref-protocol	In approval stage
	IETF sipcore-location-conveyance	In approval stage
P-CSCF Functional Entity	3GPP TS 23.167	Completed
	3GPP TS 23.228	Completed
P-CSCF ↔ Access Network Interface	3GPP TS 24.229	Completed
P-CSCF ↔ S-CSCF Interface	3GPP TS 24.229	Completed
S-CSCF Functional Entity	3GPP TS 23.167	Completed
	3GPP TS 23.228	Completed
ESInet		
BCF Functional Entity	ATIS-1000026.2008	Completed
	NENA 08-003	Completed
	NENA Emergency Services IP Network Design for NG9-1-1	In development
BCF ↔ ESRP Interface	NENA 08-003	Completed
ECRF Functional Entity	IETF RFC5222	Completed
	NENA 08-003	Completed
	NENA Emergency Services IP Network Design for NG9-1-1	In development
ECRF ↔ ESRP Interface	IETF RFC5222	Completed
	NENA 08-003	Completed
ESRP Functional Entity	IETF RFC3261	Completed
	NENA 08-003	Completed
	NENA Emergency Services IP Network Design for NG9-1-1	In development
ESRP ↔ ESRP Interface	IETF RFC3261	Completed

<b>Functional Entity / Interface</b>	Associated Standards	Standards Status
	NENA 08-003	Completed
ESRP ↔ i3 PSAP Interface	IETF RFC3261	Completed
	NENA 08-003	Completed
Gateway ↔ PSAP	ATIS-0500007.2008	Completed
i3 PSAP Functional Entity		No comprehensive document. Several projects in development on various aspects.
PSAP ↔ Gateway	ATIS-0500002.2008	Completed
Non-IMS IP based origination	1	
Carrier Proxy Functional Entity	IETF RFC3261	Completed
	IETF phonebcp	In publication queue
Carrier Proxy ↔ SBC Interface	IETF RFC3261	Completed
Endpoint Functional Entity	IETF RFC3261	Completed
	IETF phonebcp	In publication queue
Endpoint ↔ Carrier Proxy ltf	IETF RFC3261	Completed
	IETF phonebcp	In publication queue
Endpoint ↔ ECRF Interface	IETF RFC5222	Completed
	IETF RFC5223	Completed
	IETF phonebcp	In publication queue
Endpoint ↔ LIS Interface	IETF RFC4119	Completed
	IETF RFC4776	Completed
	IETF RFC5139	Completed
	IETF RFC5491	Completed
	IETF RFC5774	Completed
	IETF RFC5985	Completed
	IETF RFC6155	Completed
	IETF RFC6225	Completed
	IETF phonebcp	In publication queue
SBC Functional Entity		Covered by BCF interfaces
SBC ↔ BCF Interface	IETF RFC3261	Completed
LIS Functional Entity	NENA 08-003	Completed
LIS ↔ ESRP Interface	IETF RFC3261	Completed
	IETF RFC4079	Completed
	IETF RFC4119	Completed
	IETF RFC5139	Completed

Functional Entity / Interface	Associated Standards	Standards Status
	IETF RFC5491	Completed
	IETF RFC5985	Completed
	IETF RFC6155	Completed
	IETF deref-protocol	In approval stage
LIS ↔ i3 PSAP Interface	IETF RFC3261	Completed
	IETF RFC4079	Completed
	IETF RFC4119	Completed
	IETF RFC5139	Completed
	IETF RFC5491	Completed
	IETF RFC5985	Completed
	IETF RFC6155	Completed
	IETF deref-protocol	In approval stage
Enterprise		
Carrier Proxy Functional Entity	IETF RFC3261	Completed
	IETF phonebcp	In publication queue
Carrier Proxy ↔ SBC Interface	IETF RFC3261	Completed
Endpoint Functional Entity	IETF RFC3261	Completed
	IETF phonebcp	In publication queue
Endpoint ↔ Carrier Proxy ltf	IETF RFC3261	Completed
	IETF phonebcp	In publication queue
Endpoint ↔ ECRF Interface	IETF RFC5222	Completed
	IETF RFC5223	Completed
	IETF phonebcp	In publication queue
Endpoint ↔ LIS Interface	IETF RFC4119	Completed
	IETF RFC4776	Completed
	IETF RFC5139	Completed
	IETF RFC5491	Completed
	IETF RFC5774	Completed
	IETF RFC5985	Completed
	IETF RFC6155	Completed
	IETF RFC6225	Completed
	IETF phonebcp	In publication queue
SBC Functional Entity		Covered by BCF interfaces
$SBC \leftrightarrow BCF$ Interface	IETF RFC3261	Completed
LIS Functional Entity	NENA 08-003	Completed

_	<b>Functional Entity / Interface</b>	Associated Standards	Standards Status
	LIS ↔ ESRP Interface	IETF RFC3261	Completed
		IETF RFC4079	Completed
		IETF RFC4119	Completed
		IETF RFC5139	Completed
		IETF RFC5491	Completed
		IETF RFC5985	Completed
		IETF RFC6155	Completed
		IETF deref-protocol	In approval stage
	LIS ↔ i3 PSAP Interface	IETF RFC3261	Completed
		IETF RFC4079	Completed
		IETF RFC4119	Completed
		IETF RFC5139	Completed
		IETF RFC5491	Completed
		IETF RFC5985	Completed
		IETF RFC6155	Completed
		IETF deref-protocol	In approval stage
	Relay ↔ BCF Interface	IETF RFC3261	Completed
		IETF phonebcp	In publication queue
		NENA 08-003	Completed
2	elay Services		
	Relay Functional Entity	IETF RFC3261	Completed
		IETF phonebcp	In publication queue
		NENA 08-003	Completed
	Carrier Proxy ↔ Relay Interface	IETF RFC3261	Completed
		IETF phonebcp	In publication queue
		NENA 08-003	Completed
	Relay ↔ BCF Interface	IETF RFC3261	Completed
		IETF phonebcp	In publication queue
		NENA 08-003	Completed
,	egacy Network		
	EO Functional Entity	NENA 03-005	Completed
		Telcordia SR-4163	Completed
	EO ↔ LNG Interface	NENA 05-001	Completed
		NENA 08-003	Completed
	Legacy Network IP $\leftrightarrow$ LNG Interface	ATIS IMS ESInet P0030 Project Spec	In development
_			

Functional Entity / Interface	Associated Standards	Standards Status
LNG Functional Entity	NENA 08-003	Completed
LNG ↔ BCF Interface	NENA 08-003	Completed
LNG ↔ ESRP Interface	NENA 08-003	Completed
LNG ↔ i3 PSAP Interface	NENA 08-003	Completed
MSC Functional Entity	NENA 05-001	Completed
MSC ↔ Access Network Interface	NENA 05-001	Completed
Transition Network		
ALI Functional Entity	NENA 04-005	Completed
ALI ↔ Legacy PSAP Interface	NENA 04-005	Completed
EO Functional Entity	NENA 03-005	Completed
EO ↔ Selective Router Interface	NENA 03-005	Completed
Legacy PSAP	NENA 04-001	Completed
IP Selective Router ↔ IP PSAP	ATIS-0500019.2010	Completed
LSRG Functional Entity	NENA 77-501	Completed
LSRG ↔ ALI Interface	NENA 77-501	Completed
$LSRG \leftrightarrow BCF$ Interface	NENA 77-501	Completed
LSRG ↔ ESRP Interface	NENA 77-501	Completed
LSRG ↔ i3 PSAP Interface	NENA 77-501	Completed
MSC ↔ Selective Router Interface	3GPP TS 29.010 NENA 03-005	Completed
Selective Router Functional Entity	3GPP TS 29.010 NENA 03-005	Completed
Selective Router ↔ Legacy PSAP Interface	3GPP TS 29.010 NENA 03-005	Completed
Selective Router ↔ LSRG Interface	3GPP TS 29.010 NENA 03-005	Completed

# 2.3.5 List of Processes

- 1. Device
- 2. Access Networks
- 3. Origination Networks
  - a. IMS Origination Networks
  - b. Non-IMS Origination Networks

- c. Third party Originating Service Providers (e.g., OnStar, Relay services)
- d. Legacy Origination Networks

### 4. ESInet

- a. IP network
- b. Core functions (DNS, DHCP, ...)
- c. Interconnect with other ESInet
- d. Interconnect with origination networks
- e. Interconnect with access networks
- f. ESInet to PSAP interface
- g. Interconnection with other emergency service entities
- h. Management

#### 5. Location

- a. PIDF-LO the location interchange format
- b. Functional definition of Location Information Server (and similar terms)
- c. Location Configuration Protocols
- d. Location Dereferencing Protocols
- e. Location Query Protocols (to the extent we decide they are different from LCPs)
- f. Location Validation
- g. Interwork to existing location sources, such as ALI

### 6. GIS

- a. Address, political boundary, and service boundary layer
- b. Service boundary polygons how we route
- c. Data management, quality assurance
- d. Distribution how does it get from GIS to everything else
- e. Adjustment of street/address layer to polygon layer

### 7. Call Signaling

- a. Basic SIP call signaling
- b. IMS SIP call signaling

### 8. Call Routing

- a. Routing database (ECRF)
- b. Routing proxies (ESRP)
- c. Policy based routing

- 9. Media
  - a. Voice
  - b. Video
  - c. Text
  - d. Data only "non-human initiated"
  - e. RTT, IMS MMES, "total conversation"
- 10. Accessibility
  - a. EAAC issues & gaps in i3
- 11. Callback
- 12. Additional Data about:
  - a. Call
  - b. Caller
  - c. Premise (e.g. floor plans, alarm data, etc.)
  - d. PSAP
- 13. Logging
  - a. Within the ESInet and related functions
  - b. Within the PSAP
- 14. Bridging / Conference Calls
- 15. Security
  - a. Credentials
  - b. Securing Protocol Interaction including authentication, integrity protection, privacy
  - c. Attack Mitigation
  - d. End User Location Integrity
- 16. Transition (including data)
  - a. Wireline
  - b. Wireless
  - c. VoIP
  - d. PSAP aspects
  - e. Relay services (e.g., IP relay, Video relay, etc.)
  - f. TTY
  - g. Legacy PSAP
- 17. Testing
  - a. Self-test

- 18. Discrepancy Reporting
- 19. Data Management & Maintenance
- 20. CPE/PSAP operations (NENA work in progress)

# 2.3.6 Standards by Category

The following table maps the standards to the NG9-1-1 transition category. The columns of the table are defined as follows:

Standard Number – Document number of the associated standard. The full document reference information is provided in

Appendix A: Referenced Documents

Standard Short Title – Short title of standard. The full document title is provided in

Appendix A: Referenced Documents

Critical for Deployment – Required standards for the transition to NG9-1-1

Critical for Competition – Required standards to allow for competition for NG9-1-1 access.

Desirable – Standard is not required for NG9-1-1 transition but provides additional capabilities that may be desired

Long Term (Post Transition) – Standards required for the long term NG9-1-1 end-to-end environment

Non-critical – Standard is not critical to the transition to NG9-1-1.

**Table 2-3: Standards by Category** 

Standard Number	Standard Short Title	Critical for Deployment	Critical for Competition	Desirable	Long Term (Post Transition)	Non-Critical
3GPP TS 23.167	IMS Emergency Sessions	X	X		X	
3GPP TS 23.228	IMS Stage 2	X	X		X	
3GPP TS 24.229	IP multimedia call control	X	X		X	
3GPP TS 29.010	Signaling & MAP Protocol	X	X			

Standard Number	Standard Short Title	Critical for Deployment	Critical for Competition	Desirable	Long Term (Post Transition)	Non-Critical
ATIS-0500002. 2008	Emergency Services Messaging Interface (ESMI)	Depending on implement- ation option	х			
ATIS-0500007. 2008	Emergency Information Services Interface (EISI)	Depending on implement- ation option	X			
ATIS-0500019. 2010	Request for Assistance Interface (RFAI)	Depending on implement- ation option	х			
ATIS-1000026. 2008	SBC Functions & Requirements	X	X		X	
ATIS IMS ESInet P0030 Project Spec	IMS Emergency Procedures for IMS Origination & ESInet/Legacy Selective Router Termination	x	x		x	
IETF RFC3261	SIP	X	х		X	
IETF RFC3856	Presence Event Package for SIP	X	х		х	
IETF RFC4079	Presence Arch for Distribution of GEOPRIV Location Objects	х	х		Х	
IETF RFC4119	Presence based GEOPRIV Location Object Format	X	х		х	
IETF RFC5139	Revised Civic Location Format for PIDF-LO	х	х		х	
IETF RFC5222	LoST	X	х		х	
IETF RFC5223	Discovering LoST Servers using DHCP	X	х		х	
IETF RFC5491	PIDF-LO Usage Clarification	х	х		х	
IETF RFC5985	HELD	X	Х		х	
IETF RFC6155	Use of Device Identity in HELD	X	х		х	
IETF deref-protocol	Location Deferencing using HELD	х	х		х	
IETF phonebcp	Best Practice of Comm Services supporting Emergency Calling	Critical due to accessibil- ity concerns	х		x	

Standard Number	Standard Short Title	Critical for Deployment	Critical for Competition	Desirable	Long Term (Post Transition)	Non-Critical
IETF sipcore- location-conveyance	Location Conveyance for SIP					
NENA 03-005	Requirements for E9-1-1 Selective Routing Switch	X	X			
NENA 03-509 v1	Femtocell Technical Info Doc			X		Х
NENA 05-001	Wireless Emergency Service E2 Interface	Х	Х			
NENA 07-504 v1	Collision & Telematics Info			X		X
NENA 08-003	i3 Functional & Interface Standards	X	X		х	
NENA 08-505	Location Determination for IP based Emergency Services				X	
NENA 08-752 v1	Location Info for IP based Emergency Services		Х		х	
NENA 71-001	NG9-1-1 Additional Data		Х		X	
NENA 71-501	Synching GIS with MSAG & ALI	х				
NENA 73-501 v1	Non-Voice Centric Emergency Services		х		X	
NENA 75-001	Security for NG9-1-1	Х	Х		X	
NENA 77-501 v1	NG9-1-1 Transition Plan	Х	Х			
Telcordia SR-4163	E91-1-1 Service Description	Х				

# 2.3.7 Standards Gaps

NG9-1-1 brings a wealth of new kinds of data into a PSAP. Most of the data is of interest to responders. NENA and APCO are working on standards that bring the data to the dispatcher. NPSTC has recently started to work on Multimedia Emergency Services use case and requirements that would to extend this data to responders but this may be the only effort to date. This is one of the largest gaps our work has identified.

