

# 1999 North American Numbering Plan Funding Worksheet

Approved by OMB  
3060-0815  
Expires 9/30/2001

Please read instructions before completing. Report actual amounts billed to customers during the year without subtracting uncollectibles or international settlement payments. Report revenues in whole dollars. Do not report negative amounts.

Estimated Average Burden Hours per Response .5 hours

ORIGINAL FILING       REVISION TO ORIGINAL FILING

<b>Block 1: Carrier Identification</b>		TRS Company Code, supplied by NECA
1a Legal Name of Carrier	▶	
1b Name Carrier is doing business as	▶	
1c Principal communications business (Check the one box that best describes the carrier's operations)		
<input type="checkbox"/> Incumbent LEC <input type="checkbox"/> CAP/CLEC <input type="checkbox"/> Pay Telephone Service Provider <input type="checkbox"/> Other Local <input type="checkbox"/> SMR Dispatch <input type="checkbox"/> Cellular/PCS/SMR (wireless telephony) <input type="checkbox"/> Local Reseller <input type="checkbox"/> Wireless Data <input type="checkbox"/> Other Mobile <input type="checkbox"/> IXC <input type="checkbox"/> Toll Reseller <input type="checkbox"/> Paging & Messaging <input type="checkbox"/> OSP <input type="checkbox"/> Satellite <input type="checkbox"/> Pre-paid Card <input type="checkbox"/> Other Toll		
If Other Local, Other Mobile or Other Toll is checked, describe carrier type below.		
1d Holding Company Name	▶	
2 Complete Mailing Address of Carrier Corporate Headquarters	▶	

<b>Block 2: Revenue Information</b>	
3 Gross 1997 Revenues from April 1998 FCC Form 431 Line 15a	3

<b>Block 3: Payments to Other Carriers</b>	
4 Total 1997 Payments to Other Carriers	4
5 1997 Net Telecommunications Revenues (line 3 minus line 4)	5

<b>Block 4: Certification</b>	
I certify that I am an officer of the carrier named above, that I have examined the foregoing report and to the best of my knowledge, information and belief, all statements of fact contained in this worksheet are true and that said worksheet is an accurate statement of the affairs of the above named carrier for the period January 1, 1997 through December 31, 1997	
6 Printed Name of Officer	▶
7 Position with Carrier	▶
8 Signature	▶
9 Date	▶
10 Contact Person	▶
11 Telephone Number of Contact Person	▶
12 Complete Mailing Address of Contact: [Filing Information and the 2000 NANPA Worksheet will be sent to this address.]	

**- SEND NO PAYMENT AT THIS TIME -**

Mail worksheet to: NANP Funding Administrator, NBANC 100 South Jefferson Rd. Whippany, NJ 07981  
NBANC HELPLINE: (973) 884-8542

PERSONS MAKING WILLFUL FALSE STATEMENTS IN THE WORKSHEET CAN BE PUNISHED BY FINE OR IMPRISONMENT UNDER THE COMMUNICATIONS ACT, 47 U.S.C.220(e)

**NOTICE TO INDIVIDUALS:** Pursuant to Congress' directive in the Telecommunications Act of 1996 that the Commission establish an independent entity to administer telecommunications numbering, the Commission determined on July 13, 1995, that the costs associated with administering numbering duties should be based on each telecommunications carrier's gross revenues less payments made to other carriers. The Commission and the NANPA will use the information collected in the worksheet to determine the total revenue received from telecommunications carriers in order to arrive at an amount that each carrier must pay to fund the NANPA. All common carriers providing telecommunications service between U.S. and foreign points must file this worksheet. An agency may not conduct or sponsor and a person is not required to respond to a collection of information unless it displays a currently valid control number. The control number assigned to this collection is 3060-0815. Public reporting burden for this collection is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden to the Federal Communications Commission, Performance Evaluation and Records Management, Washington, D.C. 20554. DO NOT SEND WORKSHEETS TO THIS ADDRESS.

