



UNITED STATES GOVERNMENT
FEDERAL COMMUNICATIONS COMMISSION
OFFICE OF INSPECTOR GENERAL

MEMORANDUM

DATE: January 8, 2014

TO: Chairman

FROM: Inspector General

A handwritten signature in black ink, appearing to be "S. Grahe", is written over the "FROM:" line.

SUBJECT: Report on the Federal Communications Commission's (FCC) Fiscal Year 2013 Federal Information Security Management Act (FISMA) Evaluation

In accordance with Federal Information Security Management Act (FISMA) the FCC Office of Inspector General (OIG) engaged the independent certified public accounting firm of KPMG LLP to evaluate the Commission's progress in complying with the requirements of FISMA. Specifically, this evaluation included assessing the Commission's efforts to develop, document, and implement an agency-wide program to provide information security for the information and information systems that support the operations and assets of the Commission, including those provided or managed by another agency, contractor, or other source.

The attached KPMG LLP report identifies fifteen (15) findings and offers sixty-eight (68) recommendations to address those findings. Most of the findings were comprised of multiple issues. All fifteen findings contained issues that were repeated from the FY 2012 FISMA evaluation. Eleven FY 2013 findings contained both repeat and new issues. The draft findings and recommendations were provided to FCC management. Management provided their comments, which were incorporated into the report, where appropriate. Management's response to the final report is included as an attachment to KPMG's report. The OIG reviewed KPMG LLP's reports and related documentation and made necessary inquiries of KPMG's representatives. KPMG LLP is wholly responsible for the attached report and the conclusions expressed therein.

This report is intended for internal use only by the FCC. Due to the sensitive nature of the information contained in this report, it has been marked "Non-Public, For Internal Use Only." This report has a limited distribution and those persons receiving it are requested not to photocopy or otherwise distribute it unless authorized by the OIG.

If you have any questions, please contact Gerald Grahe, Assistant Inspector General for Audits at (202) 418-0474.

cc: Managing Director
Chief of Staff
Chief Information Officer
Deputy Chief Information Officer
Chief Financial Officer
Chief Information Security Officer