**Table 2-4: Standards by Process** 

Process	Applicable Standards	Identified Gaps
UE (IMS)	IETF phonebcp 3GPP IMS Emergency Services ATIS focus group on over the top applications Cable Labs	Several are still in development There is no way to quantify all possible end user devices as related to standards.
Access Networks	3GPP wireless and broadband IMS networks Generic IP access networks – IETF phonebcp Cable networks Legacy selective router Legacy network gateway Telecommunications network providers connecting by SS7 or CAMA	IMS networks for OTT origination Cable networks for both cable specific VoIP and OTT origination, DSL networks for both DSL specific VoIP and OTT origination including possibly FTTC and FTTH.
Origination Networks		
IMS Origination Networks	3GPP TS 23.228, 23.167, 24.229 ATIS IMS ESInet project (P0030)	None
Non-IMS Origination Networks	IETF phonebcp	Possibly cable networks for both cable specific VoIP and OTT origination, DSL networks for both DSL specific VoIP and OTT origination including possibly FTTC and FTTH.
Third party Originating Service Providers (e.g., OnStar, Relay services)	NENA 08-003	Some are proprietary but they must comply with ESInet interfaces using a standard public interface
Legacy Origination Networks	Legacy selective router Legacy network gateway NENA 08-003 Telecommunications network providers connecting by SS7 or CAMA	
Femto Cell	NENA 03-509 v1	Specification needs to be updated for NG9-1-1

	Process	Applicable Standards	Identified Gaps
ESInet			
	IP network	NENA 08-003	Testing, Ops
	Core functions (DNS, DHCP,)	IETF	None
	Interconnect with other ESInet	NENA 08-003	Testing, Ops
	Interconnect with origination networks	NENA 08-003, IETF phonebcp	Testing, Ops
	Interconnect with access networks	NENA 08-003, IETF phonebcp	Testing, Ops
	ESInet to PSAP interface	NENA 08-003	Testing, Ops
	Interconnection with other emergency service entities	NENA 08-003, other NENA and APCO standards in development	Testing, Ops
	Management		NENA work in development
Location		3GPP ATIS IMS ESInet IETF NENA	
	PIDF-LO - the location interchange format	IETF 4119	IMS and IETF/NENA location format incompatibilities
	Functional definition of Location Information Server (and similar terms)		
	IP Based Emergency Services	NENA 08-505	Initial version is incomplete. Future revisions of document are required.
	Location Configuration Protocols		IMS OTT issues
	Location Dereferencing Protocols	IETF Deref	Depends on results of ATIS IMS ESInet work
	Location Query Protocols (to the extent we decide they are different from LCPs)		
	Location Validation	IETF 5222, IETF5223	
	Interwork to existing location sources, such as ALI	NENA LSRG	

Process	Applicable Standards	Identified Gaps
GIS & 9-1-1 Attribute Data		
Address, political boundary, and service boundary layer	NENA GIS V3	
Service boundary polygons – how we route	NENA GIS V3, NENA 08-003	
Data management, quality assurance	NENA	Further work needed
Distribution – how does it get from GIS to everything else	NENA 08-003, OGC	OGC work needs further standardization
Adjustment of street/address layer to polygon layer	NENA ECRF/LVF	Further work needed
Call Signaling		
Basic SIP call signaling	IETF 3261, IETF phonebcp	
IMS SIP call signaling	3GPP	IMS ESINET identified some gaps
Call Routing		
Routing database (ECRF)	IETF 5222, 5223 NENA 08-003	
Routing proxies (ESRP)	IETF 3261, phonebcp & NENA 08- 003	
Policy based routing	NENA 08-003	
Media		
Voice	3GPP, IETF, NENA	
Video	3GPP, IETF, NENA	
Text	3GPP, IETF, NENA	
Data only – "non- human initiated"	3GPP, IETF, NENA	
RTT, IMS MMES, "total conversation"	3GPP, IETF, NENA	
Accessibility		
EAAC issues & gaps in i3	FCC EAAC ATIS INES Incubator FCC NG9-1-1 NPRM	EAAC report and recommendations need to be reviewed once finalized and approved and then gaps can be identified.
		Output of FCC NG9-1-1 NPRM may identify additional gaps

	Process	Applicable Standards	Identified Gaps	
	Interface between IMS- originating networks and relay services	FCC EAAC ATIS	How do calls originating from IMS connect to the relay service. Also, given that 9-1-1 calls originating on IMS are direct to ESINet, how do the responders get notification that a relay service needs to be involved?  Need to have specification developed to define how IMS interfaces with Relay Service.	
Callback		3GPP, IETF, NENA		
Additional	Data about:		NENA 71-001: NENA Standard for NG9-1-1 Additional Data – There are significant gaps on how this data is obtained, stored, accessed, secured, and maintained.	
	Call	NENA 08-003, 70-001 IETF additional data, 3GPP ATIS IMS ESInet		
	Caller	NENA 08-003, 70-001 ATIS IMS ESInet	Emergency Medical Data	
	Premise (e.g. Floor plans, alarm data, etc.)	NENA 08-003, 71-001 NIST	Further work needed	
	PSAP	APCO, NENA, EIDD	Further NIEM work needed	
Logging				
	Within the ESInet and related functions	NENA 08-003	NENA and APCO have identified a number gaps such as Radio over IP	
	Within the PSAP	NENA NG PSAP		
	NENA, IETF	Could have IMS and other origination network impacts.		
Bridging/C	onference Calls	NENA, IETF	Could have IMS and other origination network impacts.	
Security				
	Credentials	3GPP, IETF, NENA ATIS IMS ESInet		
	Securing Protocol Interaction including authentication, integrity protection, privacy	IETF, NENA 08-003 ATIS IMS ESInet		
	Attack Mitigation	NENA 08-003		
	End User Location Integrity – What extent should this be discussed in this report?	IETF ATIS IMS ESInet	Standards in development	

	Process	Applicable Standards	Identified Gaps		
			NENA 77-501 v1 is the initial version of the transition plan to NG9-1-1 but there are still gaps remaining for some originating access network types.		
Transition (including data)	Wireline	NENA			
	Wireless	NENA			
	VoIP	NENA			
	PSAP aspects	NENA ATIS RFAI			
	Relay services (e.g., IP relay, Video relay, etc.)	NENA			
	TTY	NENA			
	Legacy PSAP	NENA			
			Several gaps associated with Testing		
Testing	Self-test	IETF, NENA			
		NENA			
Discrepanc	y Reporting	NENA			
Data Mana	gement & Maintenance	NENA	In development		

# 2.4 Objective of CSRIC Working Group 1 Subgroup 2

Shall identify criteria that signify the technical and/or operational readiness of a regional and/or Statewide 9-1-1 system, to accept NG9-1-1 calls and data.

# 2.5 Analysis, Findings, and Recommendations of CSRIC Working Group 1 Subgroup 2

### Methodology

Recognizing the interdependence of multiple organizations and agencies in achieving successful NG9-1-1 call processing, a list of contributing stakeholder entities was developed and organized into three major categories. A checklist was then developed for each stakeholder entity to be used as a guide in determining technical and operational readiness to accept and manage NG9-1-1 calls. Stakeholders could be represented in multiple categories, as they may provide a wide range of services.

#### 2.5.1 NG9-1-1 Stakeholders

### 9-1-1 Entity

- National 9-1-1 Entities
- State 9-1-1 Authority
- Regional 9-1-1 Authority
- Host 9-1-1 Entity (County or Municipality)
- PSAPs

# Access / Origination Networks\*

- Broadband Providers
- Telcos
- Cable Companies
- Satellite Providers
- WiFi Hotspots
- Enterprises
- Carrier Network Providers

# Originating Service Providers\*

- Internet Service Providers
- Wireless Service Providers
- Over-the-Top Application Providers
- VoIP Service Providers
- Relay Services
- Telmatics
- Texting Solutions

Figure 2-6: NG9-1-1 Stakeholders

\*Stakeholders may be represented in multiple categories as they may provide a wide range of services.

The list of stakeholders as represented in Figure 2-6 recognizes previous efforts to categorize NG9-1-1 stakeholders such as those contained the *NENA NG9-1-1 Transition Plan Considerations*, and further delineates the agencies and organizations included as 9-1-1 entities.

### 2.5.2 9-1-1 Entity Descriptions

There are multiple types and levels of governmental entities potentially involved with the change from E9-1-1 to NG9-1-1 systems in support of the 9-1-1 emergency communications service process. In each case, their involvement and responsibilities may vary based on governmental and public safety structure and the recognition of economic and operational effectiveness priorities.

### **2.5.2.1** National 9-1-1 Entities

National entities include those with nationwide activities. They may include interested, affecting, or affected national organizations, and may or may not be federal government agencies. Examples include: FCC, NHTSA. USDOT, DHS, FEMA, NENA, APCO, NASNA. Federal entities have national interests in terms of operations, interoperability, disaster recovery, with 9-1-1 calling as part of national security and emergency communications, etc.

### **2.5.2.2** State 9-1-1 Authority

The State 9-1-1 Authority is the governance group at state level concerned with planning and preparation for 9-1-1 service evolution, decision making (in conjunction with regional and local governance) on geographic level of ESInets and NG9-1-1 systems, related legislation, regulation, and funding methods, and coordination across the state for accomplishing NG9-1-1

in the state. A State 9-1-1 Authority may have operational and support responsibility for ESInet and NG9-1-1 (including data) functions if implemented at state level, directly or through vendors. While most often such an authority is a unit of state government, it does not have to be.

# **2.5.2.3** Regional 9-1-1 Authority

Where applicable, a Regional 9-1-1 Authority is a multi-county governance group (region within a state) responsible in conjunction with a state 9-1-1 Authority, sub-state and local governing bodies for planning and preparation for 9-1-1 service evolution in their region. Where present, a regional 9-1-1 Authority would typically have operational and support responsibility for NG9-1-1 (including data) functions if implemented at the regional system level, directly or through vendors, and for an ESInet implemented regionally. Typically established and managed through a consortium or other cooperative multi-governmental authority set.

### **2.5.2.4 Sub-State 9-1-1 Authority**

Where applicable, this governance group exists at the multi-county (region within a state), county, or municipal level responsible in conjunction with a state 9-1-1 Authority and local governing bodies for planning and preparation for 9-1-1 service evolution in their area. Generally such entities have 9-1-1 funding and support responsibilities (e.g., network infrastructure and connectivity, GIS and data functions, etc.) These entities may be part of a regional 9-1-1 authority operating a regional NG9-1-1 system.

### 2.5.2.5 PSAP Host Governmental Entity (usually County or Municipality)

The host entity has direct oversight and operational responsibility for one or more PSAPs. The host entity also funds those PSAP costs that are not funded through other mechanisms like 9-1-1 service fees (e.g., call taker personnel cost, etc.)

#### 2.5.2.6 PSAPs

The PSAP is physical facility which functions as the 9-1-1 call center operational environment, receiving and processing 9-1-1 calls. It operates under the authority of a host governmental entity. Note that various emergency entities other than traditional PSAPs are expected to part of the Next Generation 9-1-1 user set.

### 2.5.3 Access Networks Descriptions

Access Networks enable connectivity to telecommunication services.

#### 2.5.3.1 Broadband Providers

Broadband providers are network providers who provide the local loop facilities to end users, assumed to include both wireline and wireless access. Examples of providers include telephone companies, wireless companies, cable companies, satellite providers and, in some cases, power companies that deliver broadband connectivity to neighborhoods.

### 2.5.3.2 Telcos

The Local Exchange Carriers (LECs) are local telephone companies that own facilities and equipment to provide for transmission and routing of telephone exchange services and exchange access. LECs are usually divided into incumbent local exchange carriers (ILECs) and

competitive local exchange carriers (CLECs). Examples of telcos include Verizon, AT&T, CenturyLink, etc.

# 2.5.3.3 Cable Companies

Historically, a cable company or operator is a system provider of video programming using closed transmission paths. Many cable companies now additionally provide voice services and internet broadband access to a subscriber base. Examples include Comcast, Time Warner, Cablevision, etc.

#### 2.5.3.4 Satellite Providers

Satellite providers are companies that host transmission networks to provide telecommunications services via satellite communication links to subscriber antennas. The satellites used within the network are in geostationary or geosynchronous orbit for optimum transmission. DirecTV and Dish Network are prominent satellite providers.

# 2.5.3.5 WiFi Hotspots

WiFi "hotspots" or wireless access points use IEEE 802.11 standards to connect end users across limited-range wireless transmission to backbone networks. The "hotspots" are generally found in localities, such as airports, coffee shops, and campuses frequented by an influx of WiFi enabled mobile device users. These wireless access points can be delivered by wireless network providers (e.g. Verizon Wireless, T-Mobile,Sprint, etc.), although the majority of WiFi Hotspots are provided by private parties that utilize public internet backbone services from Broadband Providers (both wireline and wireless)."

### 2.5.3.6 Enterprises

These networks include local area network (LAN) implementations in campus-like environments, and Private Branch Exchanges (PBXs). An enterprise network is usually built by a company to support communications interconnections and to share resources. In the context of this document it is assumed the networks and/or PBXs are owned and operated by the entity that inhabits the campus. Examples include colleges, corporations, military bases, etc.

### 2.5.3.7 Carrier Network Providers

Generally refers to long-haul network providers that may not provide the local loop facilities to the end user. Examples include Level 3, AT&T, Verizon, etc.

### 2.5.4 Originating Service Provider Descriptions

Originating Service Providers are entities that provide telecommunications services to end users.

# 2.5.4.1 Internet Service Providers (ISPs)

ISPs are companies/vendors that provide internet access and offer a core group of internet utilities and services, such as e-mail, online news readers, and a wide range of media reviews. In the context of this document, the ISPs are classified as those that do not also provide the local loop facilities to the end user. One such example of this type of ISP is AOL.

#### 2.5.4.2 Wireless Service Providers

The WSPs are licensed owners who provide call and data communication services to consumers

over cellular radio networks, which interconnect with the public switched telephone and/or IP-based networks. The wireless services generally support mobility as the user moves between cells towers and other service providers. A few examples of WSPs include Verizon Wireless, T-Mobile, AT&T Mobility, and US Cellular.

# 2.5.4.3 Over-the-top (OTT) Applications Providers

Over-the-top Applications Providers provide services that ride on top of existing broadband infrastructure and are not integrated with the access service provider. It may be implemented as a software application on a personal computer, tablet, smart-phone, or other device. The majority of these OTT applications make use of the underlying bandwidth provided by access networks. Some of the OTT services from which data is expected to emanate in Next Generation-911 (NG9-1-1) include soft-phone clients, sensors and alarms. Prominent OTT application providers include Skype, Google and Microsoft.

### 2.5.4.4 VoIP Providers

VoIP Service Providers (VSPs) offer voice communications services that originate or terminate via IP networks rather than the circuit switched PSTN. They are characterized by their service, which enables real-time, two-way voice communications, requires a broadband connection from the user's location, requires IP-compatible CPE, and generally permits users to receive calls that originate on the PSTN and terminate calls to the PSTN. In the context of this document, the VSPs are classified as those that do not also provide the local loop facilities to the end user. Examples of this type of VSP include Vonage and Phone.com.

# 2.5.4.5 Relay Services

Telecommunication relay service and private call centers are physical places with personnel who provide interaction between a caller and a PSAP. They do not provide direct access to 9-1-1 and, hence, they need to verbally pass the caller's location information to the PSAP. These relay services specialize in communications translation services for the deaf, hard of hearing and speech-impaired community. Service providers include AT&T Relay Services, Hamilton Relay, etc.

### 2.5.4.6 Telematics Providers

The term Telematics Providers ypically refers to the integrated use of information technology and telecommunications. The most commonly acknowledged form of telematics is vehicle telematics. Vehicle telematics is a technology that uses the vehicle's electronics to establish two-way wireless communication between a device and a call processing center or a PSAP, to transmit voice and data information. Telematics devices are commonly installed in newer motor vehicles and can be activated manually by the vehicle owner or automatically upon a predefined trigger. OnStar provides vehicle telematic services.

### 2.5.4.7 Texting solutions

Texting Solutions are services utilizing plain text, such as short message service (SMS), IP-based messaging, and real-time-text (RTT), which will allow for non-vocal communications with emergency services personnel in the NG9-1-1 environment. Purveyors of text messaging services generally include WSPs such as Sprint, AT&T Mobility, Verizon Wireless, and a variety of regional providers

### 2.5.5 NG9-1-1 Readiness Guidelines by Stakeholder Group

### 2.5.5.1 9-1-1 Entity

### **PSAP/9-1-1 Authority Readiness**

The following checklist includes suggested procedural steps (in no particular order) that a PSAP or 9-1-1 Authority may use as a guide to assist in determining its technical and operational readiness to accept and manage NG9-1-1 calls. Technical readiness is contingent upon the readiness state of individual Functional Elements (FEs) used by PSAPs. A Functional Element does not correspond to a specific product or system and may be hosted at a different physical location than the PSAP. (For instance, the set of FEs that make up a central NG9-1-1 system will not be specific to, or at any individual PSAP.) A FE may be available within multiple products and is not limited to any specific working position at the PSAP (e.g. Call-taker, Dispatcher or Supervisor).