**Instructions for Completing the  
Worksheet for Calculating and Filing Carrier Revenue Information  
to Fund the Administration of the North American Numbering Plan**

**1999 NANPA FUNDING WORKSHEET**

**I. Introduction**

On August 8, 1996, the FCC adopted rules that require all providers of telecommunications services to contribute to the funding of the Administration of the North American Numbering Plan based on their proportionate share of gross U.S. based international, interstate, and intrastate telecommunications services revenues less any payments made to other telecommunications carriers for telecommunications facilities and services used to provide telecommunications services. Telecommunications carriers are defined in Section 153(44) of the Communications Act of 1934 as amended by the Telecommunications Act of 1996. For 1999 funding, carriers are to calculate and file their 1997 gross and net telecommunications revenue information in accordance with the NANPA Funding Worksheet. The worksheet sets forth information that must be provided by the contributor. The formula to be used for computing the contribution, the manner of payment and due dates for payments will be approved by the Commission at a later date, based on the revenue information provided herein.

An agency may not conduct or sponsor and a person is not required to respond to a collection of information unless it displays a currently valid control number. The control number assigned to this collection is 3060-0815.

**II. Filing Requirements and General Instructions**

**A. Who Must File**

All common carriers providing telecommunications service between U.S. and foreign points must file this worksheet. For this purpose, the United States is defined as the conterminous United States, Alaska, Hawaii, American Samoa, Baker Island, Guam, Howland Island, Jarvis Island, Johnston Atoll, Kingman Reef, Midway Island, Navassa Island,

the Northern Mariana Islands, Palmyra, Puerto Rico, the U.S. Virgin Islands, and Wake Island.

For the purpose of NANPA reporting and contributions, pay telephone providers are exempt from contributing, unless the provider has an operator services affiliate, in which case, the affiliate operation is subject to the reporting and contribution requirements for NANPA.

For the purpose of NANPA reporting, gross telecommunications revenue includes but is not limited to the following types of services: local exchange service, cellular telephone and paging, mobile radio, operator services, personal communications service (PCS), access (including Subscriber Line Charges), alternative access and special access, packet-switched, WATS, 800, 900, message telephone service (MTS), private line, telex, telegraph, video, satellite, international, intraLATA, and resale services.

**Entities may not file summary reports for more than one carrier. Each legal entity that provides telecommunications service must file separately.** Entities that have distinct articles of incorporation are separate legal entities. All affiliates or subsidiaries should identify their ultimate controlling parent or entity in Block 1, Line (1d) – Holding Company.

**B. When and Where to File**

**Revenue information is to be submitted to the NANPA Billing and Collection Agent, NBANC, no later than October 14, 1998.** NBANC will assimilate the data for the industry, and recommend a contribution factor to the Commission for use in funding 1999 NANPA contributions. **DO NOT SEND PAYMENT AT THIS TIME.**

The 1999 NANPA contribution period will fund administration for the period between March 1999 and February 2000. Monthly Contributions for the 1999 NANPA contribution

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period will be billed beginning in February 1999, and payment must be received by the 12<sup>th</sup> of each month for March 1999 through February 2000.

**DO NOT MAIL THE NANPA FUNDING WORKSHEET TO THE FCC.**

**C. Rounding of Numbers**

All information provided in the worksheet, except the signature, should be neatly printed in ink or typed. Reported revenues in Block 2 may be rounded to the nearest thousand dollars. Regardless of rounding, **all dollar amounts must be reported in whole dollars.** For example, \$2,271,881.93 could be reported as \$2,271,882 or as \$2,272,000, but could not be reported as \$2272 thousand or \$2.272 million.

**D. Compliance**

Carriers failing to file the NANPA Funding Worksheet in a timely fashion are subject to fines prescribed in Section 219(b) of the Communications Act of 1934 (the Act). Carriers filing false information are subject to fines or imprisonment as specified in Section 220(e) of the Act. Carriers failing to contribute in a timely fashion are subject to fines prescribed in Section 503(b) of the Act.

**III. Specific Instructions**

**Indicate by checking the appropriate box whether this is an original or revised filing.**

**A. Block 1: Carrier Identification and TRS Company Code**

Block 1 of the NANPA Funding Worksheet requires identification information. Include TRS Company Code provided by NECA for use in completion of FCC Form 431.

**Line (1a)** requests the legal name of the carrier as it appears on articles of incorporation or other legal documents.

**Line (1b)** requests the principal name under which the company conducts carrier activities. This would typically be the name that appears on customer bills, or the name used when service representatives answer customer inquiries.