# **Technical Readiness Guidelines** Assess preparatory education requirements for 9-1-1 Authority groups These groups must have a basic understanding of what is involved in preparing for, converting to, and operating NG9-1-1, whether managed by vendors with 9-1-1 Authority oversight and management, or in some cases directly managed by 9-1-1 Authorities. Assess impact to and identify upgrade and/or interface requirements for internal PSAP networks and/or other Local Area Networks owned or managed by the PSAP or 9-1-1 **Authority** Assess impact and identify upgrade and/or interface requirements for the planning, implementation and operation of IP-based NG9-1-1 as a transition from E9-1-1 See details in the System Management section below. Assess impacts and identify upgrade and/or interface requirements for PSAP administrative PBX or internal telephone system The PSAP Administrative PBX includes telecommunication equipment that handles processing of administrative, non-emergency telephone communications. This PBX can also be integrated with other systems within the organization in order to provide additional administrative services such as email, instant messaging, voicemail and other non-emergency business related functions. Assess impact to and identify upgrade and/or interface requirements for Radio systems owned or operated by the PSAP or 9-1-1 Authority The primary method for many PSAPs to disseminate information to responding emergency service units is via dispatch over radio frequencies (RF). Radio over IP systems (ROIP) have also been implemented in some areas. Assess impact to and identify upgrade and/or interface requirements for emergency call handling software and hardware

The Emergency Call Handling FE manages incoming emergency calls regardless of media type

and handles all communication from the caller. It is commonly referred to as Customer Premise Equipment (CPE) or Computer Telephony Integration (CTI) in a legacy PSAP environment.
Assess impacts and identify upgrade and/or interface requirements for TTY capability Legacy telecommunications capability for the deaf and hard of hearing (TTY) must be maintained as part of the PSAP call handling FE.
Assess impacts and identify upgrade and/or interface requirements for emergency notification systems that are owned or maintained by the PSAP or 9-1-1 Authority. Emergency notification systems (ENS) are used by PSAPs or municipalities to notify the public of significant events that could impact the integrity of life or property. ENS may be integrated into or interfaced with other FEs such as call handling or dispatch.
Assess impacts and identify upgrade and/or interface requirements for Incident Creation hardware and software.
The Incident Creation FE is used to declare an incident (event) upon which further action or dissemination may be necessary. Incident creation functions are commonly included in CPE software or Computer Aided Dispatch (CAD) systems in the legacy PSAP environment.
Assess impacts and identify upgrade and/or interface requirements for Dispatch hardware and software.
The dispatch FE is used to electronically disseminate an incident to first responders in the field. It is most commonly included as a module within a CAD system.
☐ Assess impacts and identify upgrade and/or interface requirements for Mobile Data systems.
Mobile data systems can be integrated as modules within other FEs such as CAD or can be stand alone systems that utilize interfaces. NG9-1-1 impact should be assessed from both PSAP perspective as well as the users in the field.
☐ Assess impacts and identify upgrade and/or interface requirements for Management Information Systems (MIS)
MIS can be associated with multiple functional elements within a PSAP. It is commonly used to produce statistical data on call handling, dispatch and personnel performance.
☐ Assess impacts and identify upgrade and/or interface requirements for Records Management Systems (RMS)
RMS can be associated with multiple functional elements within a PSAP and is the data repository for incident related information. Access to RMS data is often controlled by the agency having primary jurisdiction for an incident. Multiple agencies may store data in a single RMS. NG9-1-1 impact should be assessed from both PSAP perspective as well as the users in the field.
☐ Assess impacts and identify upgrade and/or interface requirements for Jail Management Systems (JMS)
JMS are often managed by the agency having jurisdiction over the incarceration facility. These systems may interface to multiple FEs in a PSAP.

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been accounted for in legacy E9-1-1 systems

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# Assess existing PSAP and first responder mutual aid agreements for compatibility with expectations of NG9-1-1 related interoperability.

NG9-1-1 will provide increased opportunity for interoperability between disparate agencies that may require new or modified working agreements.

# 2.5.5.2 System Management

NG9-1-1 system management involves considering, preparing, and confirming all matters associated with the management of the entire impacted NG9-1-1 system enterprise from the initiation of the emergency communications request to the final delivery and documenting of the incident response. NG9-1-1 system management necessarily follows from the established governance and system functional technical requirements, but focuses more on identifying specific matters, functions, coordination, responsibilities, accountabilities, and demarcations that may change and how they will be addressed in the transition from E9-1-1 to NG9-1-1.

Even at strictly the system and PSAP operations level, these issues can be challenging because they may necessitate recognizing and understanding matters that were previously outside the scope of coordination, responsibilities, accountabilities, and demarcations, and may have aspects that can be materially different from existing operational, technical, regulatory, or contractual perspectives.<sup>2</sup> In addition, when in some cases prior foundations of a State's Public Utility Commission and/or Federal Communications Commission regulations do not exist, are reduced, or are different or uncertain, there may be additional matters to be addressed.

Following is a brief list of major NG9-1-1 system management matters for which the transition from E9-1-1 to NG9-1-1 may change as far as the scope of coordination, responsibilities, accountabilities, and demarcations, and may have aspects that can be materially different from existing operational, technical, regulatory, or contractual perspectives. A more detailed checklist type list is presented as Appendix D with suggestions on the potential type of impacts, changes and interdependencies.

System and PSAP operations will generally require preparation, training, and understanding regarding the NG9-1-1 system and the operational differences between today's 9-1-1 and NG9-1-1, and development of policies and procedures to support NG9-1-1 operations. Although many organizations have developed their own policies over the course of many years, the introduction of NG9-1-1 will change some of the basic tenets of call processing and handling. A solid understanding of these changes will help to reduce risk in transition to NG9-1-1 as well as better prepare the end users for the change.

Planning for system and PSAP operational changes include two general steps  $\ldots$ 

Step 1—Identify Operational Differences Between E9-1-1 and NG9-1-1. Knowledge of the differences between today's 9-1-1 systems and NG9-1-1 is crucial to prepare for the transition. Once the gaps are identified, the missing policies and training plans can be developed.

Step 2—Establish Processes and Procedures for NG9-1-1 Operations. For most organizations, the policies and procedures for handing 9-1-1 operations are intact and effective. The project team should develop and tailor specific guidance for NG9-1-1 operations needs to the individual organization's needs. The guidance should adhere to emerging standards for governance, training, and operation in order to improve interoperability with neighboring or backup resources.

<sup>&</sup>lt;sup>1</sup> In some cases, NG9-1-1 system management coordination, responsibilities, accountabilities, and demarcations may logically follow from funding sources or the preferences of the entity performing the specific operational matter or technical function. But in other cases NG9-1-1 system management coordination, responsibilities, accountabilities, and demarcations may follow from agreement of involved parties, standards, best practices, operating procedures, regulations, service level agreements, and or intergovernmental or vendor contractual documents.

<sup>&</sup>lt;sup>2</sup> The USDOT NG9-1-1 Procurement Tool Kit (available at www.its.dot.gov/ng911) presents the issue as far as System and PSAP operations as follows in 2.7.1 Overview:

Identify and document initial and immediate path changes on coordination, responsibilities, accountabilities, demarcations, regulations, and contractual matters for major existing and new <u>network and data systems</u> in transition from E9-1-1 to NG9-1-1 ESInets:

	Mapping/GIS Authorization, Authentication, and Credentialing Points of Interconnection Intra-and inter-ESInet Issues
Identi respoi	Confidentiality and Security System(s)  fy and document initial and immediate path changes on coordination, nsibilities, accountabilities, demarcations, regulations, and contractual matters for existing and new <u>intergovernmental interrelationships</u> in transition from E9-1-1 to 1-1:
	Confidentiality and Security System(s) Recording System(s) Records Retention System(s) Sources of policies and practices for Voice, Text, Images, Video, etc.
	fy and establish initial and immediate process changes for major <u>network and data</u> see from E9-1-1 to NG9-1-1:
	Policy Statements Contractual review, approval, and process Regulation review, approval, and process Payment review, approval, and process
	fy and establish initial and immediate process changes for major existing and new overnmental interrelationships from E9-1-1 to NG9-1-1:
	Policy Statements Contractual review, approval, and process Regulation review, approval, and process Payment review, approval, and process

#### 2.5.5.3 GIS Data

The Location Validation Function (LVF) is the manner in which the location of a caller's device (e.g. phone) is validated or checked before a call is ever routed over the network. In this sense, the LVF validates the caller's location. The validation process uses the 9-1-1 Authority's locally derived GIS data to check and verify the location information. The location is usually stored in a Location Information Server (a LIS), or a Legacy Gateway device that transforms the location

information, such as the ALI, into the location format used in NG9-1-1. This location information is sent to the LVF. Once the location is validated, it will be part of the incoming 9-1-1 call received at the PSAP. While the Master Street Address Guide (MSAG) also uses the 9-1-1 Authority's GIS data, the LVF may serve as a replacement to the MSAG in the NG9-1-1 environment as the LVF can validate location information to a level of detail that is not possible with the MSAG.

This high-level checklist is intended to ensure your GIS data meets the minimum required standards needed to function properly within the NG9-1-1 environment:

The GIS data has been collected to meet the standards set forth in NENA 02-014 - GIS Data Collection and Maintenance.
The ALI, GIS, and MSAG data has been standardized according to NENA 02-010 - Standard Data Formats for 9-1-1 Data Exchange & GIS Mapping.
The GIS data has been validated and synchronized (including database cleanup) as outlined NENA 71-501 - Synchronizing GIS databases with MSAG and ALI.

In the NG9-1-1 environment, the GIS database serves several critical functions:

- ➤ Location Validation Function (LVF) for the validation of the location of devices capable of calling 9-1-1 prior to a 9-1-1 call being routed on the network
- ➤ GIS data is used to determine call-routing to the appropriate PSAP
- ➤ GIS data is used to determine call-routing to the appropriate responding agency within the Emergency Call Routing Function (ECRF).

GIS data used in the NG9-1-1 environment must meet NENA Standards (e.g. NENA 02-014 - GIS Data Collection and Maintenance, NENA 71-501 Synchronizing GIS databases with MSAG & ALI, NENA, NENA 71-003 NG9-1-1 GIS Data Model (Draft). This will ensure interoperability, capability, and adherence to NG9-1-1 processes.

#### 2.5.5.4 Governance

#### Introduction

USDOT's NG9-1-1 Initiative notes that the ". . . deployment of NG9-1-1 will require increased coordination and partnerships among government and public safety stakeholders, 9-1-1 Authorities, service and equipment providers, and PSAP Administrators in planning and implementing NG9-1-1." Acknowledging the challenge, NENA observed that "[t]ransitioning our nation's legacy 9-1-1 system to a modern IP-based Next Generation 9-1-1 (NG9-1-1) system must be a major policy objective at all levels of government." Without effectively addressing issues of policy, governance and regulation, NG9-1-1 will never realize its full vision of a ". . . new internetwork [that] will provide the foundation for public emergency services in an increasingly mobile and technologically diverse society and ultimately enable E9-1-1 calls from

<sup>&</sup>lt;sup>3</sup> U.S. Department of Transportation, Intelligent Transportation systems, "Transition Plan," February 2, 2009, p43. https://www.911resourcecenter.org/code/ContentDetail.aspx?ContentID=275.

<sup>&</sup>lt;sup>4</sup> National Emergency Number Association (NENA), "Next Generation 9-1-1 Transition Policy Implementation Handbook," March 2010, p1. http://www.nena.org/?NGPPPolicyTransHndbk.

most types of communication devices."5

State 9-1-1 legislation varies significantly across the country. Specific 9-1-1 legislation at any level does not exist in every state, and, in a number of states, the state-level function is limited to a specific type of 9-1-1 (e.g., wireless). Funding models vary, and, where they do exist, they may not be adequate to support migration to NG9-1-1, or they may not be consistent with emerging technologies. In light of the above, the National 9-1-1 Program currently has a project underway to develop "9-1-1 Model Legislation." As part of that project, the Program has completed an "assessment" of existing state 9-1-1 legislation, and examined appropriate provisions to include in the model. Attached as an appendix is a "DRAFT Summary Chart of Key Provisions of [existing] State Legislation and Regulation Related to 9-1-1 (as of January 1, 2011)."

The National 9-1-1 Program through the National 9-1-1 Resource Center is also supporting a project to develop consensus-based universal guidelines to serve as the basis for a 9-1-1 statewide program assessment process. While the assessment guidelines developed under that project are not necessarily limited to the "next generation" of 9-1-1, they do serve as an excellent resource for helping judge readiness for that migration.<sup>9</sup>

Following is a brief list of governance related responsibilities, activities and authorities essential to the full implementation of NG9-1-1. <sup>10</sup>

### State-Level 9-1-1 Leadership, Coordination and Planning

Ensure that an organization (or organizations) exists, with appropriate authority and/or
capability for statewide planning, coordinating and implementing NG9-1-1 systems
Confirm that such planning and coordination reflects effective coordination with relevant
stakeholders within and beyond the state
Ensure that appropriate state-level authority exists to adopt and enforce appropriate
industry-based standards, rules, policies and procedures

Interoperability Council (CSRIC), Final Reports, WG4B, "Transition to Next Generation 9-1-1," March 2011. http://transition.fcc.gov/pshs/docs/csric/CSRIC-WG4B-Final-Report.pdf.

<sup>&</sup>lt;sup>5</sup> U.S. Department of Transportation, Intelligent Transportation systems, "Next Generation 9-1-1 (NG9-1-1) System Initiative, Concept of Operations," April 6, 2007, p4. https://www.911resourcecenter.org/code/ContentDetail.aspx?ContentID=254.

 <sup>&</sup>lt;sup>7</sup> See: National Highway Traffic Safety Administration (NHTSA), "DRAFT 9-1-1 Model Legislation Project Assessment Document," November 2011.
 <sup>8</sup> Ibid.

<sup>&</sup>lt;sup>9</sup> For a copy of the draft guidelines, see: https://www.911resourcecenter.org/911Guidelines/. For more information about the National 9-1-1 Program and the National 9-1-1 Resource Center, see: http://www.911.gov/.

<sup>&</sup>lt;sup>10</sup> In the context of this document, 'full implementation of NG9-1-1' implies that an end state has been reached after a migration from legacy TDM circuit-switched telephony, and the legacy E9-1-1 system built to support it, to an all IP-based telephony system with a corresponding Emergency Services IP network. For the sake of this report, "governance" means the management of NG9-1-1 systems and the entire public safety emergency communications enterprise. The goal of any "governance" structure should be to determine the most inclusive, efficient and cost effective way to manage the systems from a technical and systems operation perspective. For a more detailed discussion of this issue, see: NENA, "Next Generation 9-1-1 Transition Policy Implementation Handbook," ibid, along with the other sources referenced herein.

## Funding the NG9-1-1 System

□ Ensure that a funding mechanism(s) is in place to ensure sustainable support for current E9-1-1 operations, transition to and ongoing operation of NG9-1-1 systems.

# <u>Transitional Regulation/Legislation/Tariff Modifications to Enable Next Generation 9-1-1</u> <u>Deployment</u>

- Recognizing the intergovernmental, public/private IP-based, software and database controlled structure of NG9-1-1, evaluate and implement regulations and laws that facilitate (or do not inhibit) the local, regional and state interoperable environment of NG9-1-1
- ☐ Ensure statutory support for intergovernmental cooperation and arrangements essential to an efficient statewide system environment

# Establishing State-Wide Emergency Services IP Networks (ESInets)

- ☐ Ensure that policymakers at all levels are formally committed to the development and deployment of interoperable state-wide ESInets as a fundamental 9-1-1 and emergency communications policy objective
- □ Ensure that policymakers are committed to providing authority for 9-1-1 entities to work interactively through cooperative governmental arrangements to support regional and state-level NG9-1-1 systems that maximizes interoperability and functional sharing of resources and costs

# Confidentiality, Disclosure and Retention of 9-1-1 Call and Other Emergency Information

- □ Evaluate the applicability of current state confidentiality, disclosure and retention laws/rules to all types of 9-1-1 calls and call content
- □ As necessary, modify such laws/rules to treat all types of 9-1-1 calls and call content in a consistent manner, recognizing the potential data rich environment inherent in NG9-1-1, and the need for functional access to that data

#### Next Generation 9-1-1 Liability Issue

Review (and made appropriate changes as necessary to) liability protection statutes to ensure
that existing liability protection for PSAPs, users of technology, communications service
providers and third party vendors will continue to effectively apply as new services and
technologies are enabled by NG9-1-1

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of

# NG9-1-1 Awareness and Accessibility

☐ Examined and address the impact NG9-1-1 deployment has on the elderly, deaf and hard of hearing, disabled, and non-English speaking populations, as well as the appropriate public use of NG9-1-1 services and capabilities

### 2.5.5.5 Access and Originating Networks and Originating Service Providers

The following checklist includes suggested procedural steps (in no particular order) that an access or origination network provider can use as a guide to assist in determining its technical and operational readiness to accept and deliver NG9-1-1 calls. It is important to note that overall readiness is contingent upon the readiness of the set of 9-1-1 entities to which the calls are delivered.

### **Planning Considerations:**

Assess project plans, business cases, architecture design, and migration schedules to
support NG9-1-1 call and data transmission
Quantify level of familiarity with available industry standards
Assess the readiness of the 9-1-1 entities expected to receive NG9-1-1 calls and data
generated by end users connected to the access network
Identify possible architecture alternatives
Develop RFI/RFP
Determine capital and expense funding requirements and availability
Clarify any unique regulatory requirements for all applicable state/local jurisdictions
Review existing reseller agreements and quantify NG9-1-1 responsibilities inherent from reseller partnerships
Review internal timeline of applicable transitional steps to gage current status
Confirm the receipt of Letters of Authorization from Public Safety entities, in support of delivering NG9-1-1 services, as applicable

### **Infrastructure Considerations:**

Authorization or equivalent
Confirm the receipt of Letters of Authorization from Public Safety entities, in support o
delivering NG9-1-1 services, as applicable
Assess the availability of broadband connectivity in target service area
Assess the tiers of broadband bandwidth offerings in target service area, for sufficiency
in supporting high-data multimedia
Assess network route diversity
Verify the redundancy and geographic diversity of critical voice and data network
elements (e.g. LIS) and core IMS network components (e.g. E-CSCF)
Assess the capability to receive and transmit calls using SIP protocol
Confirm the availability of protocol conversion gateways (or media gateways) where
applicable
Review implemented network security practices for compliance

# **Location Determination:**

	Determine the existence of an available and applicable automatic location determination mechanism for providing end user location
	Determine capability and feasibility for implementing industry standard location determination techniques
	Assess capability for location determination for fixed, nomadic, and mobile users, in support of identified use cases
	Assess capability for location determination for multimedia (i.e. video, voice, text, etc.) data transmission, in support of identified use cases.
	Verify location database provisioning support processes are in place to support location determination for NG9-1-1 call routing
	Verify location data validation processes are in place
	Assess the capability to make available upon query the location information of a connected end point, using industry standard mechanisms and protocols
2.5.6	Originating Service Providers
Plann	ing Considerations:
	Quantify level of familiarity with available and applicable industry standards
	Develop RFI/RFP as applicable
	Determine capital and expense funding requirements and availability
	Clarify any unique regulatory requirements for all applicable state/local jurisdictions Review internal timeline of applicable transitional steps to gage current status
Infras	tructure Considerations:
	8 - F
	Review security practices for compliance
Locati	ion Acquisition:
	Assess capability to interact with end user devices and associated Location Retrieval Function (LRF) and/or LIS platforms for the purpose of automatically obtaining the device location data.
	Assess capability to convey end user location data in industry standard syntax and
	formatting
	Determine the existence of an available and applicable automatic location retrieval mechanism for obtaining end user location
	Determine capability and feasibility for implementing industry standard location retrieval and conveyance techniques
	Assess capability for location retrieval and conveyance for fixed, nomadic, and mobile users, as applicable, in support of identified use cases
	Assess capability for location retrieval and conveyance for multimedia (i.e. video, voice,

text, etc.) data transmission, as applicable, in support of identified use cases

# 2.5.6.1 System Functional Requirements

NG9-1-1 is a large and complex undertaking with many functional elements compared to traditional 9-1-1 call processing. There are several distinct approaches to establishing an NG9-1-1 network and it is expected that each agency will take a path based on their readiness, needs, available solutions, budget, perceived value and business environment. Various functions are required to implement an NG9-1-1 system as currently envisioned. The Functional elements are primarily linked together by an IP network transport foundation that stretches between Ingress traffic points, egress traffic points and application processing elements. Ingress and egress traffic points exist for call traffic but can also be established for supporting data and enhanced services.

Functional capabilities are realized through one or more functional processing elements that are provided in Appendix E.

# **Appendix A: Referenced Documents**

#### 3GPP

3GPP TS 23.167, 3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; IP Multimedia Subsystem (IMS) emergency sessions http://www.3gpp.org/ftp/Specs/html-info/23167.htm

3GPP TS 23.228, 3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; IP Multimedia Subsystem (IMS); Stage 2 http://www.3gpp.org/ftp/Specs/html-info/23228.htm

3GPP TS.24.229, 3rd Generation Partnership Project; Technical Specification Group Core Network and Terminals; IP multimedia call control protocol based on Session Initiation Protocol (SIP) and Session Description Protocol (SDP); Stage 3 http://www.3gpp.org/ftp/Specs/html-info/24229.htm

3GPP TS 29.010, 3rd Generation Partnership Project; Technical Specification Group Core Network and Terminals; Information element mapping between Mobile Station - Base Station System (MS - BSS) and Base Station System - Mobile-services Switching Centre (BSS - MSC); Signaling procedures and the Mobile Application Part (MAP) http://www.3gpp.org/ftp/Specs/html-info/29010.htm

#### **3GPP2**

3GPP2 S.R0006-529-A: *Wireless Features Description: Emergency Services, July* 2007 http://www.3gpp2.org/public\_html/specs/tsgs.cfm

3GPP2 X.S0049-0: *All-IP Network Emergency Call Support* http://www.3gpp2.org/public\_html/specs/tsgs.cfm

3GPP2 X.S0057-A: *E-UTRAN - eHRPD Connectivity and Interworking: Core Network Aspects*, July 2002, http://www.3gpp2.org/public\_html/specs/tsgs.cfm

3GPP2 X.S0060-0: *HRPD Support for Emergency Services* http://www.3gpp2.org/public\_html/specs/tsgs.cfm

#### 9-1-1

*9-1-1 Statewide Program Assessment Guidelines* https://www.911resourcecenter.org/911Guidelines/.

National 9-1-1 Program and the National 9-1-1 Resource Center: http://www.911.gov/.

#### **ATIS**

ATIS-0500002.2008, *Emergency Services Messaging Interface (ESMI)*, July 2008 http://www.atis.org/docstore/default.aspx

ATIS-0500007.2008, Emergency Information Services Interface (EISI) Implemented with Web Services, January 2008 http://www.atis.org/docstore/default.aspx

ATIS-0500019.2010, Request for Assistance Interface (RFAI) Specification, September 2010 http://www.atis.org/docstore/default.aspx

ATIS-1000026.2008, Session/Border Control Functions and Requirements, April 2008 http://www.atis.org/docstore/default.aspx

ATIS IMS ESInet P0030 Project Specification, ATIS Standard for Implementation of 3GPP Common IMS Emergency Procedures for IMS Origination and ESInet/Legacy Selective Router Termination, Date: TBD

#### **FCC**

FCC, Communications Security, Reliability and Interoperability Council (CSRIC), Final Reports, WG4B, *Transition to Next Generation 9-1-1*, March 2011. http://transition.fcc.gov/pshs/docs/csric/CSRIC-WG4B-Final-Report.pdf.

#### **IETF**

IETF RFC3261, SIP: Session Initiation Protocol, June 2002 http://www.ietf.org/rfc/rfc3261.txt

IETF RFC3856, A Presence Event Package for the Session Initiation Protocol (SIP), August 2004 http://www.ietf.org/rfc/rfc3856.txt

IETF RFC4079, A Presence Architecture for the Distribution of GEOPRIV Location Objects, July 2005 http://tools.ietf.org/html/rfc4079

IETF RFC4119, A Presence-based GEOPRIV Location Object Format, December 2005 http://www.rfc-editor.org/rfc/rfc4119.txt 3

IETF RFC5139, Revised Civic Location Format for Presence Information Data Format Location Object (PIDF-LO), February 2008 http://tools.ietf.org/html/rfc5139

IETF RFC5222, *LoST: A Location-to-Service Translation Protocol*, August 2008 http://tools.ietf.org/html/rfc5222

IETF RFC5223, Discovering Location-to-Service Translation (LoST) Servers Using the Dynamic Host Configuration Protocol (DHCP), August 2008 http://tools.ietf.org/html/rfc5223

IETF RFC5491, GEOPRIV Presence Information Data Format Location Object (PIDF-LO) Usage Clarification, Considerations, and Recommendations, March 2009 http://tools.ietf.org/html/rfc5491

IETF RFC5985, *HTTP-Enabled Location Delivery (HELD)*, September 2010 http://tools.ietf.org/html/rfc5985

IETF RFC6155, *Use of Device Identity in HTTP-Enabled Location Delivery (HELD)*, March 2011 http://tools.ietf.org/html/rfc6155

IETF deref-protocol, *A Location Dereferencing Protocol Using HELD*, draft-ietf-geopriv-deref-protocol-04, October 31, 2011 http://tools.ietf.org/html/draft-ietf-geopriv-deref-protocol-04

IETF phonebcp, *Best Current Practice for Communications Services in support of Emergency Calling*, draft-ietf-ecrit-phonebcp-20.txt, September 7, 2011 http://tools.ietf.org/html/draft-ietf-ecrit-phonebcp-20

IETF sipcore-location-conveyance, Location Conveyance for the Session Initiation Protocol draft-ietf-sipcore-location-conveyance-09, September 4, 2011 http://tools.ietf.org/html/draft-ietf-sipcore-location-conveyance-09

# **National Highway Traffic Safety Administration (NHTSA)**

National Highway Traffic Safety Administration (NHTSA), *DRAFT 9-1-1 Model Legislation Project Assessment Document*, November 2011.

#### **NENA**

National Emergency Number Association (NENA), *Next Generation 9-1-1 Transition Policy Implementation Handbook*, March 2010, p1. http://www.nena.org/?NGPPPolicyTransHndbk.

NENA 03-005, NENA Standard Generic Requirements for an Enhanced 9-1-1 Selective Routing Switch, January 2004 http://www.nena.org/?page=Standards

NENA 03-509, *NENA Femtocell and UMA Technical Information Document*, January 27, 2011 http://www.nena.org/?page=Standards

NENA 05-001, NENA Standard for the Implementation of the Wireless Emergency Service Protocol E2 Interface, December 2003 http://www.nena.org/?page=Standards

NENA 07-504 v1: *Collision Notification & Telematics Information*, May 7, 2011 http://www.nena.org/?page=Standards

NENA 08-003, *Detailed Functional and Interface Standards for the NENA i3 Solution*, June 2011 http://www.nena.org/?page=Standards

NENA 08-505: Recommended Method(s) for Location Determination to Support IP-Based Emergency Services, November 16, 2011 http://www.nena.org/?page=Standards

NENA 08-752 v1, Location Information to Support IP-Based Emergency Services,

December 21, 2006 http://www.nena.org/?page=Standards

NENA 08-XXX, NENA Emergency Services IP Network Design for NG9-1-1, Date TBD

NENA 71-001: *NENA Standard for NG9-1-1 Additional Data*, May 7. 2011 http://www.nena.org/?page=Standards

NENA 71-501: Information Document for Synchronizing Geographic Information System databases with MSAG & ALI, May 7. 2011 http://www.nena.org/?page=Standards

NENA 73-501 v1, *Non-voice Centric Emergency Services*, August 3, 2011 http://www.nena.org/?page=Standards

NENA 75-001: NENA Security for Next-Generation 911 Standard (NG-SEC), May 8, 2011 http://www.nena.org/?page=Standards

NENA 77-501, NG9-1-1 Transition Plan, May 11, 2011 http://www.nena.org/?page=Standards

NENA Master *Glossary of 9-1-1 Terminology*, Revised August 22, 2011 http://www.nena.org/default.asp?page=Glossary

#### Telcordia

Telcordia SR-4163, *E9-1-1 Service Description*, May 1997 http://telecom-info.telcordia.com/site-cgi/ido/docs.cgi?ID=SEARCH&DOCUMENT=SR-4163&

#### **U.S.** Department of Transportation

U.S. Department of Transportation, Intelligent Transportation systems, *Transition Plan*, February 2, 2009, p43.

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# **Appendix B: Acronyms**

This section contains the acronyms that are referenced within this document.

(Source: NENA Master Glossary of 9-1-1 Terminology, http://www.nena.org/default.asp?page=Glossary)

Acronym	Definition
3GPP	3 <sup>rd</sup> Generation Partner Project
3GPP2	3 <sup>rd</sup> Generation Partnership Project 2
A&E	Architectural and Engineering
AAA	Authorization, Admission and Accounting
AAR	Association of American Railroads
ABNF	Augmented Backus-Naur Form
ACB	All Circuits Busy
ACCDEN	Access Denied
ACD	Automatic Call Distribution, Automatic Call Distributor
ACK	Acknowledgement
ACM	Address Complete Message
ACN	Automatic Collision Notification
ADA	Americans with Disabilities Act
ADEA	Age Discrimination in Employment Act
ADSL	Asymmetrical Digital Subscriber Line
AEAN	Alternate Emergency Access Number
AES	Advanced Encryption Standard
AHJ	Authority Having Jurisdiction
AIP	Access Infrastructure Provider
ALE	Access Location Entity
ALEC	Alternate Local Exchange Carrier
ALI	Automatic Location Identification
ALI DB	Automatic Location Identification Database
AMPS	Advanced Mobile Phone Service
AMR	Adaptive Multi Rate (codec)
AMR-WB	Adaptive Multi Rate (codec) – Wide Band
ANI	Automatic Number Identification
ANI/ALI	Automatic Number Identification/Automatic Location Identification
ANS	American National Standard
ANSI	American National Standards Institute
AOA	Angle of Arrival
AoR	Address of Record
APCO	Association of Public Safety Communications Officials
API	Application Programming Interface
APU	Answering Position Unit
AQS	NENA ALI Query Service
AQSI	ALI Query Services Interface
ARES	Amateur Radio Emergency Service
ARIB	Association of Radio Industries and Businesses
ARP	Address resolution Protocol
ASCII	American Standard Code for Information Exchange
ASL	American Sign Language
ASLARRA	American Short Line and Regional Railroad Association
ASP	Application Service Provider
ASRR	Average Sector Radius Range
ATA	Analog Terminal Adapter

Acronym	Definition
ATIS	Alliance for Telecommunications Industry Solutions
ATIS-ESIF	Alliance for Telecommunications Industry Solutions – Emergency Services
	Interconnection Forum
ATM	Asynchronous Transfer Mode
AVL	Automatic Vehicle Location
B2BUA	Back to Back User Agent
BASK	Binary Amplitude Shift Key
BBF	BroadBand Forum
BCD	Binary Coded Decimal
BCF	Border Control Function
BellCore	Bell Communications Research
BISACS	Building Information Services and Control System
BLI	Busy Line Interrupt
BLV	Busy Line Verification
ВОС	Bell Operating Company
BOOTP	Bootstrap Protocol
BP	Best Practice
BPL	Broadband Over Power Lines
BRAS	Broadband Remote Access Server
BRI	Basic Rate Interface
BTS	Bureau of Transportation Statistics
BUI	Building Unit Identifier
C-TAG	The innermost VLAN tag as defined in IEEE 802.1ad
CA	Communications Assistant, Certificate Authority
CAD	Computer Aided Dispatch
CAMA	Centralized Automatic Message Accounting
CAP	Competitive Access Provider, Common Alerting Protocol
CART	Child Abduction Response Team
CAS	Call-path Associated Signaling, Channel Associated Signaling
CBA	Cost Benefits Analysis
CBN	Call Back Number
CBR	Constant Bit Rate
CCA	Cost Comparison Analysis
ССН	Computerized Criminal History
CCS	Common Channel Signaling or Hundred Call Seconds
CCSA	China Communications Standards Association
CCS7	Common Channel Signaling 7
CDE	Continuing Dispatch Education
CDMA	Code Division Multiple Access
CdPN	Called Party Number
CDR	Call Detail Record
CERT	Community Emergency Response Team
CFS	Consolidated Firearms System
CGI	Common Gateway Interface
CGL	Calling Geodetic Location Parameter
CgPN	Calling Party Number
CHGN	Charge Number Parameter
CID	Company Identification/Identifier
cid	Content Indirection
CIDB	Call Information Database
CIF	Critical Issues Forum
CII	Criminal Identification and Investigation