**Line (1c)** provides a checkoff for the principal carrier activity. Please check the category that best describes the carrier.

**Payphone Service Provider** - provides customers access to telephone networks through pay telephone equipment, special teleconference rooms, etc. [Please note on FCC Form 496 if this is sole telecommunications business.]

**Incumbent LEC** - provides local exchange service. An incumbent local exchange carrier (ILEC) generally is a carrier that was at one time franchised as a monopoly service provider.

**CAP/CLEC** - Competitive Access Provider or Competitive Local Exchange Carrier – competes with incumbent local exchange carriers to provide services that link customers with interexchange carriers facilities, local exchange networks, or other customers.

**Local Reseller** - provides local exchange or fixed telecommunications services by reselling services of other carriers.

**SMR (Dispatch)** - primarily provides dispatch and mobile services other than wireless telephony.

**Cellular, PCS, & SMRs** - Cellular telephone, Personal Communications Services and Specialized Mobile Radio Service providers. Primarily provides wireless telecommunications services. This category includes the provision of wireless telephony by resale. An SMR would select this category if it primarily provided wireless telephony rather than dispatch or other mobile services.

**Paging & Messaging** - provides wireless paging or wireless messaging services, including the provision of those services via resale.

**Wireless Data** - provides mobile or fixed wireless data services using wireless technology, including the provision of those services via resale.

**IXC** - Interexchange Carrier provides long distance telecommunications services

substantially through switches and circuits that it owns or leases.

**Toll Reseller** - provides long distance telecommunications services primarily by reselling the long distance telecommunications services of other carriers.

**OSP - Operator Service Providers** - companies other than LECs that provide services to customers needing assistance of an operator such as to complete away from home calls, or calls using alternate billing arrangements.

**Satellite** - provides satellite space segment or earth stations that are used for telecommunications service.

**Pre-paid Card** - provides pre-paid calling card services by selling prepaid calling cards to the public or to retailers. Pre-paid card providers typically resell the toll service of the other carriers and determine the price of the service by setting the price of the card and controlling the number of minutes that the card can be used for.

**Other Local, Other Mobile and Other Toll** - If one of these boxes is checked, the contributor should describe the nature of the service it provides. For example, a company that provides network access services on behalf of a group of ILECs would identify itself as "Other Local" and enter "ILEC network access" in the space provided.

**Line (1d)** requests the name of the holding company or controlling entity, if any. All affiliates or carriers owned by a common entity should have the same name for Line (1d).

**Line (2)** requests the complete mailing address of the corporate headquarters.

**Block 2: Revenue Information**

**Line (3)** provide gross revenues for all telecommunications services for calendar year 1997. If you are required to contribute to the Telecommunications Relay Service Fund, the reported revenue should equal the revenues that were reported on FCC Form 431 Line (15a) submitted to NECA on April 26, 1998. Gross

**Average Burden Est. for Response: .5 hrs.**  
revenues include revenues from regulated, detariffed, and nonregulated telecommunications services. Gross revenues should include all revenues billed to customers for service provided during 1997 with no allowances for uncollectibles or out of period adjustments. Gross revenues should also include any surcharges on communications services that are billed to the customer and either retained by the carrier or remitted to a non-government third party under contract. Gross revenues should not include non-telecommunications services such as the lease of customer premises equipment. Gross revenues should exclude taxes and any surcharges that are not recorded as revenue, but which are instead remitted to government bodies.

**Block 3: Payments to Other Carriers**

**Line (4)** - report all payments made to other telecommunications carriers for telecommunications facilities and services used in the provision of telecommunications service. For example, payments for access charges; interconnection; rights of way; services that are resold; as well as leases for underlying transmission facilities should be reported on this line.

**Line (5)** - subtract the amount reported on Line (4) from the amount reported on Line (3). The amount reported on Line (5) will be the basis for determining the carrier's NANPA contribution.

**Block4: Certification**

An officer of the fund contributor must examine the data provided in the NANPA Funding Worksheet and certify that the information provided therein is accurate. [Lines 6 through 9] In addition, the fund contributor should provide the name of a contact person who can provide clarifications, if necessary, and who can serve as the first point of contact in the event that either the FCC or the Billing and Collection agent should choose to audit information provided by the company. [Lines 10 through 12]