Acronym	Definition
CISC	Canadian Radio-Television and Telecommunications Commission Interconnection
	Steering Committee
CJIC	Criminal Justice Information System
CLEC	Competitive Local Exchange Carrier or Certified Local Exchange Carrier
CLID	Calling Line Identification
CLLI	Common Language Location Identifier
CMRS	Commercial Mobile Radio Service
CMTS	Cable Modem Termination System
CO	Central Office
CODEc	Coder/EDCoder or Compression/DECompression
COG	Council of Government
COLT	Cell on Light Truck
CONUS	Continental United States
COOP	Continuity of Operations Plan
CoS	Class of Service
COW	Cell on Wheels
CPAS	Cellular Priority Access Service
CpCAT	Calling Party CATegory
CPE	Customer Premise Equipment
CPN	Calling Party Number Parameter
CPU	Central Processing Unit
CRDB	Coordinate Routing Data Base
CRL	Certificate Revocation List
CRM	Committee Resource Manager
CRN	Contingency Routing Number
CRT	Cathode Ray Tube
CRTC	Canadian Radio-television and Telecommunications Commission
CS	Circuit Switched
CSCF	Call Session Control Function
CSP	Communications Services Provider
CTI	Computer Telephone Integration
CTIA	Cellular Telephone Industry Association
CTX-IP	Centrex-based Internet Protocol
CW	Call Waiting
dB	Decibels
DB	Deaf-Blind
DBMS	Data Base Management System
DBMSP	Data base Management System Provider
DCE	Data Communications Equipment
DHCP	Dynamic Host Control Protocol (i2) Dynamic Host Configuration Protocol
DHHS	United States Department of Health and Human Services
DHS	United States Department of Homeland Security
DID	Direct Inward Dialing
DMS	Data Management System
dMSID	Default Mobile Station Identity
DMST	Domestic Minor Sex Trafficking
DMT	Discrete Multi Tone
DN	Directory Number
DNS	Domain Name Server (or Service or System)
DOCSIS	Data over Cable Service Interface Specification
DoD_	Department of Defense
DOD	Direct Outward Dialing
DOE	United States Department of Energy

Acronym	Definition
DOJ	United States Department of Justice
DOL	United States Department of Labor
DoS	Denial of Service
DOS	Disk Operating System
DOT	Department of Transportation
DP	Dial Pulse
DRP	Disaster Recovery Plan
DSL	Digital Subscriber Line
DSLAM	Digital Subscriber Line Access Multiplexer
DSP	Digital Signal Processing
DSF	Data Terminal Equipment
	* *
DTMF	Dual Tone Multi-Frequency
DVROS	Domestic Violence Restraining Order System Enhanced 9-1-1
E9-1-1	
E9-1-1M	Mobile E9-1-1, Mobile Emergency Service
EAAC	Emergency Access Advisory Committee
EAB	Education Advisory Board
EAS	Emergency Alert Systems
ECOM	Essential Communications During Emergencies
ECR	Emergency Call Register
ECRF	Emergency Call Routing Function
ecrit	Emergency Context Resolution In the Internet
E-CSCF	Emergency Call Session Control Function
EDGE	Enhanced Data rates for GSM Evolution
EDXL	Emergency Data eXchange Language
EEOC	Equal Employment Opportunity Commission
EENA	European Emergency Number Association
EFM	Ethernet in the First Mile
EIA	Electronic Industry Association
EIA RS-232	Electronic Industry Alliance Recommended Standard 232 (serial interface)
EISI	Emergency Information Services Interface
ELA	Emergency Line Access
ELD	Electro-Luminescent Display
ELIN	Emergency Location Identification Number
ELT	English Language Translation
EM	Emergency Message
EMD	Emergency Medical Dispatcher
EMS	Emergency Medical Service
EMT	Emergency Medical Technician
EMTEL	Emergency Telecommunications
ENS	Emergency Notification Systems
EO	End Office
EOC	Emergency Operations Center
EPAD	Emergency Provider Access Directory
<b>EPROM</b>	Erasable Programmable Read-Only Memory
EPZ	Emergency Planning Zone
ERDB	Emergency Services Zone Routing Database
ERL	Emergency Response Location
ES	Emergency Service
ESA	Emergency Stand Alone
ESC	Emergency Services Call
ESCO	Emergency Service Central Office
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Acronym	Definition
ESGW	Emergency Services Gateway
ESIF	Emergency Services Interconnection Forum
ESInet	Emergency Services IP Network
ESME	Emergency Services Message Entity
ESMI	Emergency Services Messaging Interface
ESMR	Enhanced Specialized Mobile Radio
ESN	Emergency Service Number, Electronic Serial Number, Emergency Service Network
ESNE	Emergency Services Network Entity/Element
ESNet	Emergency Services Network
ESNI	Emergency Services Network Interfaces
ESQK	Emergency Services Query Key
ESP	Emergency Services Provider, or Emergency Services Protocol
ESRD	Emergency Services Routing Digit
ESRI	Environmental Services Research Incorporated
ESRK	Emergency Services Routing Key
ESRN	Emergency Services Routing Number/Name
ESRP	Emergency Services Routing Proxy
ESZ	Emergency Services Zone (same as ESN)
ETA	Estimated Time of Arrival
ETB	Emergency Transport Backup
ETNS	Emergency Telephone Notification System
ETSI	European Telecommunications Standards Institute
EUMI	End User Move Indicator
EVRC	Enhanced Variable Rate Narrowband Codec
EVRC-WB	Enhanced Variable Rate Wideband Codec
FAA	Federal Aviation Administration
FAQ	Frequently Asked Questions
FBI	Federal Bureau of Investigation
FCC	Federal Communications Commission
FDD	Frequency Division Duplex
FDDI	Fiber Optic interface
FE	Functional Entity
FG-D	Feature Group D
FGDC	Federal Geographic Data Committee
FHA	United States Federal Highway Administration
FLSA	Fair Labor Standards Act
<b>FMLA</b>	Family and Medical Leave Act
FOC	Function of Change
FQDN	Fully Qualified Domain Name
FRA	United States Federal Railway Administration
FTP	File Transfer Protocol
FTTA	Fiber To The Access
FTTH	Fiber To The Home
FTTP	Fiber To The Premises
FX	Foreign Exchange
GA	Go ahead
GAP	Global Address Parameter
GA SK	Go Ahead Stop Keying (Go Ahead or Ready to Hang Up)
GDP	Generic Digit Parameter
geopriv	Geolocation and Privacy
GeoRSS	Geodetic Really Simple Syndication
Geoshape	Geodetic Shape
GETS	Government Emergency Telecommunications Service

Acronym	Definition
GHC911	Greater Harris County 9-1-1 Network
GIS	Geographic Information Systems
GML	Geographic Markup Language
GMLC	Gateway Mobile Location Center (MLC)
GMT	Greenwich Mean Time
GNP	Geographic Number Portability
GOS	Grade of Service
GPOSDIR	GeoPositionDirective INVOKE (see JSTD-036)
Gposdir	GeoPositionDirective RETURN RESULT (see JSTD-036)
GPOSREQ	GeoPositionRequest INVOKE (see JSTD-036)
gposreq	GeoPositionRequest RETURN RESULT (see JSTD-036)
GPRS	General Packet Radio Service
GPS	Global Positioning System
GR-2945	Telcordia Year 2000: Systems and Interfaces General Requirements Document
GSM	Global Standard for Mobile Communication
GUID	Globally Unique Identifier
НСО	Hearing Carry Over
HELD	HTTP-Enabled Location Delivery protocol
HFC	Hybrid Fiber Coax
HDSL	High bit rate Digital Subscriber Line
HDTV	High-Definition Television
HID	Hardware Identity
HIPAA	Health Insurance Portability and Accountability Act
HLR	Home Location Register (see ANSI-41)
НОН	Hard of Hearing
HRRC	Houston Rescue and Restore Coalition
HSPD	Homeland Security Presidential Directive
HSS	Home Subscriber Server
HTML	Hyper Text Markup Language
HTRA	Human Trafficking Rescue Alliance
HTTP	Hyper Text Transfer Protocol
HVAC	Heating Ventilation and Air Conditioning
Hz	Hertz
i2	NENA 08-001 Interim VoIP Architecture for Enhanced 9-1-1 Services (i2)
IAB	Internet Architecture Board
IAD	Integrated Access Device
IAM	Initial Address Message
IANA	Internet Assigned Numbers Authority
ICANN	Internet Corporation Assigned Names and Numbers
ICE	Immigration Customs Enforcement
ICO	National 9-1-1 Implementation and Coordination Office
ICR/IRR	Instant Call Recorder/Instant Recall Recorder
ICS	Incident Command System
ID	Identified
IDP	Identity Provider
IEEE	Institute of Electrical and Electronics Engineers
IESG	Internet Engineering Steering Group
IETF	Internet Engineering Task Force
IID	Incident Identification
ILEC	Incumbent Local Exchange Carrier
IM	Instant Messaging
IMEI	International Mobile Equipment Identity

Acronym	Definition
IMS	IP Multimedia Subsystem
IMSI	International Mobile Station Identity
IMTC	International Multimedia Teleconferencing Consortium
IN	Intelligent Network
INP	Interim Number Portability
IP	Internet Protocol
IPBX (or IP-PBX)	Internet Protocol Private Branch Exchange
IP-CAN	IP Connectivity Access Network
IP-COAD	Internet Protocol-Coordination Ad-Hoc Committee
IPI	Imagery and Geospatial Plans and Policy Branch
ipm	Interrupts per minute
IpoE	Internet Protocol over Ethernet
IP PSAP	Internet Protocol Public Safety Answering Point
IP Relay	Internet Protocol Relay
IPSec	Internet Protocol Security
Ipv4	Version 4 of the Internet Protocol
IRIG	Inter-Range Instrumentation Group
ISDL	ISDN Digital Subscriber Line
ISDN	Integrated Services Digital Network
ISOC	Internet Society
ISP	Internet Service Provider
ISUP	Integrated Services Digital Network User Part
ITS	Intelligent Transportation System
ITSP	Internet Telephone Service Provider
ITU	International Telecommunications Union
ITU-D	International Telecommunications Union – Development
ITU-R	International Telecommunications Union – Radiocommunications
ITU-T	International Telecommunications Union – Telecommunications
IVR	Interactive Voice Response
IWS	Intelligent Workstation
J CM	Joint Committee Meeting
KP	Key Pulse
KSU	Key Service Unit
KTS	Key Telephone System
KTU	Key Telephone Unit
LAENS	Large Area Emergency Notification System
L2TP	Layer-2 Tunneling Protocol
LAN	Local Area Network
LATA	Local Access and Transport Area
LCD	Liquid Crystal Display
LCP	
LCR	Least Cost Routing
LDAP	Lightweight Directory Access Protocol
LDT	Location Determination Technology or Line Digital to Trunk
LEC	Local Exchange Carrier
LED	Light Emitting Diode
LERG	Local Exchange Routing Guide
LIE	Location Information Element
LIF	Location Interwork Function
LIS	Location Information Server
LIS-ID	Location Information Server Identifier
LK	Location Key

Acronym	Definition
LLDP-MED	Link Layer Discovery Protocol Media Endpoint Discovery
LNP	Local Number Portability
LO	Location Object
LOCREQ	Location Request
LoST	Location to Service Translation
LPN	Local Public Safety Number
LRF	Location Retrieval Function
LRO	Last Routing Option
LSMS	Local Service Management System
LSO	Local Serving Office
LSP	Local Service Provider
LSR	Local Service Request
LSSGR	LATA Switching Systems Generic Requirements
LTD	Long Term Definition
LVF	Location Validation Function
MapInfo	Mobile Information (see JSTD-036) (MapInfo is a trademark registered name!)
MCC	Mobile Competence Centre
MDC	Mobile Data Communications
MDF	Main Distribution Frame
MDN	Mobile Directory Number
MDT	Mobile Data Terminal
MEC	Missing and Exploited Children
MEID	Mobile Equipment Identity
MEP	Message Exchange Pattern
MF	Multi-Frequency
MGCP	Media Gateway Control Protocol
MIB	Management Information Base
MIN	Mobile Identified Number, Mobile Identification Number
MLP	Mobile Location Protocol
MIS	Management Information System
MLTS	Multi-Line Telephone System
MMES	Multi-Media Emergency Services
MMTA	MultiMedia Telecommunications Association
MOA	Memorandum of Agreement
MOU	Memorandum of Understanding
MP	Mobile Phone
MPC	Mobile Positioning Center
MPCAP	Mobile Positioning Capability (see JSTD-036)
MPLS	Multi-Protocol Label Switching
MPOA	Multi-Protocol Over ATM
ms	Milliseconds
MS	Mobile Station
MSA	Metropolitan Statistical Area
MSC	Mobile Switching Center
MSAG	Master Street Address Guide
MSC	Mobile Switching Center
MSID	Mobile Station Identity
MSISDN	Mobile Station ISDN Number
MSO	Mobile Switching Office
MSRN	Mobile Station Routing Number
MSRP	Message Session Relay Protocol
MSS	Mobile Satellite Services

Acronym	Definition	
MTA	Multimedia Terminal Adapter	
MTID	Mobile Terminal Identity	
MTP	Message Transfer Point	
MTSO	Mobile Telephone Switching Office	
NAD83	North American Datum 83	
NAED	National Academies of Emergency Dispatch	
NAI	Network Access Identifier	
NANP	North American Numbering Plan	
NANPA	North American Numbering Plan Administration	
NARUC	National Association of Regulatory Utility Commissioners	
NAS	Network Access Server	
NASAR	National Association of Search and Rescue	
NASNA	National Association of State 9-1-1 Administrators	
NAT	Network Address Translation	
NBMA	Non-Broadcast Multiple Access	
NCAS	Non Call-path Associated Signaling	
NCIC	National Crime Enforcement Center, National Crime Information Center	
NCMEC	National Center for Missing and Exploited Children	
NECA	National Exchange Carrier Association	
NENA	National Emergency Number Association	
NFPA	National Fire Protection Association	
NGA	United States National Geospatial Intelligence Agency	
NG9-1-1	Next Generation 9-1-1	
NGES	Next Generation Emergency Services	
NGESN	Next Generation Emergency Services Network	
NGN	Next Generation Network	
NGO	Non-Governmental Organization	
NHTRC	National Human Trafficking Resource Hotline	
NHTSA	National Highway Traffic Safety Administration, United States Department of	
	Transportation	
NID	Network Interface Device	
NIF	NG9-1-1 Specific Interwork Function	
NIMS	National Incident Management System	
NIP	NYNEX Information Publication	
NIS	Not In Service	
NIST	National Institute of Standards and Technology	
NLSI	National Lighting Safety Institute	
NMC	9-1-1 Malicious Content	
NNSA	United States National Nuclear Security Administration	
NOCC	Network Operations Control Center (for wireless carriers)	
NORAD	North American Aerospace Defense Command	
NPA	Numbering Plan Area	
NPAC	Number Portability/Pooling Administration Center	
NPD	Numbering Plan Digit	
NPRM	Notice of Proposed Rulemaking	
NRC	National Reliability Council	
NRIC	Network Reliability and Interoperability Council	
NRF	No Record Found	
NRS	NENA Registry System	
NRTL	National Recognized Testing Laboratory	
NSI	Non-Service Initialized (as in phones)	
NSP	Network Service Provider	
NTIA	National Telecommunications and Information Administration, United States	

Acronym	Definition	
•	Department of Commerce	
NTP	Network Time Protocol	
NTSB	United States National Transportation Safety Board	
NXX	Telephone Numbering Code for Exchange Code or Telephone exchange code	
OASIS	Organization for the Advancement of Structured Information Standards	
OCN	Operating Company Number	
ODC	Operations Development Conference	
OEM	Original Equipment Manufacturer	
OID	Operations Information Document	
OGC	Open Geospatial Consortium	
OLI	Originating Line Identification parameter	
OMA	Open Mobile Alliance	
ORD	Operations Requirement Document	
ORR	Office of Refugee and Resettlement	
ORREQ	Origination Request Invoke (see JSTD-036)	
Orreq	Origination Request RETURN RESULT (see JSTD-036)	
OSI	Open Systems Interconnection	
OST	United States Office of Secure Transportation	
P.01	Probability of one (1) call in one (100) hundred calls being blocked	
PAI	P-Asserted-Identity	
pALI	Pseudo Automatic Location Identification	
PAM	PSAP to ALI Message specification	
PAN	Personal Area Network	
PAP	Prohibited Armed Persons	
pANI	Pseudo Automatic Number Identification	
PAS	Priority Access Service	
PBX	Private Branch Exchange	
PCA	PSAP Credentialing Agency	
P-CBN	PSAP Call Back Number	
PCIA	Personal Communications Industry Association	
PCS	Personal Communications Service	
PCSC	Personal Communications Switching Center	
P-CSCF	Proxy Call Session Control Function	
PDA	Personal Digital Assistant	
PDE	Position Determining Entity	
PDOP	Position Dilution of Precision	
Pesn	Pseudo Electronic Serial Number	
PGID	Paging Identity	
PHB	Per Hop Behaviors	
PIDF	Presence Information Data Format	
PIDF-LO	Presence Information Data Format – Location Objects	
PIF	Protocol Interworking Function	
PIO	Public Information Office	
PKI PMI	Public Key Infrastructure	
PMI	Project Management Institute	
PMP POC	Project Management Professional Point of Contact	
PON	Point of Contact Passive Optical Network	
PON	Passive Optical Network Packet Over SONET	
PPP	Point-to-Point Protocol	
PPPoA	Point-to-Point Protocol over ATM	
PPPoE	Point-to-Point Protocol over Ethernet	
PRF	Policy Routing Function	
rkr	Folicy Routing Fullction	

Acronym	Definition	
PRI	Primary Rate Interface/ISDN	
PSA	Public Safety Agency, Public Service Announcement	
PSALI	Private Switch ALI	
PSAP	Public Safety Answering Point or Primary Public Safety Answering Point	
PSAP-ECR	Public Safety Answering Point – Emergency Call Register	
PSO	Provisioning Service Object	
PSQM	Perceptual Speech Quality Measurements	
PSP	Provisioning Service Provider	
PSTN	Public Switched Telephone Network	
PTSC	Packet Technologies and Services Committee (ATIS Standards Committees)	
PUC	Public Utility Commission	
PVC	Permanent Virtual Circuit	
Q or QQ	Indicates a question	
QoS	Quality of Service	
RA	Requesting Authority	
RACES	Radio Amateur Civil Emergency Service	
RADIUS	Remote Authentication Dial-In User Service	
RANP	Regional Access Network Provider	
RAS	Remote Access Server	
RBAC	Role Based Access Control profile	
RCC	Remote Call Center or Rate Center Consolidation	
RDF	Routing Determination Function	
RDO	Root Discovery Operator	
REL	Release (message)	
REST	Representational State Transfer	
RF	Radio Frequency	
RFC	Request for Comments	
RFI	Request for Information	
RFP	Request for Proposal	
RFQ	Request for Quote	
RG	Response Gateway, Routing Gateway	
RLC	Release Complete (message)	
RMS	Records Management System	
RNA	Routing Number Authority	
ROHC	Robust Header Compression	
ROI	Return on Investment	
ROM	Rough Order of Magnitude	
ROUTREQ	Route Request (see ANSI-41)	
RPC	Remote Procedure Call	
RSU	Remote Switching Unit	
RSVP	Resource Reservation Protocol	
RTCP	Real Time Control Protocol	
RTP	Real Time Transport Protocol	
RTSP	Real Time Streaming Protocol	
RTT	Real Time Text	
SAC	Standards Advisory Committee	
SAE	Society of Automotive Engineers	
SAML	Security Assertion Markup Language	
SBC	Session Border Control	
SBS	Straight Binary Seconds	
SC	Service Consumer	
SCCP	Signaling Connection Control Part	

Acronym	Definition	
SCP	Service Control Point (see ANSI-41) or Switching Control Point	
S-CSCF	Serving Call Session Control Function	
SCTP	Stream Control Transport Protocol	
SDES	Session Description protocol Security Descriptions	
SDO	Standards Development Organization	
SDP	Session Description Protocol	
SDSL	Symmetrical Digital Subscriber Line	
SFG	Simulated Facility Group	
SFTP	Secure Shell File Transfer Protocol	
SHA	Secure Hash Algorithm	
SIF	Signaling Information Field, Spatial Information Function	
SIO	Service Information Octet	
SIP	Session Initiation Protocol	
SK	Stop keying	
SKSK	Stop keying, stop keying. Officially ends a TDD conversation	
SLA	Service Level Agreement	
S/MIME	Secure Multipurpose Internet Mail Extensions	
SMDPP	SMS Delivery Point to Point INVOKE (see ANSI-41)	
SME	Subject Matter Experts	
SMS	Short Message Service	
SMTP	Simple Mail Transfer Protocol	
SNA	System Network Architecture	
SNL	Sandia National Laboratories	
SNR	Signal to Noise Ratio	
SNTP	Simple Network Time Protocol	
SOA	Service Oriented Architecture	
SOAP	Simple Object Assess Protocol	
SOG	Standard Operating Guidelines	
SOHO	Small Office/Home Office	
SOI	Service Order Input	
SONET	Synchronous Optical NETwork	
SOP	Standard Operating Procedures	
SP	Service Provider	
SPCS	State Plane Coordinate Systems	
SPID	Service Provider Identifier	
SPML	Service Provisioning Markup Language	
SPVC	Soft Permanent Virtual Circuit	
SR	Selective Routing, Selective Router [a.k.a., E9-1-1 Tandem, or E9-1-1 Control Office]	
SRDB	Selective Routing Data Base	
SRTP	Secure Real Time Protocol	
SRV	Service (a DNS record type)	
SS	Serving System	
SS-ECR	Serving System – Emergency Call Register	
SSH	Secure Shell	
SSH-2	Secure Shell, Version 2	
SSP	Signal Switching Point	
SS7	Signaling System 7	
ST	Start	
S-TAG	The outermost VLAN tag as defined in IEEE 802.1ad	
STCP	Stream Control Transport Protocol	
STP	Start Prime or Signal Transfer Point	
STUN	Simple Transversal of Universal Datagram Protocol (UDP) Network Address	
	Translations (NATs)	

Acronym	Definition	
SVC	Switched Virtual Circuit	
TA	Technical Advisory (published by Bellcore) or Technical Assistance	
TC	Telecommunications Carrier	
TCAD	Technical Committee Administrative Document	
TCAP	Transaction Capabilities Application Part	
TCP	Transport/Transmission Control Protocol	
TCP/IP	Transmission Control Protocol/Internet Protocol	
TCU	Telematics Control Unit	
TDC	Technical Development Conference	
TDD	Telecommunications Device for the Deaf or Time Division Duplex Mode	
TDD-TTY	Telephone Device for the Deaf-Teletypewriter (Text Telephone)	
TDM	Time Division Multiplexing	
TDMA	Time Division Multiple Access	
TDOA	Time Difference of Arrival	
TELCO	Telephone Company	
TIA	Telecommunications Industry Association	
TID	Technical Information Document (published by NENA) or Technical Issues Director	
TLDN	Temporary Long Distance Number	
TLS	Transport Layer Security	
TLT	Technical Lead Team	
TMSI	Temporary Mobile Station Number	
TN	Telephone Number	
TOPS	Technology and Operations Council	
TR	Technical Reference (published by Bellcore)	
TR45	TIA Engineering Committee on Mobile and Personal Communications Standards	
TR 45.2	Telecommunications Industry Association Subcommittee responsible for "Wireless	
	Intersystem Technology – Mobile and Personal Communications Standards"	
TRD	Technical Requirements Document	
TRS	Telecommunications Relay Service	
TSD	Technical Standards Document	
TSP	Telephone Service Priority or Telecommunications Service Provider, Telematics	
	Service Provider	
TTA	Telecommunications Technology Association	
TTC	Telecommunication Technology Committee, or Time to Completion	
TTL	Transistor to Transistor Logic	
TTY	Teletypewriter (a.k.a. TDD, Telecommunications Device for the Deaf and Hard-of-	
	Hearing)	
TU	Telematics Unit	
TVPA	Trafficking Victims Protection Act of 2000	
TVPRA	Trafficking Victims Protection Reauthorization Act of 2003	
TVSS	Transient Voltage Surge Suppression	
TVW	Testing Validation Worksheet	
TWC	Three-Way Calling	
UA	User Agent	
UAC	User Agent Client	
UAS	User Agent Service	
UBR	Unavailable Bit Rate	
UDDI	Universal Description, Discovery and Integration	
UDP	User Datagram Protocol	
UE UIM	User Equipment User Identity Model	
UL	User Identity Model Underwriters Laboratories	
uLPN	Unique Local Public Safety Number	

Acronym	Definition
UNI	Unbundled Network Interface
UPS	Uninterruptible Power Supply
URI	Uniform Resource Identifier
URISA	Urban and Regional Information Systems Association
URL	Uniform Resource Locator (location sensitive)
URN	Uniform Resource Name (location insensitive)
USAR	Urban Search and Rescue
USF	Universal Service Fund
USGS	United States Geological Survey
USMC	United States Marine Corps
USNG	United States National Grid
USNO	United States Naval Observatory
USPS	United States Postal Service
USTA	United States Telephone Association
USTSA	United States Telecommunications Suppliers Association
UTC	Universal Coordinated Time
UTRA	Universal Terrestrial Radio Access
VBRnrt	Variable Bit Rate non-real time
VBRrt	Variable Bit Rate real-time
VC	Virtual Circuit
VCI	Virtual Circuit Identifier
VCIN	Violent Crime Information Network
VCO	Voice Carry Over
VDB	Validation Data Base
VDSL	Very high-speed Digital Subscriber Line
VE2	Voice over Internet Protocol E2 Interface
VEDS	Vehicle Emergency Data Sets
VEP	VoIP End Point
VESA	Valid Emergency Services Authority
VF	Validation Function
VFG	Virtual Facility Group
VI	Video Interpreter
VIN	Vehicle Identification Number
VLAN	Virtual LAN
VLR	Visitor Location Register
VoATM	Voice over ATM
VoDSL	Voice over Digital Subscriber Link
VoFR	Voice over Frame Relay
VoIP	Voice over Internet Protocol
VON	Voice over Network
VoP	Voice over Packet
VPC	VoIP Positioning Center
VPI	Virtual Path Identifier
VPN	Virtual Private Network
VRI	Video Remote Interpreting
VRS	Video Relay Service
VSP	VoIP Service Provider
W3C	World Wide Web Consortium
WAENS	Wide Area Network
WAN WAP	Wide Area Network Wireless Access Point
WCM	Wireline Compatibility Mode

Acronym	Definition
WFS	Web Feature Service
WG	Working Group
WGS 84	World Geodetic System 1984
<i>WiFi</i> ®	Wireless Fidelity
WiMAX	Worldwide Interoperability for Microwave Access
WNC	Wireless Network Controller
WPS	Wireless Priority Service
WSDL	Web Service Definition Language
WSP	Wireless Service Provider
WSS	Web Services Security
WTSC	Wireless Technologies and Systems Committee
WWW	World Wide Web
XACML	eXtensible Access Control Markup Language
XML	eXtensible Markup Language
XMPP	eXtensible Messaging and Presence Protocol
XSD	W3C XML Schema Definition
XXXXX	Indicates an error or mistake in typing (erasing the error)

# **Appendix C: Glossary**

This section contains the glossary associated with this document.

(Source: NENA Master Glossary of 9-1-1 Terminology, http://www.nena.org/default.asp?page=Glossary)

Term	Definition
3GPP	The 3 <sup>rd</sup> Generation Partnership Project (3GPP) is a collaboration agreement that was established in December 1998. The collaboration agreement brings together a number of telecommunications standards bodies which are known as "Organizational Partners".
9-1-1	A three-digit telephone number to facilitate the reporting of an emergency requiring response by a public safety agency.
Access Provider	An access provider is any organization that arranges for an individual or an organization to have access to the Internet.
Alliance for Telecommunications Industry Solutions (ATIS)	A U.Sbased organization that is committed to rapidly developing and promoting technical and operations standards for the communications and related information technologies industry worldwide using a pragmatic, flexible and open approach. http://www.atis.org/
American National Standards Institute (ANSI)	Entity that coordinates the development and use of voluntary consensus standards in the United States and represents the needs and views of U.S. stakeholders in standardization forums around the globe. http://www.ansi.org/
American Sign Language	A visual/gestural, non-written language with its own unique syntax and grammar based on hand shapes, body movements and facial expressions.
American Standard Code for Information Interchange (ASCII)	A standard for defining codes for information exchange between equipment produced by different manufacturers. A code that follows the American Standard Code for Information Interchange.
Association of Public Safety Communications Officials (APCO)	APCO is the world's oldest and largest not-for-profit professional organization dedicated to the enhancement of public safety communications.
Authentication	A security term referring to the process of reliably identifying an entity requesting access to data or a service.
Automatic Call Distributor (ACD)	Equipment that automatically distributes incoming calls to available PSAP attendants in the order the calls are received, or queues calls until an attendant becomes available.
Automatic Location Identification (ALI)	The automatic display at the PSAP of the caller's telephone number, the address/location of the telephone and supplementary emergency services information of the location from which a call originates.
Automatic Number Identification (ANI)	Telephone number associated with the access line from which a call originates.

Term	Definition
Call	A session established by signaling with two way real-time media and involves a human making a request for help. We sometimes use "voice call", "video call" or "text call" when specific media is of primary importance. The term "non-human-initiated call" refers to a one-time notification or series of data exchanges established by signaling with at most one way media, and typically does not involve a human at the "calling" end. The term "call" can also be used to refer to either a "Voice Call", "Video Call", "Text Call" or "Data—only call", since they are handled the same way through most of NG9-1-1.
Call Routing	The capability to selectively route the 9-1-1 call to the appropriate PSAP.
Call Session Control Function (CSCF)	General term for a functional entity within a IMS core network that can act as Proxy CSCF (P-CSCF), Serving CSCF (S-CSCF), Emergency CSCF (E-CSCF), or Interrogating CSCF (I-CSCF).
Carrier	A function provided by a business entity to a customer base, typically for a fee. Examples of carriers and associated services are; PSTN service by a Local Exchange Carrier, VoIP service by a VoIP Service Provider, email service provided by an Internet Service Provider.
Catypes	A component of a civic address in a PIDF-LO such as a Street Name or House Number, which has a code used to identify what kind of component.
Domain (or Domain Name)	The domain name (hostname) of an agency or element in an ESInet.
Emergency Call Routing Function (ECRF)	A functional element in an ESInet which is a LoST protocol server where location information (either civic address or geo-coordinates) and a Service URN serve as input to a mapping function that returns a URI used to route an emergency call toward the appropriate PSAP for the caller's location or towards a responder agency.
Emergency Call Session Control Function (E-CSCF)	The entity in the IMS core network that handles certain aspects of emergency sessions, e.g. routing of emergency requests to the correct emergency center or PSAP.
Emergency Routing Data Base (ERDB)	The ERDB contains routing information associated with each Emergency Service Zone (ESZ) in a serving area. It supports the boundary definitions for ESZs and the mapping of civic address or geo-spatial coordinate location information to a particular ESZ.
Emergency Service Zone Routing Data Base (ERDB)	The ERDB contains routing information associated with each Emergency Service Zone (ESZ) in a serving area. It supports the boundary definitions for ESZs and the mapping of civic address or geo-spatial coordinate location information to a particular ESZ.
Emergency Services Interconnection Forum (ESIF)	An open, technical/operational forum, under the auspices of the Alliance For Telecommunications Industry Solutions, with the voluntary participation of interested parties to identify and resolve recognized 9-1-1 interconnection issues.
Emergency Services IP Network (ESInet)	An ESInet is a managed IP network that is used for emergency services communications, and which can be shared by all public safety agencies. It provides the IP transport infrastructure upon which independent application platforms and core functional processes can be deployed, including, but not restricted to, those necessary for providing NG9-1-1 services. ESInets may be constructed from a mix of dedicated and shared facilities. ESInets may be interconnected at local, regional, state, federal, national and international levels to form an IP-based inter-network (network of networks).

Term	Definition	
Emergency Services Query Key (ESQK)	The ESQK identifies a call instance at a VPC, and is associated with a particular SR/ESN combination. The ESQK is delivered to the E9-1-1 SR and as the calling number/ANI for the call to the PSAP. The ESQK is used by the SR as the key to the Selective Routing data associated with the call. The ESQK is delivered by the SR to the PSAP as the calling number/ANI for the call, and is subsequently used by the PSAP to request ALI information for the call. The ALI database includes the ESQK in location requests sent to the VPC. The ESQK is used by the VPC as a key to look up the location object and other call information associated with an emergency call instance.	
Emergency Services Routing Digit (ESRD)	Either a 10-digit North American Numbering plan or non-NANPA number that uniquely identifies a base station, cell site, or sector that is used to route wireless emergency calls through the network. The ESRD may also be used to retrieve the associated ALI data with the call. These numbers can be dialable or non-dialable.	
Emergency Services Routing Key (ESRK)	Either a 10-digit North American Numbering plan or non-NANPA number that uniquely identifies a wireless emergency call, is used to route the call through the network, and used to retrieve the associated ALI data. These numbers can be dialable or non-dialable.	
Emergency Services Routing Number (ESRN)	The ESRN is used by the Call Server/Routing Proxy to route an emergency call to the correct ESGW, and by the ESGW to select the desired path to the appropriate SR for the call.	
Emergency Services Routing Proxy (ESRP)	An i3 functional element which is a SIP proxy server that selects the next hop routing within the ESInet based on location and policy. There is an ESRP on the edge of the ESInet. There is usually an ESRP at the entrance to an NG9-1-1 PSAP. There may be one or more intermediate ESRPs between them.	
Enhanced 9-1-1 (E9-1-1)	A telephone system which includes network switching, data base and Public Safety Answering Point premise elements capable of providing automatic location identification data, selective routing, selective transfer, fixed transfer, and a call back number.  The term also includes any enhanced 9-1-1 service so designated by the Federal Communications Commission in its Report and Order in WC Docket Nos. 04-36 and 05-196, or any successor proceeding.	
Gateway	The Point at which a circuit-switched call is encoded and repackaged into IP packets – Equipment that provides interconnection between two networks with different communications protocols.	
Geocoding	Translation of one form of location into another, typically a civic address into an x, y coordinate.	
Geographic Information System (GIS)	A computer software system that enables one to visualize geographic aspects of a body of data. It contains the ability to translate implicit geographic data (such as a street address) into an explicit map location. It also can be used to graphically display coordinates on a map i.e. Latitude/Longitude.	
Geo Location	Latitude, longitude, elevation, and the datum which identifies the coordinate system used.	
Geospatial	Data accurately referenced to a precise location on the earth's surface.	
GIS (Geographic Information System)	A system for capturing, storing, displaying, analyzing and managing data and associated attributes which are spatially referenced.	
Global Positioning System (GPS)	A satellite based Location Determination Technology (LDT).	

Term	Definition	
Global Standard for Mobile Communications (GSM)	International standard digital radio interface utilized by some North American PCS carriers.	
I3Public Safety Answering Point (i3 PSAP)	A PSAP that is capable of receiving IP-based signaling for delivery of emergency calls and for originating calls and is conformant to NENA specifications for such PSAPs.	
Implementation and Coordination Office (ICO)	National 9-1-1 Implementation and Coordination Office, previously known as the National 9-1-1 Program Office, currently jointly operated by NHTSA and the National Telecommunication Information Administration which was created and funded by the ENHANCE 9-1-1 Act of 2004. (http://www.e-911ico.gov)	
Instant Messaging (IM)	A method of communication generally using text where more than a character at a time is sent between parties nearly instantaneously	
Institute of Electrical and Electronic Engineers (IEEE)	A publishing and standards making body responsible for many telecom and computing standards.	
Integrated Services Digital Network (ISDN)	International standard for a public communication network to handle circuit-switched digital voice, circuit-switched data, and packet-switched data.	
Internet Engineering Task Force (IETF)	Lead standard setting authority for internet protocols.	
Internet Protocol (IP)	The method by which data is sent from one computer to another on the Internet or other networks.	
Internet Protocol Access Network (IP Access Network)	The network in which the first IP address is assigned to an end-point. For residential networks the creation and supply of an access network may require the cooperation of several different providers.	
Internet Protocol Address (IP Address)	A 32-bit address assigned to hosts using TCP/IP. An IP address belongs to one of five classes (A, B, C, D, or E) and is written as 4 octets separated by periods (dotted decimal format). Each address consists of a network number, an optional sub network number, and a host number. The network and sub network numbers together are used for routing, while the host number is used to address an individual host within the network or sub network.	
Internet Protocol-Connectivity Access Network (IP-CAN)	The collection of network entities and interfaces that provides the underlying IP transport connectivity between the user endpoint and the IMS entities.	
Internet Protocol Multimedia Subsystem (IMS)	The IP Multimedia Subsystem comprises all 3GPP/3GPP2 core network elements providing IP multimedia services comprising audio, video, text, chat, etc. and a combination of any or all of them delivered over the packet switched domain.	
Internet Protocol Relay Service (IP Relay Service)	A call center service similar to VRS that provides a third party communications relay between Internet texting users (mobile or stationary) and voice telephone users.	
Internet Protocol Telephony (IP Telephony)	A general term for the technologies that use the IP's packet-switched connections to exchange voice, fax, and other forms of information that have traditionally been carried over the dedicated Circuit-Switched (CS) connections of the PSTN. The IP address may change each time the user logs on.	
Internet Service Provider (ISP)	Company that provides Internet access to other companies and individuals	
Jurisdiction	A government agency that has contracted for Enhanced 9-1-1 service. This may be a county, a city, a COG, or a 9-1-1 Area.	

Term	Definition	
Legacy Gateway	A signaling and media interconnection point between callers in legacy wireline/wireless origination networks and the i3 architecture, so that i3 PSAPs are able to receive emergency calls from such legacy networks.	
Legacy PSAP	A PSAP that cannot process calls received via i3-defined call interfac (IP-based calls) and still requires the use of CAMA or ISDN trunk technology for delivery of 9-1-1 emergency calls	
Legacy PSAP Gateway (LPG)	An NG9-1-1 Functional Element which provides an interface between an ESInet and an un-upgraded PSAP	
Local Access and Transport Area (LATA)	The geographical areas within which a local telephone company offers telecommunications services.	
Local Area Network (LAN)	A transmission network encompassing a limited area, such as a single building or several buildings in close proximity.	
Local Exchange Carrier (LEC)	A Telecommunications Carrier (TC) under the state/local Public Utilities Act that provide local exchange telecommunications services. Also known as Incumbent Local Exchange Carriers (ILECs), Alternate Local Exchange Carriers (ALECs), Competitive Local Exchange Carriers (CLECs), Competitive Access Providers (CAPs), Certified Local Exchange Carriers (CLECs), and Local Service Providers (LSPs).	
Location	In the context of location information to support IP based emergency services: The physical position of VoIP end-point expressed in either civic or geodetic form.  A spot on the planet where something is; a particular place or position.  Oxford Dictionary, Oxford University Press, 2009.	
Location Information Server (LIS)	A Location Information Server (LIS) is a functional entity that provides locations of endpoints. A LIS can provide Location-by-Reference, or Location-by-Value, and, if the latter, in geo or civic forms. A LIS can be queried by an endpoint for its own location, or by another entity for the location of an endpoint. In either case, the LIS receives a unique identifier that represents the endpoint, for example an IP address, circuit-ID or MAC address, and returns the location (value or reference) associated with that identifier. The LIS is also the entity that provides the dereferencing service, exchanging a location reference for a location value.	
Location Interwork Function (LIF)	The functional component of a Legacy Network Gateway which is responsible for taking the appropriate information from the incoming signaling (i.e., calling number/ANI, ESRK, cell site/sector) and using it to acquire location information that can be used to route the emergency call and to provide location information to the PSAP. In a Legacy PSAP Gateway, this functional component takes the information from an ALI query and uses it to obtain location from a LIS.	
Location to Service Translation (LoST) Protocol	A protocol that takes location information and a Service URN and returns a URI. Used generally for location-based call routing. In NG9-1-1, used as the protocol for the ECRF and LVF.	
Location URI	A URI which, when de-referenced, yields a location value in the form of a PIDF-LO. Location-by-reference in NG9-1-1 is represented by a Location URI.	
Location Validation	Refers to the action of ensuring that a civic address can be used to discern a route to a PSAP.	
Mapping	The act of determining a value in one domain from a value in another domain. For example, mapping a location to the URI of a PSAP that serves that location using the LoST protocol.	

Term	Definition	
Master Street Address Guide (MSAG)	A data base of street names and house number ranges within their associated communities defining Emergency Service Zones (ESZs) and their associated Emergency Service Numbers (ESNs) to enable proper routing of 9-1-1 calls.	
Media Gateway Control Protocol (MGCP)	In computing, MGCP is a protocol used within a Voice over IP system. MGCP is an internal protocol used within a distributed system that can appear to the outside world as a single VoIP gateway. This system is composed of a Call Agent, at least one "media gateway" (MG) that performs the conversion of media signals between circuits and packets, and at least one "signaling gateway" (SG) when connected to the PSTN.	
MESSAGE	A SIP method which passes information, often an Instant Message, between endpoints in the body of the SIP message	
Mobile	In the context of location information to support IP based emergency services: A user is said to be mobile if they are able to change access points while preserving all existing sessions and services regardless of who is providing the access network, and their location may be definitively represented by a geographic co-ordinates but only indicatively represented by a civic address.	
Mobile Position Center (MPC)	The MPC serves as the point of interface to the ANSI wireless network for the Emergency Services Network. The MPC serves as the entity which retrieves, forwards, stores and controls position data within the location network.	
Mobile Switching Center (MSC)	The wireless equivalent of a Central Office, which provides switching functions from wireless calls.	
National Emergency Number Association(NENA)	The National Emergency Number Association is a not-for-profit corporation established in 1982 to further the goal of "One Nation-One Number." NENA is a networking source and promotes research, planning and training. NENA strives to educate, set standards and provide certification programs, legislative representation and technical assistance for implementing and managing 9-1-1 systems.	
Network Layers Model	The OSI, or Open System Interconnection, model defines a networking framework for implementing protocols in seven layers. Control is passed from one layer to the next, starting at the application layer in one station, and proceeding to the bottom layer, over the channel to the next station and back up the hierarchy. In ascending order the layers are: physical, data link, network, transport, session, presentation, and application.	
Network Layer Security	This is security deployed by layer 3 devices that prevent attacks aimed at terminating network services. This includes firewalls, ACL's and other network related devices and techniques for threat mitigation.	
Network Location Determination	In the context of location information to support IP based emergency services: Refers to the mechanism and data that a network entity can use to ascertain the whereabouts of a terminal in the access network such that the location can be specified in a valid PIDF-LO.	
Next Generation 9-1-1 (NG9-1-1)	NG9-1-1 is an IP-based system comprised of managed IP-based networks (ESInets), functional elements (applications), and databases that replicate traditional E9-1-1 features and functions and provide additional capabilities. NG9-1-1 is designed to provide access to emergency services from all connected communications sources, and provide multimedia data capabilities for PSAPs and other emergency service organizations.	

Term	Definition	
NG9-1-1 Specific Interwork Function (NIF)	The functional component of a Legacy Network Gateway or Legacy PSAP Gateway which provides NG9-1-1-specific processing of the call not provided by an off-the-shelf protocol interwork gateway.	
Nomadic	In the context of location information to support IP based emergency services: A user is said to be nomadic if they are constrained within an access network such that their location can be represented as a definitive civic address for that network attachment. The user may move from one network attachment to another but cannot maintain a session during that move. If the user is able to move outside the definitive civic address without losing network attachment then the user is considered to be mobile, not nomadic.	
Nomadic VoIP Call	Call generated by a VoIP user other than their originally provisioned fixed location using the terminal equipment from that location (i.e.: VoIP handset, laptop, VoIP terminal, PC).	
Origination Network	The network which originates a 9-1-1 call. Includes the access network and the calling network. Typically operated by carriers or other service providers.	
Packet	Logical grouping of information that includes a header containing control information and (usually) user data. Packets are most often used to refer to network layer units of data.	
Packet-Switched Data Networks	In telecommunications, packet-switching is now-dominant communications paradigm, in which packets (units of information carriage) are individually routed between nodes over data links which might be shared by many other nodes. In packet switched networks, such as the Internet, the data is split up into packets, each labeled with the complete destination address and routed individually.	
Presence Information Data Format (PIDF)	The Presence Information Data Format is specified in IETF RFC 3863; it provides a common presence data format for Presence protocols, and also defines a new media type. A presence protocol is a protocol for providing a presence service over the Internet or any IP network.	
Presence Information Data Format – Location Object (PIDF- LO)	Provides a flexible and versatile means to represent location information in a SIP header using an XML schema.	
Protocol	A set of rules or conventions that govern the format and relative timing of data in a communications network. There are three basic types of protocols: character-oriented, byte-oriented, and bit-oriented.	
Protocol Interworking Function (PIF)	That functional component of a Legacy Network Gateway or Legacy PSAP Gateway that interworks legacy PSTN signaling such as ISUP or CAMA with SIP signaling.	
Provisioning Service provider (PSP)	The component in an ESInet functional element that implements the provider side of a SPML interface used for provisioning	
Proxy	An entity in a call path that is an intermediary, and not an endpoint.	
Proxy Call Session Control Function (P-CSCF)	The P-CSCF is the first contact point for the user equipment (UE) within the IMS core network. For an IMS-based emergency call, the P-CSCF detects the emergency call and forwards it to an E-CSCF.	

Term	Definition	
Proxy or Proxy Server/Policy and Routing Server	"A policy and routing server in the context of SIP is a proxy server, an intermediary entity that acts as both a server and a client for the purpose of making requests on behalf of other clients. A proxy server primarily plays the role of routing, which means its job is to ensure that a request is sent to another entity "closer" to the targeted user. Proxies are also useful for enforcing policy (for example, making sure a user is allowed to make a call). A proxy interprets, and, if necessary, rewrites specific parts of a request message before forwarding it." (Refer to IETF RFC 3261[5].) It can be a policy/routing element in other protocols.	
Public Agency	A state or any unit of local government or special purpose district located in whole or in part within a state, which provides police, fire-fighting, medical or other emergency services or has authority to do so.	
Public Safety Agency	An entity that provides fire fighting, law enforcement, emergency medical or other emergency service.	
Public Safety Answering Point (PSAP)	Public Safety Answering Point (PSAP): An entity operating under common management which receives 9-1-1 calls from a defined geographic area and processes those calls according to a specific operational policy.	
Quality of Service (QoS)	As related to data transmission a measurement of latency, packet loss and jitter.	
Real Time Protocol (RTP)	An IP protocol used to transport media (voice, video, text) which has a real time constraint.	
Real Time Text (RTT)	Text transmission that is character at a time, as in TTY.	
Real-time Transport Control Protocol (RTCP)  Real-Time Transport Protocol	RTCP is a sister protocol of RTP and provides out-of-band control information for an RTP flow. It partners RTP in the delivery and packaging of multimedia data, but does not transport any data itself. It is used periodically to transmit control packets to participants in a streaming multimedia session. The primary function of RTCP is to provide feedback on the quality of service being provided by RTP.  A network protocol used to carry packetized audio and video traffic over	
(RTP)	an IP network that helps ensure that packets get delivered in a timely way.	
Router	An intelligent device that forwards data packets from one local area network (LAN) to another and that selects the most expedient route based on traffic load, line speeds, costs, or network failures to complete the call	
Selective Router	(see Enhanced 9-1-1 Control Office)	
Selective Routing (SR)	The process by which 9-1-1 calls/messages are routed to the appropriate PSAP or other designated destination, based on the caller's location information, and may also be impacted by other factors, such as time of day, call type, etc. Location may be provided in the form of an MSAG-valid civic address or in the form of geo coordinates (longitude and latitude).	
Selective Routing Data Base (SRDB)	The routing table that contains telephone number to ESN relationships which determines the routing of 9-1-1 calls.	
Session Border Control	A commonly available functional element that provides security, NAT traversal, protocol repair and other functions to VoIP signaling such as SIP. A component of a Border Control Function	
Session Initiation Protocol (SIP)	An IETF defined protocol (RFC3261) that defines a method for establishing multimedia sessions over the Internet. Used as the call signaling protocol in VoIP, i2 and i3	

Term	Definition	
Short Message Service (SMS)	A service typically provided by mobile carriers that sends short (160 characters or fewer) messages to an endpoint. SMS is often fast, but is not real time.	
Simple Network Management protocol (SNMP)	A protocol defined by the IETF used for managing devices on an IP network.	
Simple Network Time Protocol (SNTP)	A utility for synchronizing system clocks over a TCP/IP network. This protocol is similar to NTP and is used when the ultimate performance of the full NTP implementation is not needed.	
Spatial	Relating to, occupying, or having the character of space. Geographic Information Systems store spatial data in regional databases. See Geospatial.	
Standards Development Organization (SDO)	An entity whose primary activities are developing, coordinating, promulgating, revising, amending, reissuing, interpreting, or otherwise maintaining standards that address the interests of a wide base of users outside the standards development organization.	
Stream Control Transport Protocol (SCTP)	SCTP is defined by IETF RFC2960 as the transport layer to carry signaling messages over IP networks.	
Synchronous Optical NETwork (SONET)	High speed digital transport over fiber optic networks using synchronous protocol.	
TDD/TTY Detector	Any device that automatically detects TDD/TTY tones and audibly and/or visually notifies the call-taker.	
Telecommunications Device for the Deaf (TDD)	Also known as TTY. (see Teletypewriter (TTY))	
Telecommunications Industry Association (TIA)	A lobbying and trade association, the result of the merger of the USTA (United States Telephone Association) and the EIA (Electronic Industries Association).	
Telecommunications Relay Service (TRS)	A federally mandated service provided by states that provides communication relay between TTY users and voice telephone users, via a third party, for communications assistance.	
Telecommunications Service Provider (TSP)	A business that provides voice or data transmission services. These services are provided over a telecommunications network that transmits any combination of voice, video and/or data between users. A TSP could be, but is not limited to, a Local Exchange Carrier (LEC), a wireless telecommunications provider, a Commercial Mobile Radio Service provider, or a PBX service provider.	
Teletypewriter (TTY)	Also known as TDD. A device capable of information interchange between compatible units using a dial up or private-line telephone network connections as the transmission medium. ASCII or Baudot codes are used by these units. (per EIA PN-1663)	
Text Telephone	Another term for TDD/TTY	
Third Generation Partnership Project 2 (3GPP2)	A collaborative third generation (3G) telecommunications specifications-setting project comprised of interests from the Americas and Asia developing global specifications for Mobile Application Protocol (MAP) "Wireless Radio-telecommunication Intersystem Operations" network evolution to 3G. The project is focused on global specifications for the radio transmission technologies supported by MAP and the wireless IP core networks, together known as the cdma2000® family of standards.	

Term	Definition	
Transmission Control Protocol (TCP)	A communications protocol linking different computer platforms across networks. TCP/IP functions at the 3 <sup>rd</sup> and 4 <sup>th</sup> levels of the open system integration model.	
Transmission Control Protocol/Internet Protocol (TCP/IP)	A layered set of protocols used to connect dissimilar computers togethed. The TCP part of this provides the transport service required by the application layer. The IP part of this provides the service user to delive the datagram to its destination.	
Transport Control Protocol (TCP)	The end to end reliability protocol that recognizes and corrects lower layer errors caused by connectionless networks.	
Video Relay Service (VRS)	A service provided by common carriers and other vendors that provides third party communication relay between video telephone users using Internet connections and videophone or webcam and voice telephone users.	
Voice over Internet Protocol, Voice over IP (VoIP)	Provides distinct packetized voice information in digital format using the Internet Protocol. The IP address assigned to the user's telephone number may be static or dynamic.	
Voice over the Internet	Transmit voice with varying consistency depending on overall traffic and engineering of the Internet circuits.	
Voice Service Provider (VSP)	Operates the network equipment that provides call processing for Voice over Internet Protocol subscribers.	
VoIP Positioning Center (VPC)	The VoIP Positioning Center (VPC) is the element that provides routing information to support the routing of VoIP emergency calls, and cooperates in delivering location information to the PSAP over the existing ALI DB infrastructure.	
Wide Area Network (WAN)	Network using common carrier-provided lines that covers and extended geographical area.	
Wireless Service Provider (WSP)	Cellular, satellite or other radio based telephony or data transport commercial entity.	
Working Group (WG)	A group of people formed to discuss and develop a response to a particular issue. The response may result in a Standard, an Information Document, Technical Requirements Document or Liaison.	
X,y	Shorthand expression for coordinates that identify a specific location in two dimensions representing latitude and longitude.	

# **Appendix D: System Management Impacts and Interdependencies**

# **Checklist**

<u>Matters</u>	<u>E9-1-1 to NG9-1-1</u>
Inbound PSTN emergency 10-digit lines	No change or modified
Inbound PSTN non-emergency 10-digit lines	No change or modified
Outbound PSTN lines	No change or modified
9-1-1 Network	SR tandem, IPSR, ESInet; tariffs, contracts, and applicable regulations
Connection to 9-1-1 Network	CAMA, SS7, ISDN, MPLS, SIP; tariffs, contracts, and applicable regulations
Database Management	Legacy MSAG & ALI, GIS, ESRP, ECRF, LVF; tariffs, contracts, and regulations
Mapping/GIS	Local, Regional, Statewide, Sharing and Distribution; public information and retention
Connection to Database Management	Legacy MSAG & ALI, GIS, ESRP, ECRF, LVF; tariffs, contracts, and regulations
Automatic Call Distribution	Stand-alone, Hosted, ESInet
Customer Premise Equipment	Stand-alone, Hosted, ESInet
Computer Aided Dispatch	Stand-alone, Hosted, ESInet
Local Gov't PSAPs	Training, equipment, personnel, funding
Regional Gov't PSAPs	Training, equipment, personnel, funding
Federal Gov't and Military Base PSAPs	Training, equipment, personnel, funding
Private PSAPs	Training, equipment, personnel, funding
Management and Coordination Entities	World and national, federal, state, regional, local,
Sources of Policy Rules	Standards, best practices, contracts, regulations, laws
Personnel Selection and Hiring	Standards, best practices, contracts, regulations, laws
Operations and Call-Taker Training	Standards, best practices, contracts, regulations, laws
Public Education	Standards, best practices, contracts, regulations, laws
Incoming Certification Authorization Process	PUC, FCC, state, region, local, standards
Across Certification Authorization Process	Statutes, ordinances, Interlocals, practices, standards
Outgoing Certification Authorization Process	Statutes, ordinances, Interlocals, practices, standards
Special IP Security and Access Issues	Special procedures to handle potentially dangerous requests
Incoming Requirements Process	PUC, FCC, state, region, local, standards
Across Requirements Process	PUC, FCC, state, region, local, standards
Outgoing Requirements Process	statutes, ordinances, Interlocals, practices, standards
Wireline Access (including PBX)	Point(s) of Interconnection
Wireless Access	Point(s) of Interconnection
VoIP Access (including PBX)	Point(s) of Interconnection
Telematics Access	Point(s) of Interconnection
Voice Calls	TDM, SIP

<u>Matters</u>	E9-1-1 to NG9-1-1
Text Messaging	SMS, RTT, primary, supplemental, downstream
Image	Primary, supplemental, downstream, interoperability
Video	Primary, supplemental, downstream, interoperability
Dispatch	Stand-alone, ESInet, downstream, Interoperability
Radio	Stand-alone, ESInet, downstream, Interoperability
Police, Fire, EMS	Stand-alone, ESInet, downstream, Interoperability
Response Vehicles	Stand-alone, ESInet, downstream, Interoperability
Emergency Notification Systems	Stand-alone, ESInet, downstream, Interoperability
Sensors & Alarms	Stand-alone, ESInet, downstream, Interoperability
Human-machine interface (HMI)	Primary, supplemental, downstream, interoperability
Recording System	New laws and issues to consider
Record Retention	New laws and issues to consider
Highly Sensitive Medical or other Data	New laws and issues to consider
Confidentiality & Public Information Requests	New laws and issues to consider
Disaster and Contingency Planning	Contractual, functional, operational, regulatory, statutory
Operational Changes	Contractual, functional, operational, regulatory, statutory
Interoperability Changes	Contractual, functional, operational, regulatory, statutory
Demarcation Changes	Contractual, functional, operational, regulatory, statutory
Responsibility Changes	Contractual, functional, operational, regulatory, statutory
Accountability Changes	Contractual, functional, operational, regulatory, statutory
Coordination Changes	Contractual, functional, operational, regulatory, statutory
Vendor Changes	ILEC, LEC, deregulated, non-regulated, government contracts
Funding Process Changes	Federal, state, regional, local, private parties
Payment Process Changes	Federal, state, regional, local, private parties
Contractual Process Changes	Federal, state, regional, local, private parties
Escalation Process Changes	Federal, state, regional, local, private parties
Dispute Resolution Changes	Standards, mediator, arbitration, regulatory courthouse
Regulatory Changes	Tariff & Interconnection, Commercial & Contract, Fed or State
Legal Changes	Authorities, entities, responsibilities, requirements, attorneys
Moot Issues	
New Issues	

# **Appendix E: System Functional Requirements**

NG9-1-1 is a large and complex undertaking with many functional elements compared to traditional 9-1-1 call processing. There are several distinct approaches to establishing an NG9-1-1 system and it is expected that each state, region, county and agency will take a path based on their readiness, needs, available solutions, budget, perceived value, governance, and business environment. Various functions are required to implement an NG9-1-1 system as currently envisioned. The Functional Elements are primarily linked together by an IP network transport foundation that stretches between Ingress traffic points, egress traffic points and application processing elements. Ingress and egress traffic points exist for call traffic but can also be established for supporting data and enhanced services.

The following functional capabilities are realized through one or more functional processing elements:

- Functional elements that handle foundation data that enables NG9-1-1 processing logic and often provision real time call processing elements. Foundation data includes, but is not limited to, GIS with additional data elements specific to public safety services.
- Accept IP ingress traffic
- Accept Legacy TDM ingress traffic
- Call control including determining and routing to the appropriate call handling destination
- Manage destination readiness and status through policy functions and determine alternate destinations as appropriate
- Deliver calls to IP PSAPs
- Deliver calls to legacy PSAPs
- Interoperate (call hand-off and transfer) with legacy Selective Routers (ingress and egress) serving neighboring PSAPs
- Interoperate with legacy ALI (ingress and egress) serving neighboring 9-1-1 PSAPs
- Determine emergency service providers such as Police, Fire, and Medical emergency responders
- Interoperate with other ESInets and NG9-1-1 systems (ingress and egress)
- A service that allows originating service providers to validate their own location information.
- A service that allows originating service providers to determine the geographic area (e.g., appropriate ESInet) that should receive their 9-1-1 request for assistance traffic
- Provide additional data from data sources to data consumers. The Additional Data Sources can exist either within or external to the NG9-1-1 related network itself.
- There can be numerous functional elements that contribute to solution management, logging, provisioning, alarms, security, trouble shooting, and reports.
- Agencies can prepare to realize a full NG9-1-1 system by starting on one or more of the four foundation elements:
  - 1 Establish the foundation IP transport network
  - 2 Convert legacy PSAPs to IP enabled PSAPs
  - 3 Convert legacy selective routing to an IP application server environment
  - 4 Prepare GIS based data as a basis to perform call routing and retire current SRDB/ESN/MSAG approaches

After establishing one or more of the above foundation elements, or in parallel with one or more of the foundation elements, the agency can implement either the initial approach below, followed by the i3 based system, or move directly to the NENA i3 based system:

- An IP Selective Router (IPSR) which utilizes the IP transport network and an IP application server environment but continues to utilize a legacy SRDB and ESNs for routing and selective transfer functions. This step is often combined with transporting legacy ALI information to PSAPs over the high speed network transport versus legacy data communication links. This step requires implementation of the i3 LNG PIF and LNG NIF functions to perform protocol conversion of ingress traffic from TDM to IP protocols. This step can be combined with either CAMA PSAPs or IP PSAPs via the RFAI protocol.
- Geographic based routing utilizing i3 ESRP and ECRF functional elements utilizing the IP transport network and an IP application server environment. This step requires the implementation of i3 LNG and LSRG functions. This step can be implemented with either CAMA PSAPs via the i3 LPG or IP PSAPs.
  - o Agencies should consider a two step approach where they implement wireline and fixed location VoIP calls followed by wireless.
  - Wireline has straightforward location information that can be used within the ECRF to determine routing. There are on-going discussions regarding MSAG valid addresses versus Civic addresses that must be considered on a regional basis depending on local addressing standards.
  - Wireless calls are still problematic in terms of the timing of location information availability and the gross location information that is provisioned and maintained in legacy databases. Wireless location information for call routing and NG9-1-1 is a current topic in standards forums.
- Policy Routing functions where rules can be setup based on the determined call handling destination to override or further determine call routing treatment can be implemented with either an ESRP or IPSR.
- Agencies need to consider their transitional strategies from legacy approaches to NG9-1-1 based on their scope, number of PSAPs and data readiness to derive a dual operating model or flash cut-over.
- Deliver additional data and services. Once the IP network transport is established to IP PSAPs additional protocols can be deployed that enable a wide range of information sources and collaboration based services.
- Neighboring NG9-1-1 or legacy Selective Router interoperability to hand-off call traffic for routing and call transfer between neighboring PSAPs supported by different 9-1-1 systems. An NG9-1-1 deployment usually requires interoperability with a neighboring agency to get certain calls to the agency that can dispatch emergency services.
- IP PSAP eliminating legacy PSAP CAMA TDM trunks with IP protocols. This step can be combined with an IPSR if the agency wishes to continue implementing ESN based routing and selective transfer or with an ESRP/ECRF if the agency is ready to implement GIS based functions.
- An IP PSAPs ability to determine emergency service responders such as Police, Fire, Medical emergency responders and Poison Control based on call location and geographic service boundaries utilizing an i3 ECRF and a LoST protocol interface.

- A location validation function for originating service providers to validate their location information should be made available by an agency as soon as carriers indicate their commitment to utilize such services. An LVF is dependent on suitable GIS information and enables retirement of legacy MSAG techniques.
- An agency can begin accepting ingress IP traffic when one or more originating service
  providers serving their area are prepared to deliver Ingress IP traffic. The NENA i3 posttransition model expects the originating service provider will deliver the caller's location
  information at call setup time, enable the retirement of the LNG function(s) and enable
  routing based on location.

Functional elements can reside in various places depending on the implementation approach and business dynamic. It is generally accepted that the ESRP and ECRF that replace legacy call processing Selective Routers will reside within the NG9-1-1 network supporting multiple agencies. The i3 model also defines ECRF elements that are public internet accessible to allow for originating service providers to determine the geographically appropriate ESInet and NG9-1-1 system to send calls to, for NG9-1-1 routing to the appropriate PSAP.

- Gateway functions
   The LNG and LSRG functions can reside in either the origination network or the NG9-1-1 Network depending on various factors. The LPG can reside in either the NG9-1-1 Network or the IP PSAP local network.
- Location information data stores are assumed to reside within the Access Networks but location information is also assumed to reside within the NG9-1-1 system during transition periods to a pure i3 model.
- The location validation function is assumed to exist within the NG9-1-1 network.
  However the i3 model assumes the GIS data used to perform validation can be
  distributed to ECRFs and LVFs outside the agencies NG9-1-1 network domain and
  therefore the LVF function could also reside in an origination network or a public
  network.
- Logging, security, provisioning, trouble shooting, monitoring and related management platforms are expected to reside in all NG9-1-1 networks and associated networks.